



U.S. Department of Justice

Federal Bureau of Investigation  
Washington, D.C. 20535

June 23, 2017

MR. JPAT BROWN  
MUCKROCK NEWS  
DEPT MR 24672  
411A HIGHLAND AVENUE  
SOMERVILLE, MA 02144-2516

FOIPA Request No.: 1347313-000  
Subject: GARRETT, ELIZABETH

Dear Mr. Brown:

The enclosed documents were reviewed under the Freedom of Information Act (FOIA), Title 5, United States Code, Section 552. Deletions have been made to protect information which is exempt from disclosure, with the appropriate exemptions noted on the page next to the excision. In addition, a deleted page information sheet was inserted in the file to indicate where pages were withheld entirely. The exemptions used to withhold information are marked below and explained on the enclosed Explanation of Exemptions:

**Section 552**

☐ (b)(1)

☐ (b)(2)

☐ (b)(3)

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☐ (b)(4)

☐ (b)(5)

☒ (b)(6)

☐ (b)(7)(A)

☐ (b)(7)(B)

☒ (b)(7)(C)

☒ (b)(7)(D)

☒ (b)(7)(E)

☐ (b)(7)(F)

☐ (b)(8)

☐ (b)(9)

**Section 552a**

☐ (d)(5)

☐ (j)(2)

☐ (k)(1)

☐ (k)(2)

☐ (k)(3)

☐ (k)(4)

☐ (k)(5)

☐ (k)(6)

☐ (k)(7)

363 pages were reviewed and 241 pages are being released.

- ☒ Document(s) were located which originated with, or contained information concerning, other Government Agency (ies) [OGA].
- ☒ This information has been referred to the OGA(s) for review and direct response to you.
- ☒ We are consulting with another agency. The FBI will correspond with you regarding this information when the consultation is completed.
- ☐ In accordance with standard FBI practice and pursuant to FOIA exemption (b)(7)(E) and Privacy Act exemption (j)(2) [5 U.S.C. § 552/552a (b)(7)(E)/(j)(2)], this response neither confirms nor denies the existence of your subject's name on any watch lists.

For your information, Congress excluded three discrete categories of law enforcement and national security records from the requirements of the FOIA. See 5 U.S.C. § 552(c) (2006 & Supp. IV (2010)). This response is limited to those records that are subject to the requirements of the FOIA. This is a standard notification that is given to all our requesters and should not be taken as an indication that excluded records do, or do not, exist. Enclosed for your information is a copy of the Explanation of Exemptions.

For questions regarding our determinations, visit the [www.fbi.gov/foia](http://www.fbi.gov/foia) website under "Contact Us." The FOIPA Request Number listed above has been assigned to your request. Please use this number in all correspondence concerning your request. Your patience is appreciated.

You may file an appeal by writing to the Director, Office of Information Policy (OIP), United States Department of Justice, Suite 11050, 1425 New York Avenue, NW, Washington, D.C. 20530-0001, or you may submit an appeal through OIP's FOIAonline portal by creating an account on the following website: <https://foiaonline.regulations.gov/foia/action/public/home>. Your appeal must be postmarked or electronically transmitted within ninety (90) days from the date of this letter in order to be considered timely. If you submit your appeal by mail, both the letter and the envelope should be clearly marked "Freedom of Information Act Appeal." Please cite the FOIPA Request Number assigned to your request so that it may be easily identified.

You may seek dispute resolution services by contacting the Office of Government Information Services (OGIS) at 877-684-6448, or by emailing [ogis@nara.gov](mailto:ogis@nara.gov). Alternatively, you may contact the FBI's FOIA Public Liaison by emailing [foipaquestions@fbi.gov](mailto:foipaquestions@fbi.gov). If you submit your dispute resolution correspondence by email, the subject heading should clearly state "Dispute Resolution Services." Please also cite the FOIPA Request Number assigned to your request so that it may be easily identified.

☐ The enclosed material is from the main investigative file(s) in which the subject(s) of your request was the focus of the investigation. Our search located additional references, in files relating to other individuals, or matters, which may or may not be about your subject(s). Our experience has shown when ident, references usually contain information similar to the information processed in the main file(s). Because of our significant backlog, we have given priority to processing only the main investigative file(s). If you want the references, you must submit a separate request for them in writing, and they will be reviewed at a later date, as time and resources permit.

☒ See additional information which follows.

The enclosed documents contained in FBI Headquarters file 161B-HQ-1611255 represent the 1st interim release of information responsive to your Freedom of Information Act (FOIA) request. This material is being provided to you at no charge.

To minimize costs to both you and the FBI, duplicate copies of the same document were not processed.

As previously indicated, documents were located which originated with, or contained information concerning another agencies. We are consulting with the other agencies and are awaiting their response. Our office has processed all other information currently in our possession. Upon the completion of the outstanding consultation by our office, the FBI will correspond with you regarding those documents when the consultation is completed.

Inquiries regarding your OGA referral(s) designated within the release as "Referral/Direct" may be directed to the following agencies at:

Defense Manpower Data Center  
Privacy Act Team  
Attention: Carol Wilson  
Post Office Box 168  
Boyers, PA 16020

Office of Personnel Management  
Federal Investigative Services  
FOIA  
Post Office Box 618  
Boyers, PA 16018

Sincerely,



David M. Hardy  
Section Chief  
Record/Information  
Dissemination Section  
Records Management Division

## **EXPLANATION OF EXEMPTIONS**

### **SUBSECTIONS OF TITLE 5, UNITED STATES CODE, SECTION 552**

- (b)(1) (A) specifically authorized under criteria established by an Executive order to be kept secret in the interest of national defense or foreign policy and (B) are in fact properly classified to such Executive order;
- (b)(2) related solely to the internal personnel rules and practices of an agency;
- (b)(3) specifically exempted from disclosure by statute (other than section 552b of this title), provided that such statute (A) requires that the matters be withheld from the public in such a manner as to leave no discretion on issue, or (B) establishes particular criteria for withholding or refers to particular types of matters to be withheld;
- (b)(4) trade secrets and commercial or financial information obtained from a person and privileged or confidential;
- (b)(5) inter-agency or intra-agency memorandums or letters which would not be available by law to a party other than an agency in litigation with the agency;
- (b)(6) personnel and medical files and similar files the disclosure of which would constitute a clearly unwarranted invasion of personal privacy;
- (b)(7) records or information compiled for law enforcement purposes, but only to the extent that the production of such law enforcement records or information ( A ) could reasonably be expected to interfere with enforcement proceedings, ( B ) would deprive a person of a right to a fair trial or an impartial adjudication, ( C ) could reasonably be expected to constitute an unwarranted invasion of personal privacy, ( D ) could reasonably be expected to disclose the identity of confidential source, including a State, local, or foreign agency or authority or any private institution which furnished information on a confidential basis, and, in the case of record or information compiled by a criminal law enforcement authority in the course of a criminal investigation, or by an agency conducting a lawful national security intelligence investigation, information furnished by a confidential source, ( E ) would disclose techniques and procedures for law enforcement investigations or prosecutions, or would disclose guidelines for law enforcement investigations or prosecutions if such disclosure could reasonably be expected to risk circumvention of the law, or ( F ) could reasonably be expected to endanger the life or physical safety of any individual;
- (b)(8) contained in or related to examination, operating, or condition reports prepared by, on behalf of, or for the use of an agency responsible for the regulation or supervision of financial institutions; or
- (b)(9) geological and geophysical information and data, including maps, concerning wells.

### **SUBSECTIONS OF TITLE 5, UNITED STATES CODE, SECTION 552a**

- (d)(5) information compiled in reasonable anticipation of a civil action proceeding;
- (j)(2) material reporting investigative efforts pertaining to the enforcement of criminal law including efforts to prevent, control, or reduce crime or apprehend criminals;
- (k)(1) information which is currently and properly classified pursuant to an Executive order in the interest of the national defense or foreign policy, for example, information involving intelligence sources or methods;
- (k)(2) investigatory material compiled for law enforcement purposes, other than criminal, which did not result in loss of a right, benefit or privilege under Federal programs, or which would identify a source who furnished information pursuant to a promise that his/her identity would be held in confidence;
- (k)(3) material maintained in connection with providing protective services to the President of the United States or any other individual pursuant to the authority of Title 18, United States Code, Section 3056;
- (k)(4) required by statute to be maintained and used solely as statistical records;
- (k)(5) investigatory material compiled solely for the purpose of determining suitability, eligibility, or qualifications for Federal civilian employment or for access to classified information, the disclosure of which would reveal the identity of the person who furnished information pursuant to a promise that his/her identity would be held in confidence;
- (k)(6) testing or examination material used to determine individual qualifications for appointment or promotion in Federal Government service the release of which would compromise the testing or examination process;
- (k)(7) material used to determine potential for promotion in the armed services, the disclosure of which would reveal the identity of the person who furnished the material pursuant to a promise that his/her identity would be held in confidence.

FEDERAL BUREAU OF INVESTIGATION  
FOI/PA  
DELETED PAGE INFORMATION SHEET  
FOI/PA# 1347313-0

Total Deleted Page(s) = 122

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Applicant SSN: 444-48-0807

Form: Questionnaire for National Security Positions (SF86 Format)

Request #: 5500352

## Sections 1-7: Your Identifying Information

- (1) Full name Last: **Garrett** First: **Helen** Middle: **Elizabeth** Suffix:  
(2) Date of birth Month/Day/Year: **06/30/1963**  
(3) Place of birth City: **Oklahoma City** County: **Oklahoma** State: **OK** Country:  
(5) Have you used any other names? **Yes**  
Other names used

1. Name Last: **Garrett** First: **Beth** Middle: **(NMN)** Suffix:  
Dates used From (Month/Year): **06/1963** To (Month/Year): **Present**  
2. Name Last: **Garrett** First: **Elizabeth** Middle: **(NMN)** Suffix:  
Dates used From (Month/Year): **08/1981** To (Month/Year): **Present**

- (6) Mother's maiden name Last:  First:  Middle:   
(7) Height (feet):  inches  Weight:  Hair color **Brown** Eye color **Brown** Sex **Female**

## Section 8: Your Contact Information

Home e-mail address **N/A**  
Work e-mail address **egarrett@usc.edu**  
Home telephone **310-576-0029** Time: **Evening**  
Work telephone **213-740-0753** Time: **Day**  
Mobile telephone **310-968-5398** Time: **Both**

## Section 9: Citizenship

Current citizenship status I am a **U.S. citizen or national by birth in the U.S. or U.S. territory/commonwealth.**  
U.S. Passport Passport number **449556290** Date issued Month/Day/Year: **08/27/2008** Expired? **No**  
Alien Registration Number Alien registration number **Not Applicable**  
Item 9A: Documentation of U.S. Citizens Born Abroad [State Department Form (FS) 240, DS 1350, FS 545, etc.]  
Date form was completed Month/Day/Year: **~ / ~ / ~** Document number  
Place of issuance City: State: Country:  
Item 9B: Citizenship Certificate  
Court Location City: State:  
Certificate number Date issued Month/Day/Year: **~ / ~ / ~**  
Item 9C: Naturalization Certificate  
Court Location City: State:  
Certificate number Date issued Month/Day/Year: **~ / ~ / ~**  
Item 9D: Immigration Status  
Location City: State: Date of entry Month/Day/Year: **~ / ~ / ~**  
Type of document (I-94, etc.) Document number  
Country(ies) of citizenship (No Entry Provided)

## Section 10: Citizenship Information

Do you now hold or have you EVER held multiple citizenships? **No**  
Item 10A  
Country(ies) of citizenship (No Entry Provided)  
Item 10B  
Time periods  
Item 10C  
Is your non-U.S. citizenship based on your birth in a foreign country or the citizenship of your parents?  
Explanation  
Item 10D  
Have you renounced or attempted to renounce your foreign citizenship(s)?  
Explanation

## Section 11: Where You Have Lived

1. Dates of activity From (Month/Year): 05/2008 To (Month/Year): Present  
Status **Rent** Explanation  
Street address/APO address/FPO address  
Street: 801 Ocean Ave. #404 City: Santa Monica State: CA Country: Zip Code: 90403  
Point of Contact  
Name of person who knows you at this address [redacted]  
Relationship **Friend**  
Explanation [redacted] have visited us in this apartment often.  
Current street address/APO address/FPO address (if currently applicable)  
Street [redacted] State: CA Country: Zip Code: [redacted]  
Telephone [redacted] Alternate contact [redacted]
2. Dates of activity From (Month/Year): 06/2003 To (Month/Year): 05/2008  
Status **Rent** Explanation  
Street address/APO address/FPO address  
Street: 801 Ocean Ave. #402 City: Santa Monica State: CA Country: Zip Code: 90403  
Point of Contact  
Name of person who knows you at this address [redacted]  
Relationship **Friend**  
Explanation [redacted] is a friend and colleague who has stayed with us frequently.  
Current street address/APO address/FPO address (if currently applicable)  
Street [redacted] State: CA Country: Zip Code: [redacted]  
Telephone [redacted] Alternate contact [redacted]
3. Dates of activity From (Month/Year): 12/2002 To (Month/Year): 06/2003  
Status **Own** Explanation  
Street address/APO address/FPO address  
Street: 333 W. Hubbard St. #712 City: Chicago State: IL Country: Zip Code: 60610  
Point of Contact  
Name of person who knows you at this address  
Relationship  
Explanation  
Current street address/APO address/FPO address (if currently applicable)  
Street: City: State: Country: Zip Code:  
Telephone Alternate contact
4. Dates of activity From (Month/Year): 08/2002 To (Month/Year): 12/2002  
Status **Rent** Explanation  
Street address/APO address/FPO address  
Street: 1125 Ashland Ave. City: Santa Monica State: CA Country: Zip Code: 90405  
Point of Contact  
Name of person who knows you at this address  
Relationship  
Explanation  
Current street address/APO address/FPO address (if currently applicable)  
Street: City: State: Country: Zip Code:  
Telephone Alternate contact
5. Dates of activity From (Month/Year): 12/2001 To (Month/Year): 08/2002  
Status **Own** Explanation  
Street address/APO address/FPO address  
Street: 333 W. Hubbard St. #712 City: Chicago State: IL Country: Zip Code: 60610  
Point of Contact  
Name of person who knows you at this address  
Relationship  
Explanation  
Current street address/APO address/FPO address (if currently applicable)  
Street: City: State: Country: Zip Code:  
Telephone Alternate contact
6. Dates of activity From (Month/Year): 08/2001 To (Month/Year): 12/2001  
Status **Rent** Explanation I think this is the right address -- I had a friend look because I have not found paperwork with the exact address -- I'm fairly confident this is right.  
Street address/APO address/FPO address  
Street: 2306 Jefferson Park Avenue City: Charlottesville State: VA Country: Zip Code: 22903  
Point of Contact  
Name of person who knows you at this address  
Relationship  
Explanation

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Current street address/APO address/FPO address (if currently applicable)

Street: City: State: Country: Zip Code:

Telephone Alternate contact

7. Dates of activity From (Month/Year): 06/1999 To (Month/Year): 08/2001

Status **Own** Explanation

Street address/APO address/FPO address

Street: 333 W. Hubbard St. #712 City: Chicago State: IL Country: Zip Code: 60610

Point of Contact

Name of person who knows you at this address

Relationship

Explanation

Current street address/APO address/FPO address (if currently applicable)

Street: City: State: Country: Zip Code:

Telephone Alternate contact

8. Dates of activity From (Month/Year): 06/1998 (Estimated) To (Month/Year): 05/1999

Status **Rent** Explanation

Street address/APO address/FPO address

Street: 29A Oak St. City: Somerville State: MA Country: Zip Code: 02143

Point of Contact

Name of person who knows you at this address

Relationship

Explanation

Current street address/APO address/FPO address (if currently applicable)

Street: City: State: Country: Zip Code:

Telephone Alternate contact

9. Dates of activity From (Month/Year): 06/1995 To (Month/Year): 06/1998 (Estimated)

Status **Rent** Explanation

Street address/APO address/FPO address

Street: 440 N. Wabash Ave. #2206 City: Chicago State: IL Country: Zip Code: 60611

Point of Contact

Name of person who knows you at this address

Relationship

Explanation

Current street address/APO address/FPO address (if currently applicable)

Street: City: State: Country: Zip Code:

Telephone Alternate contact

10. Dates of activity From (Month/Year): 06/1994 (Estimated) To (Month/Year): 05/1995 (Estimated)

Status **Rent** Explanation

Street address/APO address/FPO address

Street: 313 Westerfield Rd. City: Charlottesville State: VA Country: Zip Code: 22901

Point of Contact

Name of person who knows you at this address

Relationship

Explanation

Current street address/APO address/FPO address (if currently applicable)

Street: City: State: Country: Zip Code:

Telephone Alternate contact

11. Dates of activity From (Month/Year): 01/1994 To (Month/Year): 05/1994 (Estimated)

Status **Rent** Explanation

Street address/APO address/FPO address

Street: 3401 38th St. NW #515 City: Washington State: DC Country: Zip Code: 20016

Point of Contact

Name of person who knows you at this address

Relationship

Explanation

Current street address/APO address/FPO address (if currently applicable)

Street: City: State: Country: Zip Code:

Telephone Alternate contact

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#### Section 12: Where You Went To School

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##### Validation Responses

Message: Schools attended #2: Provide a response for street of Street address of school.

Response: I do not know the requested information.

## Schools Attended

1. Dates of activity From (Month/Year): **08/1985** To (Month/Year): **05/1988**  
School type **College/University/Military College** Name of school **University of Virginia Law School**  
Street address of school  
Street: **580 Massie Road** City: **Charlottesville** State: **VA** Country: Zip Code: **22901**  
Degree/diploma received? **Yes**  
Degree/diploma  
1. Degree/diploma: **Professional degree (e.g. MD, DVM, JD)** Other degree/diploma Date awarded Month/Year: **05/1988**

## Person Who Knows You

Name  
Current address  
Street: City: State: Country: Zip Code:  
Telephone

2. Dates of activity From (Month/Year): **08/1981** To (Month/Year): **05/1985**  
School type **College/University/Military College** Name of school **University of Oklahoma**  
Street address of school  
Street: City: **Norman** State: **OK** Country: Zip Code: **73019**  
Degree/diploma received? **Yes**  
Degree/diploma  
1. Degree/diploma: **Bachelor's** Other degree/diploma Date awarded Month/Year: **05/1985**

## Person Who Knows You

Name  
Current address  
Street: City: State: Country: Zip Code:  
Telephone

Additional comments: **I do not know the street address of the University of Oklahoma.**

## Section 13: Employment Activities

## Section 13A: Employment/Unemployment Information

1. Dates of employment From (Month/Year): **07/2003 (Estimated)** To (Month/Year): **Present**  
Type of employment **Other (explain)** Explanation **I have been a professor at USC since 2003; I have served in the administration since 2005, first as Vice Provost for Academic Affairs (2005-06) and then as Vice President of Academic Planning and Budget (2006-present). I also hold the Sydney M. Irmas Professor of Public Interest Law, Legal Ethics, Political Science and Policy, Planning and Development**  
Work hours **Full-time**  
Position title **Professor of Law** Name of employer **University of Southern California**  
Address of employer  
Street: **Bovard Administration Building** City: **Los Angeles** State: **CA** Country: Zip Code: **90089**  
Telephone **213-740-2101**  
Physical Location  
Your actual work address (if different from employer address)  
Street: City: State: Country: Zip Code:  
Telephone  
Supervisor  
Name  Title   
Work address of supervisor  
Street: **699 Exposition Blvd** City: **Los Angeles** State: **CA** Country: Zip Code: **90089**  
Telephone   
Additional periods of activity with this employer **Not Applicable** (No Entry Provided)  
Explanation/reason for leaving
2. Dates of employment From (Month/Year): **07/1995 (Estimated)** To (Month/Year): **06/2003**  
Type of employment **Other (explain)** Explanation **I was an assistant professor of law from 1995-99; then a Professor of Law (1999-2003). I served as Deputy Dean for Academic Affairs from 1999-2001. During this period, I also visited as a professor at the University of Southern California (Fall 2002); the University of Virginia (Fall 2001); Harvard University (1998), but I remained employed at all times by the University of Chicago Law School.**  
Work hours **Full-time**

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b7c

Position title **Professor of Law** Name of employer **University of Chicago Law School**

Address of employer

Street: **1111 E. 60th St.** City: **Chicago** State: **IL** Country: Zip Code: **60637**

Telephone **773-702-9494**

Physical Location

Your actual work address (if different from employer address)

Street: City: State: Country: Zip Code:

Telephone

Supervisor

Name Title

Work address of supervisor

Street: City: State: Country: Zip Code:

Telephone

Additional periods of activity with this employer **Not Applicable** (No Entry Provided)

Explanation/reason for leaving

Additional comments: **The dean when I was at Chicago is no longer there; [redacted] or his personnel office can provide you information (he was my colleague for some time I was there).**

3. Dates of employment From (Month/Year): **07/1994 (Estimated)** To (Month/Year): **06/1995 (Estimated)**  
Type of employment **Other (explain)** Explanation **I was a visiting associate professor of law at UVA Law.**  
Work hours **Full-time**

Position title **Visiting Associate Professor of Law** Name of employer **University of Virginia Law School**

Address of employer

Street: **580 Massie Road** City: **Charlottesville** State: **VA** Country: Zip Code: **22903**

Telephone **434-924-7351**

Physical Location

Your actual work address (if different from employer address)

Street: City: State: Country: Zip Code:

Telephone

Supervisor

Name Title

Work address of supervisor

Street: City: State: Country: Zip Code:

Telephone

Additional periods of activity with this employer **Not Applicable** (No Entry Provided)

Explanation/reason for leaving

Additional comments: **The dean when I was there has [redacted] or his personnel office should be able to help you.**

4. Dates of employment From (Month/Year): **10/1991 (Estimated)** To (Month/Year): **06/1994**  
Type of employment **Other Federal employment**  
Work hours **Full-time**  
Position title **Legislative Director, Tax and Budget Counsel** Name of employer **Office of Senator David L. Boren**

Address of employer

Street: **Russell Office Building** City: **Washington** State: **DC** Country: Zip Code: **20510**

Telephone

Physical Location

Your actual work address (if different from employer address)

Street: City: State: Country: Zip Code:

Telephone

Supervisor

Name **David L. Boren** Title **President, University of Oklahoma**

Work address of supervisor

Street: **Parrington Oval** City: **Norman** State: **OK** Country: Zip Code: **73019**

Telephone [redacted]

Additional periods of activity with this employer **Not Applicable** (No Entry Provided)

Explanation/reason for leaving

Additional comments: **David Boren is now the President of the University of Oklahoma.**

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#### Section 13B: Former Federal Service, Excluding Military Service, Not Indicated Previously

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Former Federal Services **Not Applicable** (No Entry Provided)

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#### Section 13C: Employment Record

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1. Has any of the following happened to you in the last 7 years?

1. Fired from a job

2. Quit a job after being told you would be fired
3. Left a job by mutual agreement following charges or allegations of misconduct
4. Left a job by mutual agreement following notice of unsatisfactory performance
5. Left a job for other reasons under unfavorable circumstances
6. Laid off from job by employer

No (No Entry Provided)

2. Have you received a written warning, been officially reprimanded, suspended, or disciplined for misconduct in the workplace? No

3. Have you received a written warning, been officially reprimanded, suspended, or disciplined for violating a security rule or policy?

No (No Entry Provided)

#### Section 14: Selective Service Record

a. Are you a male born after December 31, 1959? No

b. Have you registered with the Selective Service System (SSS)?

Registration number

Explanation

#### Section 15: Military History

a. Have you EVER served in the U.S. military or the U.S. Merchant Marine? No

b. Have you EVER served in a foreign country's military, security forces, merchant marine, militia, or other defense forces? No

Military Service (No Entry Provided)

c. Have you EVER received a discharge that was not honorable?

d. In the last 7 years (if an SSBI go back 10 years), have you been subject to court martial or other disciplinary proceedings under the Uniform Code of Military Justice? (Include non-judicial, Captain's mast, etc.)

Military Charges (No Entry Provided)

#### Section 16: People Who Know You Well

1. Dates known From (Month/Year): 11/1993 (Estimated) To (Month/Year): Present

Reference name

Relationship to you (Check all that apply) Friend Work associate Explanation and I were colleagues as when I worked for Senator Boren. I am close to his entire family, and I am the

Home or work address

Street:

Telephone

Time: Day Alternate telephone

2. Dates known From (Month/Year): 09/1982 (Estimated) To (Month/Year): Present

Reference name

Relationship to you (Check all that apply) Friend Schoolmate Explanation and I have known each other and have remained close friends.

Home or work address

Street

Telephone

Time: Day Alternate telephone

3. Dates known From (Month/Year): 09/1995 (Estimated) To (Month/Year): Present

Reference name

Relationship to you (Check all that apply) Friend Work associate Explanation I have known since we were colleagues at the University of Chicago Law School.

Home or work address

Street

State: IL

Country:

Zip Code

Telephone

Time: Day Alternate telephone

#### Section 17: Marital Status

Marital Status Married (include Common Law)

Current Spouse

• Full name Last First Middle: (NMN) Suffix:

Date of birth Month/Day/Year

Place of birth City

State:

Country

Social Security Number

Other names used

1. Name Last First Middle: (NMN) Suffix:

Dates used From (Month/Year) To (Month/Year): Present

Current address Use my current address

Street: City: State: Country: Zip Code:

Telephone [REDACTED]  
Date married Month/Day/Year: 10/27/2003  
Place married City: Pasadena State: CA Country:  
Date of separation Month/Day/Year: ~ / ~ / ~  
Location of separation record City: State: Country: Zip Code:  
Country(ies) of citizenship

1. Country United States

2. Country [REDACTED]

Type of document [REDACTED] Explanation  
Document number [REDACTED]

Former Spouse(s) Not Applicable (No Entry Provided)  
Cohabitant Not Applicable (No Entry Provided)

#### Section 18: Relatives

1. Relationship type: Mother

Full name Last [REDACTED] Suffix: Deceased No

Date of birth Month/Day/Year [REDACTED]

Place of birth City: Oklahoma City State: OK Country:

Current address

Street [REDACTED] City: Oklahoma City State: OK Country: Zip Code: [REDACTED]

Country(ies) of citizenship

1. Country United States

Type of document

Explanation

Document number

2. Relationship type: Father

Full name Last [REDACTED]

Date of birth Month/Day/Year [REDACTED]

Place of birth City: Oklahoma City State: OK Country:

Current address

Street [REDACTED] City: Oklahoma City State: OK Country: Zip Code: [REDACTED]

Country(ies) of citizenship

1. Country United States

Type of document

Explanation

Document number

3. Relationship type: [REDACTED]

Full name Last [REDACTED] Middle: (NMN) Suffix: Deceased No

Date of birth Month/Day/Year [REDACTED]

Place of birth City [REDACTED] State: Country: [REDACTED]

Current address

Street [REDACTED] Zip Code:

Country(ies) of citizenship

1. Country [REDACTED]

Type of document Other (Explain)

Explanation [REDACTED]

Document number

4. Relationship type: [REDACTED]

Full name Last [REDACTED] Middle: (NMN) Suffix: Deceased No

Date of birth Month/Day/Year [REDACTED]

Place of birth City [REDACTED] State: Country: [REDACTED]

Current address

Street [REDACTED] Zip Code:

Country(ies) of citizenship

1. Country [REDACTED]

Type of document Other (Explain)

Explanation [REDACTED]

b6  
b7C

b6  
b7C

Document number

5. Relationship type: **Sister**

Full name Last: [REDACTED] Suffix: Deceased No

Date of birth Month/Day/Year: [REDACTED]

Place of birth City: **Oklahoma City** State: **OK** Country:

Current address

Country(ies) of citizenship

1. Country **United States**

Type of document

Explanation

Document number

6. Relationship type: **Father-in-law**Full name Last: **Marmor** First: **Gideon** Middle: **(NMN)** Suffix: Deceased YesDate of birth Month/Day/Year: **03/22/1922 (Estimated)**Place of birth City: **Munkatch** State: Country: **Czechoslovakia**

Current address

Street: City: State: Country: Zip Code:

Country(ies) of citizenship

1. Country **Israel**
2. Country **Romania**

Type of document **Other (Explain)**Explanation **He is dead.**

Document number

7. Relationship type: **Mother-in-law**Full name Last: **Marmor** First: **Judith** Middle: **(NMN)** Suffix: Deceased YesDate of birth Month/Day/Year: **03/11/1926 (Estimated)**Place of birth City: **Cluj** State: Country: **Romania**

Current address

Street: **n/a** City: State: Country: Zip Code:

Country(ies) of citizenship

1. Country **Israel**
2. Country **Romania**

Type of document **Other (Explain)**Explanation **She is deceased.**

Document number

**Section 19: Foreign Contacts**

Do you have or have you had close and/or continuing contact with foreign nationals within the last 7 years with whom you, your spouse, or your cohabitant are bound by affection, influence, and/or obligation? Include associates, as well as relatives, not already listed in Section 18. (A foreign national is defined as any person who is not a citizen or national of the U.S.). **Yes**

Foreign Contacts

1. Dates known From (Month/Year): **01/2000 (Estimated)** To (Month/Year): **Present**Full name Last: [REDACTED] First: [REDACTED] Middle: **(NMN)** Suffix:

Country(ies) of citizenship

1. Country [REDACTED]

Country of residence Country: [REDACTED]

Nature of relationship **Personal** Explanation **We know her, her husband [REDACTED] and their daughters.**Type of contact (check all that apply) **In person** Explanation **My husband also contacts them by phone and email.**Number of contacts per year **3-7**2. Dates known From (Month/Year): **01/2000 (Estimated)** To (Month/Year): **Present**Full name Last: [REDACTED] First: [REDACTED] Middle: **(NMN)** Suffix:

Country(ies) of citizenship

1. Country [REDACTED]

Country of residence Country: [REDACTED]

Nature of relationship **Personal** Explanation  We also know her husband  and her three children. Her oldest daughter is married with three children.  
Type of contact (check all that apply) **Telephone** **In person** Explanation **These are my contacts; my husband emails her as well.**  
Number of contacts per year **3-7**  
Additional comments: **My husband sees his family more often.**

b6  
b7c

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**Section 20: Foreign Activities**

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**Item 20A: Foreign Financial Interests**

1. Do you have or have you EVER had any foreign financial businesses, foreign bank accounts, or other foreign financial interests of which you have direct control or direct ownership? **No**  
Direct Foreign Financial Interests (No Entry Provided)
2. Do you have or have you had any foreign financial interests that someone controls on your behalf? **No**  
Indirect Foreign Financial Interests (No Entry Provided)
3. Do you own or have you owned real estate in a foreign country? **No**  
Foreign Real Estate Holdings (No Entry Provided)
4. Do you receive or have you received any educational, medical, retirement, social welfare, or other such benefits from a foreign country? **No**  
Foreign Benefits (No Entry Provided)

---

**Section 20B: Foreign Business, Professional Activities, and Foreign Government Contacts**

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1. In the last 7 years, have you provided advice or support to anyone associated with a foreign business or other foreign organization that you have not previously listed as a former employer regarding any of the following: management, strategy, financing, or technology?  
**No**  
Was activity on official U.S. Government business?  
Advice/Support Activities (No Entry Provided)
2. In the last 7 years, have you attended any international conferences, trade shows, seminars, or other meetings outside of the U.S.?  
**No**  
Was activity on official U.S. Government business?  
Meetings (No Entry Provided)
3. In the last 7 years, have you or any of your immediate family members been asked to provide advice or serve as a consultant, even informally, by any foreign government official or agency? **No**  
Was activity on official U.S. Government business?  
Requests/Consultations (No Entry Provided)
4. In the last 7 years, have you or any of your immediate family members had any contact with a foreign government, its establishment (embassies, consulates, agencies, or military services), or its representatives, whether inside or outside the U.S.? **Yes**  
Was activity on official U.S. Government business? **No**  
Government Contacts

1. Foreign government(s), establishment(s), and/or representative(s) **Israeli Antiquities Authority**  
Dates of contact From (Month/Year): **03/2009** To (Month/Year): **03/2009**  
Location of contact City: **Tel Aviv and Jerusalem** State: Country: **Israel** Zip Code:  
Circumstances **I met with representatives of the Israeli Antiquities Authority; I represented USC in discussions about academic collaborations.**

5. In the last 7 years, have you sponsored any foreign citizen to come to the U.S. as a student, for work, or for permanent residence?  
**No**  
Was activity on official U.S. Government business?  
Sponsored Visits (No Entry Provided)
6. Have you EVER held or do you now hold a passport that was issued by a foreign government? **No**  
Was activity on official U.S. Government business?  
Foreign Passports (No Entry Provided)

Additional comments: **I answered no to question 2 because I have not attended "international conferences" where countries were represented. I have been a visiting professor for short periods in Hungary and Israel, and I have presented papers at scholarly conferences in Israel and Canada and England. I did not think that was the thrust of your question.**

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**Section 20C: Foreign Countries You Have Visited**

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Have you traveled outside the U.S. in the last 7 years? Respond for foreign countries you have visited in the last 7 years. Do not list travel under official U.S. Government business, but you must include any personal trips made in conjunction with the official U.S. Government travel. **Yes**

**Foreign Travels**

1. Dates of activity From (Month/Year): **03/2009** To (Month/Year): **03/2009**  
Purpose(s) of visit **Visit family or friends**  
Countries visited

1. Country **Israel**
- Number of days **4**
2. Dates of activity From (Month/Year): **12/2008** To (Month/Year): **01/2009**  
Purpose(s) of visit **Visit family or friends**  
Countries visited
1. Country **Israel**
- Number of days **12**
3. Dates of activity From (Month/Year): **05/2008** To (Month/Year): **06/2008**  
Purpose(s) of visit **Visit family or friends**  
Countries visited
1. Country **Israel**  
2. Country **Jordan**
- Number of days **22**
4. Dates of activity From (Month/Year): **06/2008** To (Month/Year): **06/2008**  
Purpose(s) of visit **Tourism**  
Countries visited
1. Country **Italy**
- Number of days **8**
5. Dates of activity From (Month/Year): **12/2007** To (Month/Year): **01/2008**  
Purpose(s) of visit **Visit family or friends**  
Countries visited
1. Country **Israel**
- Number of days **11**
6. Dates of activity From (Month/Year): **06/2007** To (Month/Year): **06/2007**  
Purpose(s) of visit **Tourism**  
Countries visited
1. Country **Italy**
- Number of days **16**
7. Dates of activity From (Month/Year): **12/2006** To (Month/Year): **01/2007**  
Purpose(s) of visit **Visit family or friends**  
Countries visited
1. Country **Israel**
- Number of days **9**
8. Dates of activity From (Month/Year): **12/2006** To (Month/Year): **12/2006**  
Purpose(s) of visit **Business/Professional conference Tourism**  
Countries visited
1. Country **United Kingdom**
- Number of days **6**
9. Dates of activity From (Month/Year): **06/2006** To (Month/Year): **07/2006**  
Purpose(s) of visit **Visit family or friends**  
Countries visited
1. Country **Israel**
- Number of days **15**
10. Dates of activity From (Month/Year): **06/2006** To (Month/Year): **06/2006**  
Purpose(s) of visit **Tourism**  
Countries visited
1. Country **France**
- Number of days **15**
11. Dates of activity From (Month/Year): **05/2006** To (Month/Year): **05/2006**  
Purpose(s) of visit **Business/Professional conference**  
Countries visited



1. Country **China**  
2. Country **Korea**
- Number of days **9**
12. Dates of activity From (Month/Year): **03/2006** To (Month/Year): **03/2006**  
Purpose(s) of visit **Tourism**  
Countries visited  
1. Country **Mexico**
- Number of days **3**
13. Dates of activity From (Month/Year): **12/2005** To (Month/Year): **01/2006**  
Purpose(s) of visit **Visit family or friends**  
Countries visited  
1. Country **Israel**
- Number of days **12**
14. Dates of activity From (Month/Year): **06/2005** To (Month/Year): **06/2005**  
Purpose(s) of visit **Tourism**  
Countries visited  
1. Country **Spain**
- Number of days **10**
15. Dates of activity From (Month/Year): **05/2005** To (Month/Year): **06/2005**  
Purpose(s) of visit **Visit family or friends**  
Countries visited  
1. Country **Israel**
- Number of days **8**
16. Dates of activity From (Month/Year): **03/2005** To (Month/Year): **03/2005**  
Purpose(s) of visit **Visit family or friends**  
Countries visited  
1. Country **Israel**
- Number of days **12**
17. Dates of activity From (Month/Year): **11/2004** To (Month/Year): **11/2004**  
Purpose(s) of visit **Tourism**  
Countries visited  
1. Country **Mexico**
- Number of days **5**
18. Dates of activity From (Month/Year): **06/2004** To (Month/Year): **07/2004**  
Purpose(s) of visit **Business/Professional conference Tourism**  
Countries visited  
1. Country **Canada**
- Number of days **10**
19. Dates of activity From (Month/Year): **05/2004** To (Month/Year): **06/2004**  
Purpose(s) of visit **Visit family or friends**  
Countries visited  
1. Country **Israel**
- Number of days **32**
20. Dates of activity From (Month/Year): **03/2004** To (Month/Year): **03/2004**  
Purpose(s) of visit **Visit family or friends**  
Countries visited  
1. Country **Israel**
- Number of days **11**
21. Dates of activity From (Month/Year): **06/2003** To (Month/Year): **06/2003**  
Purpose(s) of visit **Business/Professional conference Tourism**  
Countries visited

1. Country Hungary  
2. Country Italy
- Number of days 16
22. Dates of activity From (Month/Year): 03/2003 To (Month/Year): 03/2003  
Purpose(s) of visit Tourism  
Countries visited  
1. Country United Kingdom
- Number of days 8
23. Dates of activity From (Month/Year): 06/2002 To (Month/Year): 07/2002  
Purpose(s) of visit Tourism  
Countries visited  
1. Country Italy
- Number of days 16
24. Dates of activity From (Month/Year): 06/2002 To (Month/Year): 07/2002  
Purpose(s) of visit Visit family or friends  
Countries visited  
1. Country Israel
- Number of days 32
25. Dates of activity From (Month/Year): 03/2002 To (Month/Year): 03/2002  
Purpose(s) of visit Visit family or friends  
Countries visited  
1. Country Israel
- Number of days 24
26. Dates of activity From (Month/Year): 01/2002 To (Month/Year): 01/2002  
Purpose(s) of visit Visit family or friends  
Countries visited  
1. Country Israel
- Number of days 9
27. Dates of activity From (Month/Year): 11/2001 To (Month/Year): 11/2001  
Purpose(s) of visit Tourism  
Countries visited  
1. Country St. Lucia
- Number of days 8
28. Dates of activity From (Month/Year): 07/2001 To (Month/Year): 07/2001  
Purpose(s) of visit Tourism  
Countries visited  
1. Country Netherlands
- Number of days 8
29. Dates of activity From (Month/Year): 06/2001 To (Month/Year): 07/2001  
Purpose(s) of visit Visit family or friends  
Countries visited  
1. Country Israel
- Number of days 18
30. Dates of activity From (Month/Year): 06/2001 To (Month/Year): 06/2001  
Purpose(s) of visit Business/Professional conference Tourism  
Countries visited  
1. Country Hungary  
2. Country Austria  
3. Country Czech Republic
- Number of days 25

31. Dates of activity From (Month/Year): 02/2001 To (Month/Year): 03/2001  
Purpose(s) of visit Visit family or friends  
Countries visited  
1. Country Israel  
Number of days 24
32. Dates of activity From (Month/Year): 07/2000 To (Month/Year): 08/2000  
Purpose(s) of visit Visit family or friends  
Countries visited  
1. Country Israel  
Number of days 15
33. Dates of activity From (Month/Year): 07/2000 To (Month/Year): 07/2000  
Purpose(s) of visit Tourism  
Countries visited  
1. Country Romania  
Number of days 11
34. Dates of activity From (Month/Year): 05/2000 To (Month/Year): 06/2000  
Purpose(s) of visit Tourism  
Countries visited  
1. Country Egypt (Sinai)  
2. Country Israel  
Number of days 24
35. Dates of activity From (Month/Year): 03/2000 To (Month/Year): 03/2000  
Purpose(s) of visit Visit family or friends  
Countries visited  
1. Country Israel  
Number of days 14
36. Dates of activity From (Month/Year): 01/2000 To (Month/Year): 01/2000  
Purpose(s) of visit Visit family or friends  
Countries visited  
1. Country Israel  
Number of days 8
37. Dates of activity From (Month/Year): 06/1999 To (Month/Year): 07/1999  
Purpose(s) of visit Tourism  
Countries visited  
1. Country Russia  
Number of days 15
38. Dates of activity From (Month/Year): 06/1999 To (Month/Year): 06/1999  
Purpose(s) of visit Business/Professional conference Tourism  
Countries visited  
1. Country Hungary  
Number of days 21  
Additional comments: As I remember, during this trip, I may have traveled to surrounding countries.
39. Dates of activity From (Month/Year): 06/1997 To (Month/Year): 06/1997  
Purpose(s) of visit Tourism  
Countries visited  
1. Country France  
Number of days 15
40. Dates of activity From (Month/Year): 07/1995 To (Month/Year): 08/1995  
Purpose(s) of visit Tourism  
Countries visited  
1. Country China

## 2. Country Hong Kong

Number of days 16

41. Dates of activity From (Month/Year): 07/1994 (Estimated) To (Month/Year): 07/1994 (Estimated)

Purpose(s) of visit Tourism

Countries visited

## 1. Country Turkey

Number of days 14

Additional comments: I am estimating the number of days on all these trips -- I travel frequently for tourism and also to spend time in Israel, [redacted] lived a number of years. I may well have overlooked a trip or two. I am trying to reconstruct these from my passports and photo albums.

b6  
b7C

## Section 21: Mental and Emotional Health

In the last 7 years, have you consulted with a health care professional regarding an emotional or mental health condition or were you hospitalized for such a condition? Answer "No" if the counseling was for any of the following reasons and was not court-ordered:

- strictly marital, family, grief not related to violence by you; or
- strictly related to adjustments from service in a military combat environment.

No (No Entry Provided)

## Section 22: Police Record

- a. Have you been issued a summons, citation, or ticket to appear in court in a criminal proceeding against you; are you on trial or awaiting a trial on criminal charges; or are you currently awaiting sentencing for a criminal offense? No
- b. Have you been arrested by any police officer, sheriff, marshal, or any other type of law enforcement officer? No
- c. Have you EVER been charged with any felony offense? (Include those under Uniform Code of Military Justice.) No
- d. Have you EVER been charged with a firearms or explosives offense? No
- e. Have you EVER been charged with any offense(s) related to alcohol or drugs? No (No Entry Provided)

## Section 23: Illegal Use of Drugs or Drug Activity

- a. In the last 7 years, have you illegally used any controlled substance, for example, cocaine, crack cocaine, THC (marijuana, hashish, etc.), narcotics (opium, morphine, codeine, heroin, etc.), stimulants (amphetamines, speed, crystal methamphetamine, Ecstasy, ketamine, etc.), depressants (barbiturates, methaqualone, tranquilizers, etc.), hallucinogenics (LSD, PCP, etc.), steroids, inhalants (toluene, amyl nitrate, etc.) or prescription drugs (including painkillers)? Use of a controlled substance includes injecting, snorting, inhaling, swallowing, experimenting with or otherwise consuming any controlled substance. No
- b. Have you EVER illegally used a controlled substance while possessing a security clearance; while employed as a law enforcement officer, prosecutor, or courtroom official; or while in a position directly and immediately affecting the public safety? No
- c. In the last 7 years, have you been involved in the illegal possession, purchase, manufacture, trafficking, production, transfer, shipping, receiving, handling, or sale of any controlled substance (see question a above) including prescription drugs? No
- d. In the last 7 years, have you received counseling or treatment or have you been ordered, advised, or asked to seek counseling or treatment as a result of your use of drugs? If you answered "Yes," provide date(s) of treatment and name(s) and address(es) of provider(s). You will be asked to sign an additional release if information is needed concerning any treatment. No (No Entry Provided)

## Section 24: Use of Alcohol

- a. In the last 7 years, has your use of alcohol had a negative impact on your work performance, your professional or personal relationships, your finances, or resulted in intervention by law enforcement/public safety personnel? No
- Explanation
- b. In the last 7 years, have you been ordered, advised, or asked to seek counseling or treatment as a result of your use of alcohol? No
  - c. In the last 7 years, have you received counseling or treatment as a result of your use of alcohol? No
- Treatments (No Entry Provided)

## Section 25: Investigations and Clearance Record

- a. Has the U.S. Government or a foreign government EVER investigated your background and/or granted you a security clearance? If "Yes," use the codes that follow to provide the requested information below. If "Yes," but you can't recall the investigating agency and/or the security clearance received, check "Unknown." If your response is "No," or you don't know or can't recall if you were investigated and cleared, check the "No" box. Yes

## 1. Date of action Month/Year: 07/1989 (Estimated)

Investigating Agency Federal Bureau of Investigation Other agency or foreign country (if necessary)

Security Clearance Not Required Other clearance or foreign country (if necessary)

Additional comments: I believe there was some minimal check by the FBI when I began my clerkship at the Supreme Court. I may be misremembering but wanted to note this in case there was some sort of background check.

b. To your knowledge, have you EVER had a clearance or access authorization denied, suspended, or revoked; or been debarred from government employment? If "Yes," give the action(s), date(s) of action(s), agency(ies), and circumstances. Note: An administrative downgrade or termination of a security clearance is not a revocation. **No** (No Entry Provided)

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**Section 26: Financial Record**

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- a. Have you filed a petition under any chapter of the bankruptcy code? If "Yes," indicate Chapter 7, 11, or 13. **No**  
b. Have you had any possessions or property voluntarily or involuntarily repossessed or foreclosed? **No**  
c. Have you failed to pay Federal, state, or other taxes, or to file a tax return, when required by law or ordinance? **No**  
d. Have you had a lien placed against your property for failing to pay taxes or other debts? **No**  
e. Have you had a judgment entered against you? **No**  
f. Have you defaulted on any type of loan? **No**  
g. Have you had bills or debts turned over to a collection agency? **No**  
h. Have you had any account or credit card suspended, charged off, or cancelled for failing to pay as agreed? **No**  
i. Have you been evicted for non-payment of financial obligations? **No**  
j. Have you been delinquent on court-imposed alimony or child support payments? **No**  
k. Have you had your wages, benefits, or assets garnished or attached for any reason? **No**  
l. Have you been counseled, warned, or disciplined for violating terms of agreement for a travel or credit card provided by your employer? **No**  
m. Have you been over 180 days delinquent on any debt(s)? **No**  
n. Are you currently over 90 days delinquent on any debt(s)? **No**  
o. Have you EVER experienced financial problems due to gambling? **No**  
p. Are you currently delinquent on any Federal debt? **No** (No Entry Provided)

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**Section 27: Use of Information Technology Systems**

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- a. In the last 7 years, have you illegally or without proper authorization entered into any information technology system? **No**  
b. In the last 7 years, have you illegally or without authorization modified, destroyed, manipulated, or denied others access to information residing on an information technology system? **No**  
c. In the last 7 years, have you introduced, removed, or used hardware, software, or media in connection with any information technology system without authorization, when specifically prohibited by rules, procedures, guidelines, or regulations? **No** (No Entry Provided)

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**Section 28: Involvement in Non-Criminal Court Actions**

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In the last 7 years (if an SSBI go back 10 years), have you been a party to any public record civil court action(s) not listed elsewhere on this form? **No** (No Entry Provided)

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**Section 29: Association Record**

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- a. Have you EVER been an officer or a member of, or made a contribution to, an organization dedicated to terrorism, and which engaged in illegal activities to that end, either with an awareness of the organization's dedication to that end or with the specific intent to further such illegal activities? **No**  
b. Have you EVER been an officer or a member of, or made a contribution to, an organization dedicated to the use of violence or force to overthrow the U.S. Government, and which engaged in illegal activities to that end, either with an awareness of the organization's dedication to that end or with the specific intent to further such illegal activities? **No**  
c. Have you EVER been an officer or a member of, or made a contribution to, an organization that unlawfully advocates or practices the commission of acts of force or violence to discourage others from exercising their rights under the U.S. Constitution or any state of the U.S. with the specific intent to further such unlawful activities? **No**  
d. Have you EVER advocated any acts of terrorism or activities designed to overthrow the U.S. Government by force with the specific intent to incite others to unlawful action in furtherance of such aims? **No**  
e. Have you EVER knowingly engaged in any activities designed to overthrow the U.S. Government by force? **No**  
f. Have you EVER knowingly engaged in any acts of terrorism? Neither your truthful response nor information derived from your response to this question will be used as evidence against you in any subsequent criminal proceeding. **No**  
g. Have you EVER participated in militias (not including official state government militias) or paramilitary groups? **No**  
Explanation

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**Additional Comments**

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Additional Comments

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**Expected Attachments**

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Expected Attachments (No Entry Provided)

13. a) Please list names of all corporations, firms, partnerships or other business enterprises, and all nonprofit organizations, and other institutions with which you are now, or during the past five years only, have been affiliated as an officer, owner, director, trustee, partner, advisor, attorney or consultant.

Name/Address Telephone	Position/ Nature of Affiliation	Type of Business	Dates (To/From)	Compensated (Yes/No)	Name/Address/Telephone of Corporate Counsel/Other Verifying Official
Common Cause 1133 19 <sup>th</sup> St. NW 9 <sup>th</sup> Floor Washington, DC 20036 202-833-1200	Chair of Finance Committee (2006- present); Treasurer (2008- present); Vice Chair (2006-08); Member of the National Governing Board (2005- present)	Government reform Non-profit	2005- present	No	<div style="border: 1px solid black; height: 40px; width: 100%;"></div> Same as the organization.
University of Southern California Bovard Adm. Bldg Los Angeles, CA 90089 202-224-2121	Vice President of Academic Planning and Budget	Higher Education non-profit	2006- present	Yes	<div style="border: 1px solid black; height: 40px; width: 100%;"></div> Same as the organization

**საქართველოს მთავრობის**

## Electronic Questionnaires for Investigations Processing (e-QIP) Investigation Request #5500352

### SIGNATURE FORMS

The signature(s) in this document refer to information on forms submitted in the e-QIP Investigation Request #5500352. The signature on the statement below is as valid as directly signing the same statement on a printed e-QIP Investigation Request #5500352 Official Archival Copy. This signed statement and an image of each page from the e-QIP Investigation Request #5500352 Official Archival Copy will be considered official record.

Sign and submit all forms in this document to the office that initiated your Investigation Request.

Data Hash Code: f0f6145de13b0dc80be6879ffbf71fc5cdcdef49

Official Archival Copy PDF Hash Code: 0fe5adac5a67e61443e722c7b683d76034e762b9

Date/Time Certified in the e-QIP System: 2009-03-25 17:02:18.812

Applicant's Social Security Number: 444-48-0807

### Questionnaire for National Security Positions (SF86 Format)

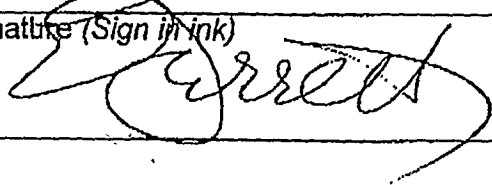
OMB No. 3206-0005

#### Certification

My statements on this form, and on any attachments to it, are true, complete, and correct to the best of my knowledge and belief and are made in good faith. I have carefully read the foregoing instructions to complete this form. I understand that a knowing and willful false statement on this form can be punished by fine or imprisonment or both (18 U.S.C. 1001). I understand that intentionally withholding, misrepresenting, or falsifying information may have a negative effect on my security clearance, employment prospects, or job status, up to and including denial or revocation of my security clearance, or my removal and debarment from Federal service.

Signature (Sign in ink)

Date



3/25/09

QUESTIONNAIRE FOR  
NATIONAL SECURITY POSITIONS

Form approved:  
OMB No. 3208-0005  
NSN 7540-00 634-4036  
86-111

UNITED STATES OF AMERICA

AUTHORIZATION FOR RELEASE OF INFORMATION

Carefully read this authorization to release information about you, then sign and date it in ink.

I **Authorize** any investigator, special agent, or other duly accredited representative of the authorized Federal agency conducting my background investigation, to obtain any information relating to my activities from individuals, schools, residential management agents, employers, criminal justice agencies, credit bureaus, consumer reporting agencies, collection agencies, retail business establishments, or other sources of information. This information may include, but is not limited to, my academic, residential, achievement, performance, attendance, disciplinary, employment history, criminal history record information, and financial and credit information. I authorize the Federal agency conducting my investigation to disclose the record of my background investigation to the requesting agency for the purpose of making a determination of suitability or eligibility for a national security position.

I **Authorize** the Social Security Administration (SSA) to verify my Social Security Number (to match my name, Social Security Number, and date of birth with information in SSA records and provide the results of the match) to the Office of Personnel Management (OPM) or other Federal agency requesting or conducting my investigation for the purposes outlined above. I authorize SSA to provide explanatory information to OPM, or to the other Federal agency requesting or conducting my investigation, in the event of a discrepancy.

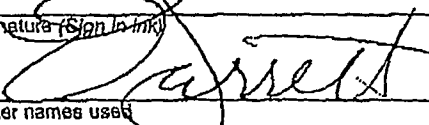
I **Understand** that, for financial or lending institutions, medical institutions, hospitals, health care professionals, and other sources of information, separate specific releases may be needed, and I may be contacted for such releases at a later date.

I **Authorize** any investigator, special agent, or other duly accredited representative of the OPM, the Federal Bureau of Investigation, the Department of Defense, the Department of State, and any other authorized Federal agency, to request criminal record information about me from criminal justice agencies for the purpose of determining my eligibility for assignment to, or retention in, a national security position. In accordance with 5 U.S.C. 9101. I understand that I may request a copy of such records as may be available to me under the law.

I **Authorize** custodians of records and other sources of information pertaining to me to release such information upon request of the investigator, special agent, or other duly accredited representative of any Federal agency authorized above regardless of any previous agreement to the contrary.

I **Understand** that the information released by records custodians and sources of information is for official use by the Federal Government only for the purposes provided in this Standard Form 86, and that it may be disclosed by the Government only as authorized by law.

Photocopies of this authorization that show my signature are valid. This authorization is valid for five (5) years from the date signed or upon the termination of my affiliation with the Federal Government, whichever is sooner.

Signature (Sign in ink) 		Full name (Type or print legibly) Helen Elizabeth Garrett		Date signed (mm/dd/yyyy) 3/25/09	
Other names used Beth Garrett, Elizabeth Garrett		Date of birth 6/30/63		Social Security Number 444480807	
Current street address 801 Ocean Ave. #404	Apt.#	City (Country) Santa Monica	State CA	Zip Code 90403	Home telephone number 310-576-0029

310 968 5398  
ced



QUESTIONNAIRE FOR  
NATIONAL SECURITY POSITIONS

Form approved:  
OMB No. 3206-0005  
NSN 7540-00 634-4036  
86-111

UNITED STATES OF AMERICA

AUTHORIZATION FOR RELEASE OF MEDICAL INFORMATION PURSUANT  
TO THE HEALTH INSURANCE PORTABILITY AND ACCOUNTABILITY ACT (HIPAA)

If you answered "Yes" to Question 21, carefully read this authorization to release information about you, then sign and date it in ink.

Instructions for Completing this Release

This is a release for the investigator to ask your health practitioner(s) the questions below concerning your mental health consultations. Your signature will allow the practitioner(s) to answer only these questions.

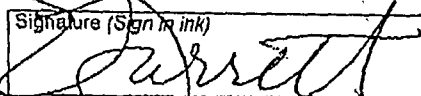
Authorization

I am seeking assignment to or retention in a national security position. As part of the clearance process, I hereby authorize the investigator, special agent, or duly accredited representative of the authorized Federal agency conducting my background investigation, to obtain the following information relating to my mental health consultations.

In accordance with HIPAA, I understand that I have the right to revoke this authorization at any time by writing to the U.S. Office of Personnel Management. I understand that I may revoke this authorization except to the extent that action has already been taken based on this authorization. Further, I understand that this authorization is voluntary. My treatment, payment, enrollment in a health plan, or eligibility for benefits will not be conditioned upon my authorization of this disclosure.

I understand the information disclosed pursuant to this release is for use by the Federal Government only for purposes provided in the Standard Form 86 and that it may be disclosed by the Government only as authorized by law, but will no longer be subject to the HIPAA privacy rule.

Photocopies of this authorization with my signature are valid. This authorization is valid for one (1) year from the date signed or upon termination of my affiliation with the Federal Government, whichever is sooner.

Signature (Sign in ink) 		Full name (Type or print legibly) Helen Elizabeth Garrett		Date signed (mm/dd/yyyy) 3/25/09	
Other names used Beth Garrett; Elizabeth Garrett				Social Security Number 44448-0807	
Current street address 801 Ocean Ave. #404		Apt.#	City (Country) Santa Monica	State CA	Zip Code 90403
				Home telephone number 310-576-0029	

CEU 310 468-5398

For Use By Practitioner(s) Only

Does the person under investigation have a condition that could impair his or her judgment, reliability, or ability to properly safeguard classified national security information? <input type="checkbox"/> YES <input type="checkbox"/> NO		
If so, describe the nature of the condition and the extent and duration of the impairment or treatment.		
What is the prognosis?		
Signature (Sign in ink)		Date signed (mm/dd/yyyy)
Practitioner name		

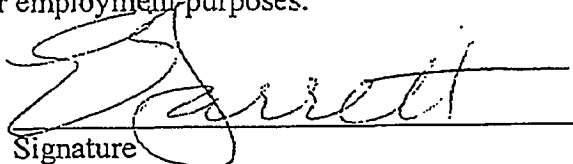
THE WHITE HOUSE  
Washington

PRIVACY ACT PROTECTED INFORMATION  
(When completed)

Disclosure and Authorization  
Pertaining to Consumer Reports  
Pursuant to the Fair Credit Reporting Act

This is a release for the Federal Bureau of Investigation and/or the Office of Counsel to President Barack Obama, acting on the President's behalf, to obtain one or more consumer credit reports about you in connection with consideration of your appointment to a position with the Executive Branch, or in the course of your employment with the Federal Government. One or more reports about you may be obtained for employment purposes, including evaluating your fitness for employment, promotion, reassignment, retention, or access to classified information.

I, Elizabeth Garrett (print or type full name), hereby authorize the Federal Bureau of Investigation and/or the Office of Counsel to President Barack Obama, acting on the President's behalf, to obtain such reports from any consumer/credit reporting agency for employment purposes.

  
Signature

March 24, 2009  
Date

444-48-0807  
Social Security Number

## Supplement to Standard Form 86 (SF-86)

USC Care 1520 San Pablo Street Los Angeles, CA 90033	Member of Board of Directors	Medical Services Nonprofit Associated with USC	2007 or 2008- present	No - part of my job at USC	<div></div> See above
Lord Foundation	Secretary	Nonprofit focused on supporting business and engineering education at USC	2007- present	No - part of my job at USC	<div></div> See above

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b7C

## Supplement to Standard Form 86 (SF-86)

18. b) Please provide the names of all organizations with which you are/were affiliated since age 18 that might present a potential conflict or appearance of conflict of interest with your prospective appointment.

Name/Address/ Telephone	Position/Type of Business	Dates (To/From)	Nature of Conflict Of Interest	Name/Address/ Telephone of Corporate Counsel Or Other Verifying Official
None				

18. c) If an attorney, please provide a listing of major clients and all other clients that might present a potential conflict or appearance of conflict of interest with your prospective appointment.

Name/Address/Telephone Of Client	Dates (To/From)	Nature of Conflict of Interest	Name/Address/Telephone Of Contact Person
N/A			

## Supplement to Standard Form 86 (SF-86)

18 d) List any current or former professional licenses/memberships, i.e., bar associations, medical license, real estate license, etc.

Type of License/membership	Location	License Number	Date issued/Date of expiration
American Bar Association	DC	00400110	1988/2009
Texas Bar Association	Austin, Texas	07686950	1988/2009
D.C. Bar Association	Washington, DC	419232	1989/2009

2S. Please list all of your interests in real property, including additional homes, vacation homes, and rental properties.

Location/Address of Property (to include zip code)	Nature of Interest (e.g. owner, investor)	Type of Property (commercial/residential/ rental)	Other Than Primary Residence, Estimated Annual Days Spent at Residence
none			

## Supplement to Standard Form 86 (SF-86)

3S. Have you or any firm, company or other entity with which you have been associated ever been convicted of a violation of any Federal, state, county, or municipal law, regulation or ordinance? \_\_\_\_ Yes \_\_\_\_X\_\_ No. If so, please provide details in the table below.

Name/Address/ Telephone of Individual, Firm, or Company	Dates	Violation of Federal/State/ County/Municipal Law, Regulation or Ordinance	Disposition	Location of Records	Name/Address/ Telephone of Corporate Counsel/Other Verifying Official

## Supplement to Standard Form 86 (SF-86)

48. Have you or any firm, company, or other entity with which you have been associated ever been the subject of a Federal, state or local investigation for possible violation of a criminal statute?

     Yes   X   No      If so, please provide details in the table below.

Name/Address/ Telephone Of Individual, Firm, Company Investigated	Dates	Details of Criminal Statute Violated	Disposition	Investigative Entity	Name/Address/Telephone Of General Counsel/ Other Verifying Official

## Supplement to Standard Form 86 (SF-86)

5S. Have you ever been involved in civil or criminal litigation, or in administrative or legislative proceedings of any kind, either as a plaintiff, defendant, respondent, witness, or party in interest?  
☒ Yes ☐ No If yes, please provide details in chart below.

Type of Proceeding (civil/criminal litigation or administrative/ legislative proceedings)	Your Involvement (plaintiff, defendant, respondent, witness, or party of interest)	Dates	Issue(s) and Disposition	Location of Records (e.g. court) Name/Address/Telephone of General Counsel/ Other Verifying Official
Civil Litigation [redacted]	Expert	1997	Decided by Supreme Court 531 U.S. 510 (2001)	U.S. Dist. Ct. for the Western Dist. Of Missouri [redacted] Arthur Benson & Assocs. Suite 1125 Kansas City, MO 64106 (address from 1997 documents)
[redacted] v. Network Solutions	Expert	1998	Summ. J. granted in part, Dismissed in part by D.C., and affirmed by Ct. of App.  (I found this using Lexis; I did not know the disposition)	U.S. Dist. Ct. for the District of Columbia [redacted] Bode & Beckman Ninth Floor, Connecticut Bldg. 1150 Conn. Ave. NW Washington, D.C. 20036 (address from 1998 documents)

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310 5/60029

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## Supplement to Standard Form 86 (SF-86)

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Wirtz Corporation	Expert	2001	Dismissed for lack of standing by IL Supreme Court  (I found this using Lexis; I did not know the disposition)	Circuit Court of Cook County, IL  Assistant AG Revenue Litigation Bureau 100 W. Randolph St. Chicago, IL 60610 Address on 2001 docuem (address from 2001 documents)
Citizens for a Clean Government v. City of San Diego	Expert	2008	Pending	U.S. Dist. Ct. for California  Deputy City Attorney Litigation Section - Mail Stop 59 1200 Third Avenue, Suite 1100 San Diego, CA 92101

6S. Have you ever been disciplined or cited for a breach of ethics or unprofessional conduct by, or been the subject of a complaint to, any court, administrative agency, professional association, disciplinary committee, or other professional group? ☐ Yes ☒ No If yes, please provide details in the chart below.

Entity/Court/Administrative Agency/Professional Association/Disciplinary Committee/Other Professional Group	Date	Citation/Disciplinary Action/Complaint	Disposition	Location of Records/Name Address/Telephone of Contact Person
---	------	--	-------------	--

## Supplement to Standard Form 86 (SF-86)

7S. a) Have you ever run for or been elected to political office, served on a political committee or have been identified in a public way with a particular organization, candidate or issue? ☒ Yes ☐ No  
Identify any complaints lodged against you and/or your political committee with the Federal Election Commission or state or local election authorities.

Political Office/Committee Organization	Position	Elected/Appointed/ Volunteer Position	Dates	Nature of Complaints	Location of Records
Barack Obama Presidential Campaign	Volunteer on Tax Policy and Education Policy Committee s	Volunteer	2007- 08	none	

## Supplement to Standard Form 86 (SF-86)

b) Have you ever acted as or registered as a lobbyist? ☐ Yes ☒ No If so provide appropriate registration information.

Entity/Address	Dates	Registered (Yes/No)	Level of Registration Foreign/Federal/State/Local	Location of Registration Records

88. Please provide all affiliations as a member or office holder of any social clubs or organizations since age 18. Indicate whether each restricts or restricted membership on the basis of sex, race, color, religion, national origin, age or handicap? If so, indicate your efforts to change the restrictive policy.

Social Club/Organization	Dates	Position (Member/Officer)	Restrictive Membership Policies (Yes/No)	Name/Address/Telephone of Verifying Membership Official
None				

## Supplement to Standard Form 86 (SF-86)

98. a) Please identify any adults (18 years or older) currently living with you who are not members of your immediate family (include household employee) and provide complete immigration information if they are not United States citizens by birth.

Current name and name under which the individual entered the U.S. (if name has since changed since entry)	Date of Birth	Place of Birth	Country of Citizenship	Immigration Information (Certificate Number/ Court/City/State and Month/Day/Year Issued). If Alien Registration, provide the date and place where the individual entered the U.S.	If an au pair, identify the name and location of sponsoring agency.
None					

## Supplement to Standard Form 86 (SF-86)

9S. b) Please identify any adults (18 years or older) you employ individually who do not reside with you (e.g. nanny, gardener, babysitter, and housekeeper) and whether all appropriate taxes have been paid regarding each individual.

Name	Position	Dates Employed	Have All Appropriate Taxes Been Paid For Listed Individual (Yes/No)
None			

Supplement to Standard Form 86 (SF-86)

109. Is there anything in your personal life that could be used by someone to coerce or blackmail you or is there anything in your life that could cause an embarrassment to you or the President if publicly known? \_\_\_\_ Yes X No If so, please provide full details.

# Supplement to Standard Form 86 (SF-86)

I understand that the information being provided on this supplement to the SF-86 is to be considered part of the original SF-86 dated March 26, 2009, and a false statement on this form is punishable by law.

  
Signature

Helen Elizabeth Garrett  
Type Full Name

March 26, 2009  
Date

## Supplement to Standard Form 86 (SF-86)

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USC Care 1520 San Pablo Street Los Angeles, CA 90033	Member of Board of Directors	Medical Services Nonprofit Associated with USC	2007 or 2008- present	No - part of my job at USC	<div>See above</div>
Lord Foundation	Secretary	Nonprofit focused on supporting business and engineering education at USC	<del>2007-</del> present <i>E. Garrett</i> 3/26/09	No - part of my job at USC	<div>See above</div>

Initiative +  
Referendum  
Institute at  
USCMember  
of the  
Board,  
Secretarynonprofit  
focused on  
direct democracy;  
associated  
with USC*E. Garrett*  
3/28/092004-  
present

No

Supplement Amended

Elizabeth Garrett

444-48-0807



FD-340 (Rev. 4-11-03)

File Number 161B-HQ-1611255

Field Office Acquiring Evidence DC

Serial # of Originating Document \_\_\_\_\_

Date Received 3-31-07

From OKLA. DEPT. OF HEALTH - VITAL STATISTICS  
(Name of Contributor/Interviewee)

1000 N 15 10 ST  
(Address)

OKC, OK

By INA

To Be Returned ☐ Yes ☒ No

Receipt Given ☐ Yes ☒ No

Grand Jury Material - Disseminate Only Pursuant to Rule 6 (e)

Federal Rules of Criminal Procedure

☐ Yes ☒ No

Federal Taxpayer Information (FTI)

☐ Yes ☒ No

Title:

Reference: \_\_\_\_\_  
(Communication Enclosing Material)

Description: ☐ Original notes re interview of

62124515 COPY OF CERTIFICATE OF LIVE BIRTH RE:

HELEN ELIZABETH GARRETT

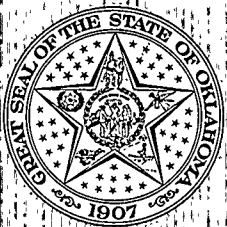


B00994488

This is a true and correct copy of the official record on file in the Office of Vital Statistics, Oklahoma City, Oklahoma, certified on the date stamped.



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Office of Vital Statistics  
Department of Health

It is in violation of Oklahoma Statutes, Title 63, Section 1-324, I, to prepare or issue any certificate which purports to be original, certified copy or copy of a certificate of birth, death or stillbirth, except as authorized in this act or rules and regulations adopted under this act.

**CERTIFIED COPIES WILL BE PRODUCED ON MULTI-COLOR SECURITY PAPER.**

**VERIFY PRESENCE OF WATERMARK HOLD TO LIGHT TO VIEW**

**WARNING:**

THIS DOCUMENT IS PRINTED ON SECURITY WATERMARKED PAPER AND CONTAINS SECURITY FIBERS. DO NOT ACCEPT WITHOUT VERIFYING THE PRESENCE OF THE WATERMARK.

THE DOCUMENT FACE CONTAINS A SECURITY BACKGROUND. THE BACK CONTAINS SPECIAL LINES WITH TEXT, EMBOSSED SEAL AND THERMOCHROMIC INK.

# CERTIFICATE OF LIVE BIRTH

State of Oklahoma - Department of Health

LOCAL REG. NO. 33

**5873**

STATE FILE NO. 135 - 63-023899

<b>1. PLACE OF BIRTH</b> a. COUNTY <b>OKLAHOMA</b> b. CITY, TOWN, OR LOCATION <b>Oklahoma City</b> c. NAME OF HOSPITAL OR INSTITUTION (If not in hospital, give street address) <b>St. Anthony Hospital</b> d. IS PLACE OF BIRTH INSIDE CITY LIMITS? Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>		<b>2. USUAL RESIDENCE OF MOTHER (Where does mother live?)</b> a. STATE <b>Oklahoma</b> COUNTY <b>Oklahoma</b> c. CITY, TOWN, OR LOCATION <b>Oklahoma City</b> d. STREET ADDRESS <b>1216 N. W. 103</b> e. IS RESIDENCE INSIDE CITY LIMITS? YES <input checked="" type="checkbox"/> NO <input type="checkbox"/> f. IS RESIDENCE ON A FARM YES <input type="checkbox"/> NO <input checked="" type="checkbox"/>	
<b>3. CHILD'S NAME</b> (Type or print) First <b>Helen</b> Middle <b>Elizabeth</b> Last <b>Garrett</b>			
<b>4. SEX</b> <b>Female</b> <b>5a. THIS BIRTH</b> SINGLE <input checked="" type="checkbox"/> TWIN <input type="checkbox"/> TRIPLET <input type="checkbox"/> <b>5b. IF TWIN OR TRIPLET, WAS CHILD BORN</b> 1st <input type="checkbox"/> 2d <input type="checkbox"/> 3d <input type="checkbox"/> <b>6. DATE OF BIRTH</b> Month <b>June</b> Day <b>30</b> Year <b>1963</b>			
<b>7. FATHER'S NAME</b> (Type or print) First <b>[REDACTED]</b> Middle <b>[REDACTED]</b> Last <b>[REDACTED]</b> <b>9. AGE (At time of this birth)</b> <b>[REDACTED]</b> <b>10. BIRTHPLACE (State or foreign country)</b> <b>Oklahoma City, Oklahoma</b> <b>11a. USUAL OCCUPATION</b> <b>[REDACTED]</b> <b>11b. KIND OF BUSINESS OR INDUSTRY</b> <b>[REDACTED]</b>		<b>8. COLOR OR RACE</b> <b>White</b> <b>12. MOTHER'S MAIDEN NAME</b> (Type or print) First <b>[REDACTED]</b> Middle <b>[REDACTED]</b> Last <b>[REDACTED]</b> <b>13. COLOR</b> <b>White</b> <b>14. AGE (At time of this birth)</b> <b>[REDACTED]</b> YEARS <b>15. BIRTHPLACE (State or foreign country)</b> <b>Oklahoma City, Oklahoma</b> <b>16. PREVIOUS DELIVERIES TO MOTHER (Do NOT include this birth)</b> a. How many OTHER children are now living? <b>0</b> b. How many OTHER children were born alive but are now dead? <b>0</b> c. How many fetal deaths (fetuses born dead at ANY time after conception)? <b>0</b>	
<b>17. INFORMANT</b> <b>[REDACTED]</b>			
<b>18. MOTHER'S MAILING ADDRESS</b> <b>[REDACTED] Oklahoma City, Oklahoma</b>			
<b>19a. LENGTH OF PREGNANCY COMPLETED WEEKS</b> <b>40</b> <b>21a. WAS BLOOD OF THIS CHILD'S MOTHER TESTED FOR SYPHILIS?</b> <b>[REDACTED]</b>		<b>19b. WEIGHT OF CHILD AT BIRTH</b> <b>9</b> LB. <b>3</b> OZ. <b>21b. DATE TEST MADE</b> <b>[REDACTED]</b> <b>21c. IF NO TEST, STATE REASON THEREFOR:</b>	
I hereby certify that this child was born alive on the date stated above. <b>[REDACTED]</b> <b>Oklahoma City, Oklahoma</b>		<b>22b. ATTENDANT AT BIRTH</b> M.D. <input checked="" type="checkbox"/> D.O. <input type="checkbox"/> D.C. <input type="checkbox"/> MIDWIFE <input type="checkbox"/> OTHER (Specify) <b>[REDACTED]</b> <b>22d. DATE SIGNED</b> <b>July 1963</b>	
<b>23a. DATE REC'D. BY LOCAL REG.</b> <b>JUL 16 1963</b> THIS LINE FOR USE OF STATE REGISTRAR		<b>24. DATE RECEIVED BY STATE REGISTRAR</b> <b>JUL 18 1963</b> CLERK	

VS 152 12-55

March 31, 2009

FD-340e (4-11-03)

File Number 161B-4Q-1611255

Field Office Acquiring Evidence CG

Serial # of Originating Document \_\_\_\_\_

Date Received 3/31/2009

From \_\_\_\_\_

(Address)

By SA \_\_\_\_\_

To Be Returned ☐ Yes ☒ No

Receipt Given ☐ Yes ☒ No

Grand Jury Material - Disseminate Only Pursuant to Rule 6 (e)

Federal Rules of Criminal Procedure

☐ Yes ☒ No

Federal Taxpayer Information (FTI)

☐ Yes ☒ No

Title:

Reference: ~~FD-302~~ Insert  
(Communication Enclosing Material)

Description: ☒ Original notes re interview of

3/31/09

Helen Elizabeth Garrett  
161B-HQ-1611255

Name: [REDACTED]

DOB: 11/26/46

SSAN:

Residence: [REDACTED]

Phone: [REDACTED]

Title: [REDACTED]

Employer: University of Chicago

Business Address:

Telephone:

b6  
b7C

LENGTH/NATURE OF ASSOCIATION (professional, personal, social or a combination) /BASIS OF KNOWLEDGE (personal knowledge, hearsay, opinion):

Known - met when joined faculty - around [REDACTED]  
not know here well [REDACTED]

Know here reasonably well -  
professional relationship

REFERENCES FOR [REDACTED]

Nwestern LawSchool

Lexicon

worked closely w/ Beth

[REDACTED]  
[REDACTED] 5th

CHARACTER: In general, describe the candidate's attitude. Does the candidate possess characteristics such as trustworthiness and reliability? Is the candidate discrete? If not, provide specifics as to how the candidate is not.

Definitely Trustworthy reliable

fresty - rep for being decisive  
& sometimes difficult/effective

**ASSOCIATES:** What types of persons, businesses, groups, organizations, or movements has the candidate been associated with? Are any of these associations been disreputable or disloyal in nature?

Nothing he IS aware of

REPUTATION: What is the candidate's general standing in the community?

Very effective -

Respected done a good job @ USC

Serious -

Person who people respect  
in general people think quite well  
of her



**LOYALTY:** Do you recall any actions and statements revealing the candidate's attitude and allegiance toward the United States and its constituted form of government? Is the candidate loyal to the U.S. Government? Has the candidate expressed or indicated any sympathies with any foreign government?

Not @ all

Absolutely Loyal

**ABILITIES AND QUALIFICATIONS:** In general, can you comment on the candidate's capacity or competence (native - inborn/innate, or acquired) to perform well in his/her occupation or field of employment?

First rat - some of both -  
very smart good judgment -  
learned how to be those  
thing -

Can you comment on other employment experiences the candidate has had? What were his/her duties and responsibilities? How well did he/she perform her duties?

mainly second hand when dep  
dean - Rep forceful - tough -  
could get upset - put people off but  
level headed - terrific job

Can you provide specifics concerning the candidates qualifications, background and experience, professional reputation, reputation for fairness, judicial temperament, legal ability, courtroom experience and demeanor, oral and written skills?

Very good academic -  
very good in Tax policy  
considerable admin experience

BIAS/PREJUDICE: Does the candidate have an irrational attitude directed against any class of citizen or any religious, racial, gender, or ethnic group or their supposed characteristics? Has the candidate ever exhibited any bias or prejudice against any class of citizens or the aforementioned group?

Never —  
made statements that  
he heard or heard of

FINANCIAL RESPONSIBILITY: Is the candidate financially responsible? Does the candidate have a lifestyle and/or spending habits consistent with his/her means?

As far as aware  
never heard anything

ALCOHOL ABUSE, PRESCRIPTION DRUG ABUSE/ILLEGAL DRUG USE, ETC.:

Does the candidate abuse alcohol or prescription drugs?

No-

Does or has the candidate used, purchased, possessed, manufactured, trafficked, produced, transferred, shipped, received, sold, or distributed illegal drugs?

Not to knowledge

Has the candidate ever illegally used a controlled substance while employed as a law enforcement officer, prosecutor, or court room official; while possessing a security clearance; or while in a position directly and immediately affecting public safety?

COERCION: Your inserts must read, "Interviewee was not aware of any facts which would furnish a reason to believe that the applicant may be subject to coercion, influence or pressure which may cause the applicant to act contrary to the best interests of the national security. The interviewee believes the candidate would be suitable for access to classified information."

No -

1 2 3 4

SUITABILITY/SECURITY: Are there any issues or concerns you may have which would lead you to believe the candidate is not suitable for a position with the Federal Government or would lead you to believe the candidate may be a security risk?

*No-*  
Any behavior, activities, or associations that show not reliable or trustworthy? Any illness, including any mental condition, or of a nature that may cause significant defect in the judgement or reliability of the candidate? *Not to know*

Any facts which give reason to believe the candidate may be subject to coercion, influence, or pressure which may cause the candidate to act contrary to the best interests of national security?

*NO*

RECOMMENDATION: Interviewee recommends the candidate for a position of trust and responsibility with the United States Government?

Recommend

ADDITIONAL INFORMATION:

Not aware of anything



\*\*NOTE - The Privacy Act of 1974 requires all persons interviewed during the BI be advised of the purpose for which information is sought (except presidential pardons). When an individual has requested and been granted an expressed promise of confidentiality, it is absolutely imperative it is clearly recorded in the interview. The level of confidentiality must be clearly set forth in the document interview:

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Identity be protected until such time as required in a judicial proceeding or administrative hearing will read, "Name, etc, who requested his/her identity be protected from protected from the candidate until such time as is required in a judicial proceeding or administrative hearing, advised..."

The fact that you advised the interviewee of the Privacy Act has to be included in your insert. Some inserts are written, "The following investigation was conducted by Special Agent  Albuquerque, New Mexico: On xx,xx,xxxx, upon advising interviewee of the Provisions of the Privacy Act provided the following information:" However, you can write it up any way you like, just make sure it's in there.

b6  
b7c

FD-340e (4-11-03)

File Number 161B-HQ-1611255

Field Office Acquiring Evidence CG

Serial # of Originating Document \_\_\_\_\_

Date Received 3/31/2009

From \_\_\_\_\_

(Address)

By SA \_\_\_\_\_

To Be Returned ☐ Yes ☒ No

Receipt Given ☐ Yes ☒ No

Grand Jury Material - Disseminate Only Pursuant to Rule 6 (e)

Federal Rules of Criminal Procedure

☐ Yes ☒ No

Federal Taxpayer Information (FTI)

☐ Yes ☒ No

Title:

Reference: Insert  
(Communication Enclosing Material)

Description: ☒ Original notes re interview of

3/31/09

Helen Elizabeth Garrett  
161B-HQ-1611255

Name:

DOB:

SSAN:

Residence:

Phone:

Title:

Employer: university

Business Address:

Telephone:

not necessary

b6  
b7C

LENGTH/NATURE OF ASSOCIATION (professional, personal, social or a combination) /BASIS OF KNOWLEDGE (personal knowledge, hearsay, opinion):

Known since she came here  
beginning w/ employment -  
to this day  
since leaving USC -  
month -  
Personal Knowledge

REFERENCES FOR THE CANDIDATE:

6th floor

Rm

**CHARACTER:** In general, describe the candidate's attitude. Does the candidate possess characteristics such as trustworthiness and reliability? Is the candidate discrete? If not, provide specifics as to how the candidate is not.

Absolutely great integrity  
put in delicate position as dean  
did the right thing  
listening to info student's should + share  
keep discreet  
Straightest person ever met

ASSOCIATES: What types of persons, businesses, groups, organizations, or movements has the candidate been associated with? Are any of these associations been disreputable or disloyal in nature?

Absolutely - Nothing.

Really dedicated

Thoroughgood  
Marshall

clerked for Thoroughgood Marshall

worked for Senator Boren

Boren

focused on public - work

Presbyterian church -

REPUTATION: What is the candidate's general standing in the community?

You can trust Beth -  
She'll get it done

high standing -

worst thing - very insistent as an  
administrator - not waste own  
time -

Tough minded -

decisions - made -

LOYALTY: Do you recall any actions and statements revealing the candidate's attitude and allegiance toward the United States and its constituted form of government? Is the candidate loyal to the U.S. Government? Has the candidate expressed or indicated any sympathies with any foreign government?

Absolutely not

Blood runs red, white & blue

Red River game denys Sooner  
from Oklahoma

**ABILITIES AND QUALIFICATIONS:** In general, can you comment on the candidate's capacity or competence (native - inborn/innate, or acquired) to perform well in his/her occupation or field of employment?

Shes a quick study- enjoys a challenge  
to master area she has generalized  
or cursory knowledge -

she doesn't have distinct field -  
Taught Tax - but principle writing

Lately

Can you comment on other employment experiences the candidate has had? What were his/her duties and responsibilities? How well did he/she perform her duties?

gov.  
Process.

Highly regarded

Quite prolific - substantial -

works even though  
terrifying work ethic -

Can you provide specifics concerning the candidates qualifications, background and experience, professional reputation, reputation for fairness, judicial temperament, legal ability, courtroom experience and demeanor, oral and written skills?

Don't know -



BIAS/PREJUDICE: Does the candidate have an irrational attitude directed against any class of citizen or any religious, racial, gender, or ethnic group or their supposed characteristics? Has the candidate ever exhibited any bias or prejudice against any class of citizens or the aforementioned group?

No - full throated liberal in the  
best sense -

FINANCIAL RESPONSIBILITY: Is the candidate financially responsible? Does the candidate have a lifestyle and/or spending habits consistent with his/her means?

She's very careful along these lines  
Still renting - some sense should  
do admin jobs & might move  
on -

ALCOHOL ABUSE, PRESCRIPTION DRUG ABUSE/ILLEGAL DRUG USE, ETC.:

Does the candidate abuse alcohol or prescription drugs?

Nothing to  
indicate - Prescription Drugs - No idea  
been out to dinner - Seems to be two  
glass

Does or has the candidate used, purchased, possessed,  
manufactured, trafficked, produced, transferred, shipped,  
received, sold, or distributed illegal drugs?

Not to his knowledge -

Not to work -

Has the candidate ever illegally used a controlled substance  
while employed as a law enforcement officer, prosecutor, or court  
room official; while possessing a security clearance; or while in  
a position directly and immediately affecting public safety?

COERCION: Your inserts must read, "Interviewee was not aware of any facts which would furnish a reason to believe that the applicant may be subject to coercion, influence or pressure which may cause the applicant to act contrary to the best interests of the national security. The interviewee believes the candidate would be suitable for access to classified information."

Very conventional career arc -  
modest Midwestern background  
good law school & shoot-up  
Never faced a terrible crisis -

Suitable for classified material

SUITABILITY/SECURITY: Are there any issues or concerns you may have which would lead you to believe the candidate is not suitable for a position with the Federal Government or would lead you to believe the candidate may be a security risk?

Not @ all

Any behavior, activities, or associations that show not reliable or trustworthy? Any illness, including any mental condition, or of a nature that may cause significant defect in the judgement or reliability of the candidate?

Any facts which give reason to believe the candidate may be subject to coercion, influence, or pressure which may cause the candidate to act contrary to the best interests of national security?

thrilled @ prospect of sec having  
her on board

No issues w/ judgement

Not an issue

RECOMMENDATION: Interviewee recommends the candidate for a position of trust and responsibility with the United States Government?

Absolutely -

ADDITIONAL INFORMATION:

tried to supply - a career ARC -  
always moving upward  
eager to accept greater resp -  
moved from Faculty to Association  
eventually Dep. Pres USC.

2 3 0

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b6  
b7c

FD-340c (4-11-03)

File Number 161B-HQ-1611255

Field Office Acquiring Evidence CG

Serial # of Originating Document \_\_\_\_\_

Date Received 3/31/2009

From  (Interviewee)

(Address)

By SA

To Be Returned ☐ Yes ☒ No

Receipt Given ☐ Yes ☒ No

Grand Jury Material - Disseminate Only Pursuant to Rule 6 (e)  
Federal Rules of Criminal Procedure

☐ Yes ☒ No

Federal Taxpayer Information (FTI)

☐ Yes ☒ No

Title:

Reference: Insert  
(Communication Enclosing Material)

Descript

b6  
b7C



3/31/09

Helen Elizabeth Garrett  
161B-HQ-1611255

**\*\* PRIVACY ACT STATEMENT**

Name:

DOB:

SSAN:

Residence:

Phone:

Title:

Employer:

Business Address:

Telephone:

b6  
b7C

LENGTH/NATURE OF ASSOCIATION (professional, personal, social or a combination) /BASIS OF KNOWLEDGE (personal knowledge, hearsay, opinion):

Known as long as  
about a

Professional - Personal

truly enjoyed

**REFERENCES FOR THE CANDIDATE:**

Not recall who she spent time with  
her office upstairs-

saw each other & email

Not recall who spent time w/ socialized  
with

**CHARACTER:** In general, describe the candidate's attitude. Does the candidate possess characteristics such as trustworthiness and reliability? Is the candidate discrete? If not, provide specifics as to how the candidate is not.

Extremely - trustworthy, Reliable - very -

Know exactly what they want clear -

I want this - No guess -

Always clear

No hesitation, No guess -

Easy to work w/ her - Favorite thing

Discreet

ASSOCIATES: What types of persons, businesses, groups, organizations, or movements has the candidate been associated with? Are any of these associations been disreputable or disloyal in nature?

Not @ all - character -  
No one w/ a better character  
very direct -  
Trust him w/ anything  
No Never -

**REPUTATION:** What is the candidate's general standing in the community?

Always been very good - Never once heard  
anything derogatory  
Sad to see her leave -  
she was wonderful

Direct - sometimes people offended -  
very clear w/ everyone -  
not have to ask -

**LOYALTY:** Do you recall any actions and statements revealing the candidate's attitude and allegiance toward the United States and its constituted form of government? Is the candidate loyal to the U.S. Government? Has the candidate expressed or indicated any sympathies with any foreign government?

No -

ABILITIES AND QUALIFICATIONS: In general, can you comment on the candidate's capacity or competence (native - inborn/innate, or acquired) to perform well in his/her occupation or field of employment?

very competent - very confident - secure -  
not describe everything academic -  
issues as secretary -  
always handled her position - could never think  
of anything to dislike -

Can you comment on other employment experiences the candidate has had? What were his/her duties and responsibilities? How well did he/she perform her duties?

Perform Duties well -  
Always there when needed -  
She was right there -

Can you provide specifics concerning the candidates qualifications, background and experience, professional reputation, reputation for fairness, judicial temperament, legal ability, courtroom experience and demeanor, oral and written skills?

BIAS/PREJUDICE: Does the candidate have an irrational attitude directed against any class of citizen or any religious, racial, gender, or ethnic group or their supposed characteristics? Has the candidate ever exhibited any bias or prejudice against any class of citizens or the aforementioned group?

Never - any Bias -

Nothing to believe -

Havent received mail in several years

FINANCIAL RESPONSIBILITY: Is the candidate financially responsible? Does the candidate have a lifestyle and/or spending habits consistent with his/her means?

Very Frugal-

can tell his type of person  
liked to travel

couldn't see anything outrageous.



ALCOHOL ABUSE, PRESCRIPTION DRUG ABUSE/ILLEGAL DRUG USE, ETC.:

Does the candidate abuse alcohol or prescription drugs?

No -

Does or has the candidate used, purchased, possessed, manufactured, trafficked, produced, transferred, shipped, received, sold, or distributed illegal drugs?

No -

not in his character

Has the candidate ever illegally used a controlled substance while employed as a law enforcement officer, prosecutor, or court room official; while possessing a security clearance; or while in a position directly and immediately affecting public safety?

COERCION: Your inserts must read, "Interviewee was not aware of any facts which would furnish a reason to believe that the applicant may be subject to coercion, influence or pressure which may cause the applicant to act contrary to the best interests of the national security. The interviewee believes the candidate would be suitable for access to classified information."

can not even imagine that-  
she is one of those people-  
get to see people in all modes-

yes suitable-  
nobody she could trust more-

SUITABILITY/SECURITY: Are there any issues or concerns you may have which would lead you to believe the candidate is not suitable for a position with the Federal Government or would lead you to believe the candidate may be a security risk?

Any behavior, activities, or associations that show not reliable or trustworthy? Any illness, including any mental condition, or of a nature that may cause significant defect in the judgement or reliability of the candidate?

Any facts which give reason to believe the candidate may be subject to coercion, influence, or pressure which may cause the candidate to act contrary to the best interests of national security?

No-

Great Family - Not recall where

RECOMMENDATION: Interviewee recommends the candidate for a position of trust and responsibility with the United States Government?

Absolutely -  
the best

ADDITIONAL INFORMATION:

Always very honest  
always gave an honest  
answer  
great to work w/ her -

4 11.00 14

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b6  
b7c

FD-340e (4-11-03)

File Number 161B-HQ-1611255

Field Office Acquiring Evidence CG

Serial # of Originating Document \_\_\_\_\_

Date Received 4/1/2009

From \_\_\_\_\_

(Interviewee)

(Address)

By SA

To Be Returned ☐

Receipt Given ☐

Grand Jury Material - Disseminate Only Pursuant to Rule 6 (e)

Federal Rules of Criminal Procedure

☐ Yes

☒ No

Federal Taxpayer Information (FTI)

☐ Yes

☒ No

Title:

Reference: ~~161B~~ Insert

(Communication Enclosing Material)

Description: ☒ Original notes re interview of

b6  
b7C

4/1/09

Helen Elizabeth Garrett  
161B-HQ-1611255

**\*\* PRIVACY ACT STATEMENT**

Name:

DOB:

SSAN:

Residence:

Phone:

Chicago

Title:

Law School -

Employer:

Business Address:

Telephone:

LENGTH/NATURE OF ASSOCIATION (professional, personal, social or a combination) /BASIS OF KNOWLEDGE (personal knowledge, hearsay, opinion):

More than

Professional & Occasional Social/  
Student & worked here  
In colleague

**REFERENCES FOR THE CANDIDATE:**

- Not here -

Business downtown

Knows her the Best

b6  
b7C

**CHARACTER:** In general, describe the candidate's attitude. Does the candidate possess characteristics such as trustworthiness and reliability? Is the candidate discrete? If not, provide specifics as to how the candidate is not.

Lively & ambitious -  
unremarkable otherwise



**ASSOCIATES:** What types of persons, businesses, groups, organizations, or movements has the candidate been associated with? Are any of these associations been disreputable or disloyal in nature?

No- very work oriented

nothing

REPUTATION: What is the candidate's general standing in the community?

Very good -  
respected & people like her

**LOYALTY:** Do you recall any actions and statements revealing the candidate's attitude and allegiance toward the United States and its constituted form of government? Is the candidate loyal to the U.S. Government? Has the candidate expressed or indicated any sympathies with any foreign government?

No -

liked buying glasses in  
isreal

she's a very domestic person

**ABILITIES AND QUALIFICATIONS:** In general, can you comment on the candidate's capacity or competence (native - inborn/innate, or acquired) to perform well in his/her occupation or field of employment?

she was competent people trusted her  
w/ important institutional jobs  
prior dean asked her to be Deputy

Can you comment on other employment experiences the candidate has had? What were his/her duties and responsibilities? How well did he/she perform her duties?

Curriculum things / Faculty things  
academic bureaucracy

Can you provide specifics concerning the candidates qualifications, background and experience, professional reputation, reputation for fairness, judicial temperament, legal ability, courtroom experience and demeanor, oral and written skills?

BIAS/PREJUDICE: Does the candidate have an irrational attitude directed against any class of citizen or any religious, racial, gender, or ethnic group or their supposed characteristics? Has the candidate ever exhibited any bias or prejudice against any class of citizens or the aforementioned group?

*No -*

**FINANCIAL RESPONSIBILITY:** Is the candidate financially responsible? Does the candidate have a lifestyle and/or spending habits consistent with his/her means?

Yeah - very much so.

Lived in pretty modest coop in

South Loop

close to family -

Small Town Taste

Earnings

ALCOHOL ABUSE, PRESCRIPTION DRUG ABUSE/ILLEGAL DRUG USE, ETC.:

Does the candidate abuse alcohol or prescription drugs?

*No-*

Does or has the candidate used, purchased, possessed, manufactured, trafficked, produced, transferred, shipped, received, sold, or distributed illegal drugs?

*No-*

Has the candidate ever illegally used a controlled substance while employed as a law enforcement officer, prosecutor, or court room official; while possessing a security clearance; or while in a position directly and immediately affecting public safety?

COERCION: Your inserts must read, "Interviewee was not aware of any facts which would furnish a reason to believe that the applicant may be subject to coercion, influence or pressure which may cause the applicant to act contrary to the best interests of the national security. The interviewee believes the candidate would be suitable for access to classified information."

Not that he knows of  
Don't her that well  
known her long time



**SUITABILITY/SECURITY:** Are there any issues or concerns you may have which would lead you to believe the candidate is not suitable for a position with the Federal Government or would lead you to believe the candidate may be a security risk?

NO-

Any behavior, activities, or associations that show not reliable or trustworthy? Any illness, including any mental condition, or of a nature that may cause significant defect in the judgement or reliability of the candidate?

more tempermental than average person

Any facts which give reason to believe the candidate may be subject to coercion, influence, or pressure which may cause the candidate to act contrary to the best interests of national security?

✓  
not h.s  
fask  
not a  
mental  
health

No-



b6  
b7c

RECOMMENDATION: Interviewee recommends the candidate for a position of trust and responsibility with the United States Government?

yes~

ADDITIONAL INFORMATION:

No~

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b6  
b7c

FD-340c (4-11-03)

File Number 161B-HQ-1611255

Field Office Acquiring Evidence CG

Serial # of Originating Document \_\_\_\_\_

Date Received 4/1/2009

From \_\_\_\_\_

(Address)

b6  
b7C

By SA \_\_\_\_\_

To Be Returned ☐

Receipt Given ☐ Yes

☒ No

Grand Jury Material - Disseminate Only Pursuant to Rule 6 (e)

Federal Rules of Criminal Procedure

☐ Yes

☒ No

Federal Taxpayer Information (FTI)

☐ Yes

☒ No

Title:

Reference: Insert

(Communication Enclosing Material)

Description: ☒ Original notes re interview of

4/1/09

Helen Elizabeth Garrett  
161B-HQ-1611255

[Redacted] Concerns  
Name: [Redacted]  
DOB: [Redacted]  
SSAN: [Redacted]

b6  
b7C

Residence: Illinois  
Phone: [Redacted]

Title: [Redacted] Northwestern Univ / Compass Lexicon  
Employer: [Redacted]

Business Address: 332 South Mich Ch. 60604  
Telephone: [Redacted]

LENGTH/NATURE OF ASSOCIATION (professional, personal, social or a combination) /BASIS OF KNOWLEDGE (personal knowledge, hearsay, opinion):

Known - about [Redacted] years -  
mostly prof & personal

⇒ Colleagues @ UofC

Middle of Trial  
not have time

REFERENCES FOR THE CANDIDATE:

Lot of

[Redacted] Uof Chicago

**CHARACTER:** In general, describe the candidate's attitude. Does the candidate possess characteristics such as trustworthiness and reliability? Is the candidate discrete? If not, provide specifics as to how the candidate is not.

Excellent - yes all those

**ASSOCIATES:** What types of persons, businesses, groups, organizations, or movements has the candidate been associated with? Are any of these associations been disreputable or disloyal in nature?

Not outside -

noth. Also Not

REPUTATION: What is the candidate's general standing in the community?

EXcellent -



**LOYALTY:** Do you recall any actions and statements revealing the candidate's attitude and allegiance toward the United States and its constituted form of government? Is the candidate loyal to the U.S. Government? Has the candidate expressed or indicated any sympathies with any foreign government?

*Absolutely Not*

**ABILITIES AND QUALIFICATIONS:** In general, can you comment on the candidate's capacity or competence (native - inborn/innate, or acquired) to perform well in his/her occupation or field of employment?

Excellent -

Can you comment on other employment experiences the candidate has had? What were his/her duties and responsibilities? How well did he/she perform her duties?

deputy dean - pt head  
Prison - running -  
Superb -

Can you provide specifics concerning the candidates qualifications, background and experience, professional reputation, reputation for fairness, judicial temperament, legal ability, courtroom experience and demeanor, oral and written skills?

**BIAS/PREJUDICE:** Does the candidate have an irrational attitude directed against any class of citizen or any religious, racial, gender, or ethnic group or their supposed characteristics? Has the candidate ever exhibited any bias or prejudice against any class of citizens or the aforementioned group?

*Absolutely Not -*

**FINANCIAL RESPONSIBILITY:** Is the candidate financially responsible? Does the candidate have a lifestyle and/or spending habits consistent with his/her means?

yes-

ALCOHOL ABUSE, PRESCRIPTION DRUG ABUSE/ILLEGAL DRUG USE, ETC.:

Does the candidate abuse alcohol or prescription drugs?

NO—

Does or has the candidate used, purchased, possessed, manufactured, trafficked, produced, transferred, shipped, received, sold, or distributed illegal drugs?

Not that he  
knows—

Has the candidate ever illegally used a controlled substance while employed as a law enforcement officer, prosecutor, or court room official; while possessing a security clearance; or while in a position directly and immediately affecting public safety?

COERCION: Your inserts must read, "Interviewee was not aware of any facts which would furnish a reason to believe that the applicant may be subject to coercion, influence or pressure which may cause the applicant to act contrary to the best interests of the national security. The interviewee believes the candidate would be suitable for access to classified information."

No-

**SUITABILITY/SECURITY:** Are there any issues or concerns you may have which would lead you to believe the candidate is not suitable for a position with the Federal Government or would lead you to believe the candidate may be a security risk?

*No*

Any behavior, activities, or associations that show not reliable or trustworthy? Any illness, including any mental condition, or of a nature that may cause significant defect in the judgement or reliability of the candidate?

*NO*

Any facts which give reason to believe the candidate may be subject to coercion, influence, or pressure which may cause the candidate to act contrary to the best interests of national security?

RECOMMENDATION: Interviewee recommends the candidate for a position of trust and responsibility with the United States Government?

Yes-

ADDITIONAL INFORMATION:

No  
superb in every  
way



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b6  
b7c

FD-340c (4-11-03)

File Number 161B-HQ-1611255

Field Office Acquiring Evidence CG

Serial # of Originating Document \_\_\_\_\_

Date Received 4/1/2009

From [Redacted] (Interviewee)

(Address)

By SA [Redacted]

To Be Returned ☐ Yes ☒ No

Receipt Given ☐ Yes ☒ No

Grand Jury Material - Disseminate Only Pursuant to Rule 6 (e)

Federal Rules of Criminal Procedure  
☐ Yes ☒ No

Federal Taxpayer Information (FTI)  
☐ Yes ☒ No

Title:

Reference: Insert  
(Communication Enclosing Material)

Description: ☒ Original notes re interview of  
[Redacted] Employee file of  
Candidate

Helen Elizabeth Garrett  
161B-HQ-1611255  
Employment Questions

4/1/09

**\*\* PRIVACY ACT STATEMENT**

Name:   
DOB: \_\_\_\_\_  
SSAN: \_\_\_\_\_  
Residence: \_\_\_\_\_  
Phone: \_\_\_\_\_  
Title: \_\_\_\_\_  
Employer: \_\_\_\_\_  
Business Address: \_\_\_\_\_  
Telephone: \_\_\_\_\_

b6  
b7C

- 1) Review personnel records concerning the candidate.

(Senator Boren)

- 2) Obtain/verify the following information:

- a. Dates of employment, full and/or part time.

7/1/95 - 7/1/03

resignation letter dated May 6 - effective 7/1/03

- b. Location(s) of employment

Univ. of Chicago

- c. Position held.

Assistant Professor 7/1/95 - 6/30/99  
Professor - 7/1/99 - 6/30/03  
Academic Deputy Dean

- d. Breaks in service.

visiting faculty @ USC 10/1-02 - 12/31/02  
still employed by Uof Chicago

Autumn Qtr 2001 - Uof Virginia - 10/1/01 - 12/31/01  
winter & spring, Autumn Qtr 1998 - Harvard

e. Disciplinary actions.

None

f. Outstanding achievements.

Faculty wrote re Tenure of  
~~Make Full Tenured prof 10/13/98~~

excellent scholarship work  
Technical mastery of materials.

Sensational Teacher

Easy Tenure case -  
rec. ea with enthusiasm &  
w/o reservations

Dean - Promote to full Prof.  
effective July 1, 1999

- Garrett is one of the two or  
three finest scholars in  
legislative process

Gifted Teacher

12/10/96 - Memo -

Testify B4 Congress -  
Regarding - TAX Issues  
November -

g. Reason for leaving and re-employment eligibility.

Resigned position to Join Faculty @  
USC - affiliated w/ Center for the Study of  
Law & Politics.

File indicated  wished she  
would stay as Perm.  
faculty BY she went to  
USC.

b6  
b7c

h. Descriptive data identifying the employment record:  
Name, date and place of birth, social security number,  
residence.

Employee ID 444480807

333 W Hubbard St #712  
Chicago, IL

DOB 6/30/1963

\*NOTE - The Privacy Act of 1974 requires all persons interviewed during the BI be advised of the purpose for which information is sought (except presidential pardons). When an individual has requested and been granted an expressed promise of confidentiality, it is absolutely imperative it is clearly recorded in the interview. The level of confidentiality must be clearly set forth in the document interview:

When an interviewee requests his identity be protected from the candidate only, the insert/FD-302 will read "Name, address, etc, who requested that his/her identity be protected only from the candidate ( ), advised..."

Identity be protected from anyone outside the FBI, will read, "T-symbol, who requested T-symbols identity be protected from anyone outside the FBI, advised..."

Identity be protected until such time as required in a judicial proceeding or administrative hearing will read, "Name, etc, who requested his/her identity be protected from protected from the candidate until such time as is required in a judicial proceeding or administrative hearing, advised..."

The fact that you advised the interviewee of the Privacy Act has to be included in your insert. Some inserts are written, "The following investigation was conducted by Special Agent [redacted] Albuquerque, New Mexico: On xx,xx,xxxx, upon advising interviewee of the Provisions of the Privacy Act provided the following information:" However, you can write it up any way you like, just make sure it's in there.

b6  
b7c

# FEDERAL BUREAU OF INVESTIGATION

Precedence: DEADLINE 04/06/2009

Date: 03/30/2009 (SCANNED)

To: Baltimore  
Boston  
Chicago  
Houston  
~~Kansas City~~ (discontinued)  
Los Angeles  
Oklahoma City  
Richmond  
St. Louis  
San Antonio  
~~San Diego~~ (discontinued)  
San Francisco  
Washington Field

Attn: Squad A-1  
Squad A-2

From: Security  
Special Inquiry and General Background Investigations  
Unit (SIGBIU), Room [REDACTED]  
Contact: PSS [REDACTED]

b6  
b7C

Approved By: [REDACTED]

Drafted By: [REDACTED]

Case ID #: 161B-HQ-1611255 (Pending)

Title: HELEN ELIZABETH GARRETT  
aka BETH GARRETT; ELIZABETH GARRETT  
LEVEL II - PAS

Synopsis: Initiation of Level II full-field background investigation (BI) on captioned candidate.

Administrative: BUDED is 04/06/2009 and MUST BE MET. Neither the investigative status of a BI nor any deadlines are to be disclosed to the candidate or any interviewees. Candidates making inquiries of the status of their investigation are to be referred to the White House. Although occasionally the position is publicly known, do not disclose the position for which candidate is being considered to interviewees.

Conduct investigation as set forth under the leads section AND as marked on the SF-86, Supplement to SF-86 and all other attached documents. Field offices can also refer to the Guide to Conducting and Reporting Special Inquiry and General Background Investigations, 2002, available on the SIGBIU intranet website. MIOG, Part I, Section 161, and Part II, Section 17 sets forth authority for these investigations. Direct results/ questions to PSS [REDACTED] Advise the SIGBIU PSS and other appropriate offices of any derogatory information. Set developed leads immediately and reference this serial for other field offices when setting leads. SIGBIU facsimile numbers are [REDACTED]

161B-HQ-1611255-2

To: Baltimore From: Security  
Re: 161B-HQ-1611255, 03/30/2009

**Enclosure(s):** Being scanned to each field office with a copy of this opening EC is the candidate's SF-86 and a 161B investigative packet. Use and adhere carefully to the investigative packet which includes candidate interview and investigative instructions.

**Details:** The Bureau has been requested by the White House to conduct a Level II BI of the candidate, who is being considered for a Presidential Appointment as Assistant Secretary, Tax Policy, Department of Treasury, which requires Senate confirmation.



To: Baltimore From: Security  
Re: 161B-HQ-1611255, 03/30/2009

LEAD(s):

Set Lead 1: (Action)

BALTIMORE

AT BALTIMORE, MD

Review EC and enclosures, and conduct appropriate investigation.

Interview listed reference [redacted] also attempt to identify one additional reference to interview who is knowledgeable of the candidate.

b6  
b7C

Set Lead 2: (Action)

BOSTON

AT BOSTON, MA

Review EC and enclosures, and conduct appropriate investigation.

Conduct indices, arrest, and U.S. Attorney Office checks concerning candidate.

Set Lead 3: (Action)

CHICAGO

AT CHICAGO, IL

Review EC and enclosures, and conduct appropriate investigation.

Conduct indices, arrest, and U.S. Attorney Office checks concerning candidate.

Verify employment. (1) Interview [redacted] and several additional coworkers who are knowledgeable of the candidate; and (2) If applicable, review candidate's official personnel file; obtain all pertinent/derogatory information, to include exact dates of employment, all positions held, current job title, whether full or part-time position, and if applicable, whether candidate is eligible for rehire. Advise in report if no personnel file is located.

b6  
b7C

To: Baltimore From: Security  
Re: 161B-HQ-1611255, 03/30/2009

Interview listed reference, [redacted] also attempt to identify one additional reference to interview who is knowledgeable of the candidate.

~~Interview [redacted] Obtain all pertinent information concerning the 2001 civil court case listed under Question 5S of the Supplement.~~

*Lead discontinued*

Set Lead 4: (Action)

b6  
b7C

HOUSTON

AT HOUSTON, TX

Review EC and enclosures, and conduct appropriate investigation.

Interview listed reference, [redacted] also attempt to identify one additional reference to interview who is knowledgeable of the candidate.

Set Lead 5: (Action)

KANSAS CITY

AT KANSAS CITY, MO

~~Review EC and enclosures, and conduct appropriate investigation.~~

~~Interview [redacted] Obtain all pertinent information concerning the 1997 civil court case listed under Question 5S of the Supplement.~~

b6  
b7C

Set Lead 6: (Action)

LOS ANGELES

AT LOS ANGELES, CA

Review EC and enclosures, and conduct appropriate investigation.

Conduct candidate interview in accordance with the attached candidate interview guidelines; ensure additional leads are set, where applicable. During the interview:

To: Baltimore From: Security  
Re: 161B-HQ-1611255, 03/30/2009

- (1) Discuss Section 19 of the SF-86 (Foreign Contacts);
- (2) Discuss Section 20B of the SF-86 (Foreign Business, Professional Activities, and Foreign Government Contacts);
- (3) Discuss Section 20C of the SF-86 (Foreign Countries You Have Visited);
- (4) Discuss Questions 1Sa of the Supplement to the SF-86;
- (5) Discuss Question 5S of the Supplement to the SF-86;
- (6) Information has come to the attention of the FBI that associates the candidate's name with additional addresses that were not listed on the SF-86 (SEE ATTACHMENT A); if applicable, determine candidate's affiliation with these addresses, her time frame at each location, and the reason they were not listed on the SF-86;
- (7) Information has come to the attention of the FBI that associates the candidate's name with several business affiliations (SEE ATTACHMENT B); determine if candidate is affiliated with any of these organizations.

Conduct indices, arrest, DMV, and U.S. Attorney Office checks concerning candidate.

Conduct indices (only) on candidate [REDACTED]

b6  
b7C

Verify listed residences. Interview at least 3 neighbors at each residence of the past 5 years (3/04 - present) who are knowledgeable of the candidate (to include listed verifier). Neighbors are not to be from the same residence (e.g., husband/wife), nor related to the candidate. If rental unit, interview landlord and review rental records.

Verify employment. (1) Interview listed supervisor and several additional coworkers who are knowledgeable of the candidate; and (2) If applicable, review candidate's official personnel file; obtain all pertinent/derogatory information, to include exact dates of employment, all positions held, current job title, whether full or part-time position, and if applicable, whether candidate is eligible for rehire. Advise in report if no personnel file is located.

Interview [REDACTED] concerning candidate's affiliation with the University of Southern California; USC Care; and the Lord Foundation.

Set Lead 7: (Action)

OKLAHOMA CITY

AT OKLAHOMA CITY, OK

To: Baltimore From: Security  
Re: 161B-HQ-1611255, 03/30/2009

Review EC and enclosures, and conduct appropriate investigation.

Verify candidate's date/place of birth through BVS records.

Conduct indices (only) on candidate [REDACTED]

b6  
b7C

Interview listed supervisor, DAVID L. BOREN, concerning candidate.

**Set Lead 8: (Action)**

RICHMOND

AT RICHMOND, VA

Review EC and enclosures, and conduct appropriate investigation.

Conduct indices, arrest, and U.S. Attorney Office checks concerning candidate.

Verify education at the UNIVERSITY OF VIRGINIA LAW SCHOOL. Identify dates of attendance; verify major, degree received, and GPA/class standing used; also review financial, academic disciplinary, and campus police records concerning candidate.

Verify employment. (1) Interview [REDACTED] and at least 2 additional coworkers who are knowledgeable of the candidate; and (2) If applicable, review candidate's official personnel file; obtain all pertinent/derogatory information, to include exact dates of employment, all positions held, current job title, whether full or part-time position, and if applicable, whether candidate is eligible for rehire. Advise in report if no personnel file is located.

b6  
b7C

**Set Lead 9: (Action)**

ST LOUIS

AT SAINT LOUIS, MO

Review EC and enclosures, and conduct appropriate investigation.

Locate/review candidate's OPF at the Federal Records Center; verify listed period of federal employment.

To: Baltimore From: Security  
Re: 161B-HQ-1611255, 03/30/2009

**Set Lead 10: (Action)**

SAN ANTONIO

AT SAN ANTONIO, TX

Review EC and enclosures, and conduct appropriate investigation.

Review records of the Texas State Bar for membership, grievances, and complaints concerning candidate.

**Set Lead 11: (Action)**

SAN DIEGO

AT SAN DIEGO, CA

*Lead discontinued*  
Review EC and enclosures, and conduct appropriate investigation.

Interview  Obtain all pertinent information concerning the 2008 civil court case listed under Question 5S of the Supplement.

b6  
b7c

**Set Lead 12: (Action)**

SAN FRANCISCO

AT SAN FRANCISCO, CA

Review EC and enclosures, and conduct appropriate investigation.

Interview listed supervisor,

**Set Lead 13: (Action)**

WASHINGTON FIELD

AT WASHINGTON, DC

Leads for Squad A-1

Review EC and enclosures, and conduct appropriate investigation.

To: Baltimore From: Security  
Re: 161B-HQ-1611255, 03/30/2009

Verify employment. (1) Identify persons to interview who are knowledgeable of the candidate; and (2) If applicable, review candidate's official personnel file; obtain all pertinent/derogatory information, to include exact dates of employment, all positions held, current job title, whether full or part-time position, and if applicable, whether candidate is eligible for rehire. Advise in report if no personnel file is located.

Interview [redacted] concerning candidate's affiliation with Common Cause.

b6  
b7C

~~Interview [redacted] Obtain all pertinent information concerning the 1998 civil court case listed under Question 5S of the Supplement.~~

*Lead discontinued*

Set Lead 14: (Action)

WASHINGTON FIELD

AT WASHINGTON, DC

Leads for Squad A-2

Review EC and enclosures, and conduct appropriate investigation.

Conduct indices, arrest, and U.S. Attorney Office checks concerning candidate.

Conduct White House Office checks at the Executive Clerk's Office concerning candidate.

Conduct record checks at the U.S. Secret Service concerning candidate.

Conduct record checks of the Public Integrity Section, Department of Justice, concerning candidate.

Conduct record checks of the Senate Disbursing Office and Office of Senate Security concerning candidate.

Review records of the District of Columbia Bar Association for membership, grievances, and complaints concerning candidate.

Review records of the Federal Election Commission for any information that may be on file concerning candidate.

♦♦

Date: April 2, 2009

To: Special Agent [REDACTED]

b6  
b7C

From: Elizabeth Garrett

Fax [REDACTED]

Re: Background Check of Helen Elizabeth Garrett

3 pages (including cover)

REQUEST DATE: 03/28/2009  
RUN DATE : 03/28/2009

AGENCY: SPIN - TAPE: 032809  
S U M M A R Y L I S T  
SPIN

PAGE 10  
BIM400PG

FBI CENTRAL RECORDS SYSTEM  
RESPONSE TO AN INDICES RECORD CHECK  
(DOES NOT INCLUDE A FINGERPRINT CHECK)

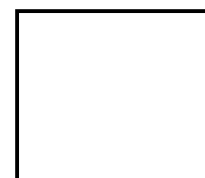
ANALYST/NUMBER	MAIN SUBJECT	NAME	CIDN	& EXT.	RESULT
	GARRETT, HELEN ELIZABETH	GARRETT, HELEN ELIZABETH	0903281179	00	<i>Current</i>
	GARRETT, HELEN ELIZABETH				<i>bs</i>
	GARRETT, HELEN ELIZABETH				
	GARRETT, HELEN ELIZABETH				
	GARRETT, HELEN ELIZABETH				

b6  
b7c



04/07/2009  
12:38:19

Name Check Program 3.0  
Query Request Status  
UNI Record Browse



b6  
b7C


Type Action code, and press Enter.  
V=ViewUNI

Page 1 of 2

Priority: 1 Agency: SPIN Tape: 032809 Searched: 20090328 Current Result:

Name: GARRETT, HELEN ELIZABETH CIDN: 0903281179 Ext

DOB: 06/30/1963 POB: OK Sex: F Race: U Soc: 444480807

Aka: 

Nbr UNI records: 4

~~SECRET~~

Currt

Nbr	Reslt	UNI Case Name	DOB	Case Id
-----	-------	---------------	-----	---------

NS I GARRETT, BETH

06/30/1963 161B-HQ-1611255

*Current  
BI*

b6  
b7C

NS I GARRETT, HELEN, ELIZABETH

06/30/1963 161B-HQ-1611255

~~SECRET~~

Command > .....

F1=Help F3=Exit F4=Prompt F7=Bkwd F8=Fwd F11=NextPg F12=Cancel

4A0

16,003

IDENT CHECK

EXPEDITE SERVICE  
UNIT MODULE E-2  
WEST VIRGINIA

FROM: BUREAU APPLICANT UNIT, DIV 6, RM  
EXT:                      ATTN: PSS [REDACTED]

b6  
b7C

SUBJECT: HELEN ELIZABETH GARRETT

BUDED: 04/06/2009

161B-NQ-1611255

THE BUREAU HAS BEEN REQUESTED TO CONDUCT AN EXPEDITE BACKGROUND INVESTIGATION OF THE ABOVE-CAPTIONED SUBJECT, WHO IS BEING CONSIDERED FOR A POSITION. YOU ARE REQUESTED TO CHECK APPROPRIATE INDICES BASED UPON AVAILABLE INFORMATION CONCERNING SUBJECT, EMPLOYMENT, AND [REDACTED] IT IS REQUESTED THAT THE RESULTS OF YOUR CHECK, WHETHER POSITIVE OR NEGATIVE, BE INDICATED IN THE SPACES PROVIDED BELOW, AND RELAYED TO THE BUREAU APPLICANT UNIT, RM VIA ROUTING SLIP MARKED 'URGENT'.

SUBJECT IS DESCRIBED AS FOLLOWS:

RESULT NAME: HELEN ELIZABETH GARRETT

AKA: ELIZABETH GARRETT

AKA: BETH GARRETT

AKA: HELEN ELIZABETH MARMOR

AKA: ELIZABETH MARMOR

DOB: 06/30/1963

POB: OKLAHOMA CITY, OK

SSAN: 444-48-0807

CURRENT ADDRESS: 801 OCEAN AVE

SANTA MONICA, CA 90403

EMPLOYMENT: UNIVERSITY OF SOUTHERN CALIFOR

\* BOVARD ADMINIST BLDG ST LOS ANGELES, C

PRESIDENTIAL EXPEDITE

PLEASE SEARCH & FAX  
RESULTS TO [REDACTED]

b6  
b7C

[REDACTED]  
RESULT NAME

DOB

RESIDENCE

CHECK CONDUCTED BY: \_\_\_\_\_, ON \_\_\_\_\_

CIVIL APPLICANT RESPONSE

ICN ISIS0002000011785034 CIDN OCA  
GARRETT, HELEN ELIZABETH W 506 1963/06/03  
MNU SOC 444 48 0807 SEX F  
FPC

API

b6  
b7C

USCIC000Z OFF/COUNSEL/PRSDNT  
WASHINGTON DC 2009/03/25

A SEARCH OF THE FINGERPRINTS ON THE ABOVE  
INDIVIDUAL HAS REVEALED NO PRIOR ARREST  
DATA. CJIS DIVISION  
2009/04/16 FEDERAL BUREAU OF INVESTIGATION

USCIC000Z  
OFF COUNSEL TO PRESIDENT  
THE WHITE HOUSE  
OLD EXEC BLDG-RM 136  
1600 PENNSYLVANIA AVE NW  
WASHINGTON, DC 20500-0001

SSSS PPPP EEEE CCCC IIIII A L  
S P P C I A A L  
SSSS PPPP EE C I AAAAA L  
S P E C I A A L  
SSSS P EEEE CCCC IIIII A A LLLLL

358

PRESIDENTIAL EXPEDITE

SPECIAL INQUIRY GENERAL BACKGROUND INVESTIGATION  
FEDERAL BUREAU OF INVESTIGATION  
RECORDS/OPERATIONS SECTIONS

DATE: 03/27/2009

BUDED: 03/31/2009

\*\*\*\*\*

X SERVICE UNIT, ROOM 7361

X RETURN TO:

[REDACTED]

b6  
b7C

\*\*\*\*\*

SCOPE OF SEARCH: SECURE DATA INFORMATION SYSTEM

\*\*\*\*\*

TYPE OF SEARCH REQUESTED: ALL REFERENCES (SECURITY & CRIMINAL)

\*\*\*\*\*

FR UTD

SPECIAL INSTRUCTIONS: X SIX WAY PHONETIC

SUBJECT IS DESCRIBED AS FOLLOWS:

NAME: <sup>NP</sup> GARRETT, HELEN, ELIZABETH \*

AKA: <sup>NP</sup> GARRETT, ELIZABETH,

AKA: <sup>NP</sup> GARRETT, BETH,

AKA: <sup>NP</sup> MARMOR, HELEN, ELIZABETH

AKA: <sup>NP</sup> MARMOR, ELIZABETH,

DOB: 06/30/1963

POB: OKLAHOMA CITY, OK \*

SSAN: 444-48-0807

LOCALITIES: CA

PRESIDENTIAL EXPEDITE

\*\*\*\*\*

RELATIVES:

FR UTD

SPECIAL INSTRUCTIONS:

[REDACTED]

SEARCH

NAME

DOB

LOCALITIES

[REDACTED]		
------------	--	--

b6  
b7C

IDENT CHECK EXPEDITE SERVICE UNIT, MODULE E-2 ATTN: [REDACTED]

FROM: SPECIAL INQUIRY GENERAL BACKGROUND INVESTIGATION UNIT, DIV 11, RM 10  
EXT: ATTN: PSS [REDACTED]

b6  
b7C

SUBJECT: HELEN ELIZABETH GARRETT (0) BUDED: 03/31/2009

BUREAU FILE NUMBER: 161B-\*

THE BUREAU HAS BEEN REQUESTED TO CONDUCT AN EXPEDITE BACKGROUND INVESTIGATION OF THE ABOVE-CAPTIONED SUBJECT, WHO IS BEING CONSIDERED FOR PRESIDENTIAL APPOINTMENT. YOU ARE REQUESTED TO CHECK APPROPRIATE INDICES BASED UPON AVAILABLE INFORMATION CONCERNING SUBJECT, EMPLOYMENT, AND [REDACTED] IT IS REQUESTED THAT THE RESULTS OF YOUR CHECK, WHETHER POSITIVE OR NEGATIVE, BE INDICATED IN THE SPACES PROVIDED BELOW, AND RELAYED TO THE SPECIAL INQUIRY GENERAL BACKGROUND INVESTIGATION UNIT, RM 10861 VIA ROUTING SLIP MARKED 'URGENT'.

SUBJECT IS DESCRIBED AS FOLLOWS:  
RESULT NAME: HELEN ELIZABETH GARRETT  
✓AKA: ELIZABETH GARRETT (0)  
✓AKA: BETH GARRETT (0)  
✓AKA: HELEN ELIZABETH MARMOR (0)  
✓AKA: ELIZABETH MARMOR (0)  
DOB: 06/30/1963  
POB: OKLAHOMA CITY, OK  
SSAN: 444-48-0807  
CURRENT ADDRESS: 801 OCEAN AVE  
SANTA MONICA, CA 90403  
EMPLOYMENT: UNIVERSITY OF SOUTHERN CALIFOR  
\* BOVARD ADMINIST BLDG ST LOS ANGELES, C

[REDACTED]  
RESULT NAME DOB RESIDENCE

--

CHECK CONDUCTED BY [REDACTED] 3.27

b6  
b7C

TO: NEXIS -  
FROM:  
SPECIAL INQUIRY GENERAL BACKGROUND INVESTIGATION, [REDACTED]

SUBJECT: HELEN ELIZABETH GARRETT

BUDED: 03/31/2009

THE BUREAU HAS BEEN REQUESTED TO CONDUCT AN EXPEDITE BACKGROUND INVESTIGATION OF THE ABOVE-CAPTIONED SUBJECT. WHO TO BEING CONSIDERED FOR PRESIDENTIAL APPROVAL. TO CHECK APPROPRIATE INDICES CONCERNING SUBJECT, EMPLOYMENT REQUESTED THAT THE RESULTS OF NEGATIVE, BE INDICATED IN THE TO THE SPECIAL INQUIRY GENERAL VIA ROUTING SLIP MARKED 'URGENT'.

If you require "full" cases/stories to be printed, please submit the attached LexisNexis search slip and "CLEARLY" encircle/highlight the selected cases/stories with your request. Thanks [REDACTED]

IM 10861 b6 b7c

SUBJECT IS DESCRIBED AS FOLLOWS:

RESULT NAME: HELEN ELIZABETH GARRETT  
+/-  
DOB: 06/30/1963 81- POB: OKLAHOMA CITY, OK  
SSAN: 444-48-0807  
CURRENT RESIDENCE: 801 OCEAN AVE  
SANTA MONICA, CA 90403  
EMPLOYMENT: UNIVERSITY OF SOUTHERN CALIFOR  
\* BOVARD ADMINIST BLDG ST LOS ANGELES, C

Lexis - 7 cases  
Nexis - 6 stories (821, st)

Elizabeth Garrett L 40 cases N 22 stories (+3000, st)	Beth B. L 5 cases N 1 story (H22, st)
Helen Marmor L 0 cases N 2 stories	Elizabeth M. L 0 cases N 0 stories

CHECK CONDUCTED BY: \_\_\_\_\_  
USING THE \_\_\_\_\_  
PARAMETERS: \_\_\_\_\_

N 3.27  
LLOWING

b6  
b7c

FEDERAL BUREAU OF INVESTIGATION  
REQUEST FOR CREDIT CHECK

DATE: 03/27/2009

TO: CREDIT REPORT OFFICE  
FBIHQ, ROOM 4356

X RETURN TO:

[Redacted Box]

EXT.

b6  
b7c

FROM: \_ FACILITY SECURITY UNIT, NSD  
ATTN: \_\_\_\_\_ ROOM \_\_\_\_\_ EXT. \_\_\_\_\_

\_ PERSONNEL SECURITY UNIT, NSD  
ATTN: \_\_\_\_\_ ROOM \_\_\_\_\_ EXT. \_\_\_\_\_

\_ INDUSTRIAL SECURITY UNIT, NSD  
ATTN: \_\_\_\_\_ ROOM \_\_\_\_\_ EXT. \_\_\_\_\_

\_ BUREAU APPLICANT EMPLOYMENT UNIT, ASD  
ATTN: \_\_\_\_\_ ROOM \_\_\_\_\_ EXT. \_\_\_\_\_

\_ SPECIAL INQUIRY AND GENERAL BACKGROUND UNIT, NSD  
ATTN: \_\_\_\_\_ ROOM \_\_\_\_\_ EXT. \_\_\_\_\_

RESPONSE CRITERIA

\_ 5 WORK DAYS \_ 3 WORK DAYS \_ 24 HOURS

SUBJECT'S NAME	GARRETT	HELEN	ELIZABETH
	LAST	SUFFIX	FIRST
			MIDDLE

OTHER NAMES USED (INCLUDE MAIDEN NAME) GARRETT, ELIZABETH  
GARRETT, BETH  
MARMOR, HELEN  
MARMOR, ELIZABETH

DATE OF BIRTH (DOB): 06/30/1963

SOCIAL SECURITY ACCOUNT NUMBER: 444-48-0807

SUBJECT'S CURRENT ADDRESS OR LAST KNOWN U.S. ADDRESS:

801	OCEAN AVE	SANTA MONICA
NUMBER	STREET	CITY
CA		90403
STATE		ZIP CODE

IMMIGRATION AND NATURALIZATION SERVICE CHECK

ANALYST: [REDACTED] EXT: [REDACTED]  
SPECIAL INQUIRY GENERAL BACKGROUND INVESTIGATION, ROOM [REDACTED]

b6  
b7c

SUBJECT: HELEN ELIZABETH GARRETT DEADLINE: 03/31/2009  
BUREAU FILE NUMBER: 161B-\*

THE BUREAU HAS BEEN REQUESTED TO CONDUCT A BACKGROUND  
INVESTIGATION OF THE ABOVE-NAMED SUBJECT. SIOU IS REQUESTED TO CHECK  
INS RE THE SUBJECT AND/OR [REDACTED] LISTED BELOW.

SUBJECT: HELEN ELIZABETH GARRETT DOB: 06/30/1963 POB:  
OKLAHOMA CITY, OK

NEE: AKA: ELIZABETH GARRETT  
SSAN: 444-48-0807 RESIDENCE: SANTA MONICA, CA  
ALIEN REGISTRATION #: DATE/PLACE OF ENTRY:  
NATURALIZATION #: DATE/PLACE:  
WAS CITIZENSHIP DERIVED THROUGH PARENTS? YES NO




NCIC CHECK

ROOM [REDACTED]

WANTED & [REDACTED]

FROM: SPECIAL INQUIRY GENERAL BACKGROUND INVESTIGATION UNI DIV 11, R [REDACTED]  
EXT:                      ATTN: PSS [REDACTED]

SUBJECT: 1 HELEN ELIZABETH GARRETT  
AKA(S): 2 GARRETT, ELIZABETH  
         3 GARRETT, BETH  
         4 MARMOR, HELEN ELIZABETH  
         5 MARMOR, ELIZABETH

BUDED: 04/06/2009

THE BUREAU HAS BEEN REQUESTED TO CONDUCT AN EXPEDITE  
BACKGROUND INVESTIGATION OF THE ABOVE-CAPTIONED SUBJECT, WHO IS  
BEING CONSIDERED FOR PRESIDENTIAL APPOINTMENT. YOU ARE REQUESTED  
TO CHECK APPROPRIATE INDICES BASED UPON AVAILABLE INFORMATION  
CONCERNING SUBJECT, EMPLOYMENT, AND [REDACTED] IT IS  
REQUESTED THAT THE RESULTS OF YOUR CHECK, WHETHER POSITIVE OR  
NEGATIVE, BE INDICATED IN THE SPACES PROVIDED BELOW, AND RELAYED  
TO THE SPECIAL INQUIRY GENERAL BACKGROUND INVESTIGATION UNI RM 10861  
VIA ROUTING SLIP  
MARKED 'URGENT'.

b6  
b7c

TTL  
① 387513 AB 17 ② ③ ④ ⑤  
② 260172 TA 8  
533238 DA 7  
403017 K 11

SUBJECT IS DESCRIBED AS FOLLOWS:  
RESULT NAME: HELEN ELIZABETH GARRETT  
DOB: 06/30/1963  
POB: OKLAHOMA CITY, OK  
SSAN: 444-48-0807  
CURRENT ADDRESS: 801 OCEAN AVE  
                         SANTA MONICA, CA 90403  
EMPLOYMENT: UNIVERSITY OF SOUTHERN CALIFOR  
                 \* BOVARD ADMINIST BLDG ST LOS ANGELES, C

b6  
b7c

RESULT NAME                      DOB                      RESIDENCE

CHECK CONDUCTED BY: [REDACTED]

MON MAR 30 2009 06:20:16

1L01 [REDACTED]  
DCFBIWAA6

b6  
b7C

NO NCIC WANT SOC/444480807  
NO NCIC WANT NAM/GARRETT, HELEN ELIZABETH DOB/19630630 RAC/U SEX/F  
\*\*\*MESSAGE KEY QWA SEARCHES ALL NCIC PERSONS FILES WITHOUT LIMITATIONS.

QWA.DCFBIWAA6.NAM/GARRETT, ELIZABETH.DOB/19630630.SEX/F.RAC/U.SOC/444480807

MON MAR 30 2009 06:20:33

1L01 [REDACTED]  
DCFBIWAA6

NO NCIC WANT SOC/444480807  
NO NCIC WANT NAM/GARRETT, ELIZABETH DOB/19630630 RAC/U SEX/F  
\*\*\*MESSAGE KEY QWA SEARCHES ALL NCIC PERSONS FILES WITHOUT LIMITATIONS.

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QWA.DCFBIWAA6.NAM/GARRETT, BETH.DOB/19630630.SEX/F.RAC/U.SOC/444480807

MON MAR 30 2009 06:20:41

1L01 [REDACTED]  
DCFBIWAA6

NO NCIC WANT SOC/444480807  
NO NCIC WANT NAM/GARRETT, BETH DOB/19630630 RAC/U SEX/F  
\*\*\*MESSAGE KEY QWA SEARCHES ALL NCIC PERSONS FILES WITHOUT LIMITATIONS.

QWA.DCFBIWAA6.NAM/MARMOR, HELEN ELIZABETH.DOB/19630630.SEX/F.RAC/U.SOC/444480807

QWA.DCFBIWAA6.NAM/MARMOR, HELEN ELIZABETH.DOB/19630630.SEX/F.RAC/U.SOC/444480807

MON MAR 30 2009 06:21:08

1L01 [REDACTED]  
DCFBIWAA6

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NO NCIC WANT SOC/444480807  
NO NCIC WANT NAM/MARMOR, HELEN ELIZABETH DOB/19630630 RAC/U SEX/F  
\*\*\*MESSAGE KEY QWA SEARCHES ALL NCIC PERSONS FILES WITHOUT LIMITATIONS.

QWA.DCFBIWAA6.NAM/MARMOR, ELIZABETH.DOB/19630630.SEX/F.RAC/U.SOC/444480807

MON MAR 30 2009 06:21:16

1L01 [REDACTED]  
DCFBIWAA6

NO^NCIC WANT SOC/444480807

NO NCIC WANT NAM/MARMOR, ELIZABETH DOB/19630630 RAC/U SEX/F

\*\*\*MESSAGE KEY QWA SEARCHES ALL NCIC PERSONS FILES WITHOUT LIMITATIONS.

QWA.DCFBIWAA6.NAM/

MON MAR 30 2009 06:21:35

1L01

DCFBIWAA6

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## FEDERAL BUREAU OF INVESTIGATION

REPORTING OFFICE	OFFICE OF ORIGIN	DATE	INVESTIGATIVE PERIOD
SA	BUREAU	04/02/2009	03/31/2009
TITLE OF CASE		REPORT MADE BY	TYPED BY
HELEN ELIZABETH GARRETT		IOA [REDACTED]	em
AKA: BETH GARRETT;		CHARACTER OF CASE	
ELIZABETH GARRETT		LEVEL II - PAS	

b6  
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BUDED: 04/06/2009.

REFERENCE: FBIHQ EC 161B-HQ-1611255, serial 2 dated 03/30/2009.

PENDING - (San Antonio investigation is completed) -

## ADMINISTRATIVE:

All persons interviewed were furnished the appropriate provisions of the Privacy Act. Express promises of confidentiality have not been granted.

APPROVED	SPECIAL AGENT IN CHARGE	DO NOT WRITE IN SPACES BELOW			
COPIES MADE:					
1 - BUREAU					
ATTN: SIGBIU, ROOM [REDACTED]					
PSS [REDACTED]					
1 - SA (161B-HQ-1611255) - 3					
DISSEMINATION RECORD OF ATTACHED REPORT		Notations			
Agency					
Request Recd.					
Date Fwd.					
How Fwd.					
By					

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UNITED STATES DEPARTMENT OF JUSTICE  
Federal Bureau of Investigation

Copy to:

SIGBIU, ROOM [REDACTED] PSS [REDACTED]

b6  
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Report of:

IOA [REDACTED]

Office: SA

Date:

04/02/2009

Case ID #:

161B-HQ-161255

Title:

HELEN ELIZABETH GARRETT  
AKA: BETH GARRETT; ELIZABETH GARRETT

Character:

LEVEL II - PAS

Synopsis:

State Bar records reviewed and there are no complaints or grievances on record. Candidate's license is listed as "Inactive" and is not eligible to practice law in this state until license is reinstated.

- P -

Details: San Antonio investigation is completed.

161B-HQ-1611255  
MAM:mam

1

STATE BAR CHECK

San Antonio Division  
at Austin, Texas.

The following investigation was conducted between March 31, 2009 through April 1, 2009, by Investigative Operations Analyst [REDACTED]

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The computerized records of the State Bar of Texas, Austin, Texas revealed candidate, ELIZABETH GARRETT (name on record). was assigned Bar Card Number 07686950 on November 10, 1988. Records revealed GARRETT earned his Doctor of Jurisprudence/Juris Doctor (J.D.) from the University of Virginia. Records did not reveal any disciplinary and/or administrative action taken against the candidate, while practicing law in the state of Texas. There are no complaints or grievances on her record. This license is currently listed as "Inactive." GARRETT is currently not eligible to practice law in this State until her license is reinstated.

No further information was revealed through this investigation.

## FEDERAL BUREAU OF INVESTIGATION

REPORTING OFFICE	OFFICE OF ORIGIN	DATE	INVESTIGATIVE PERIOD
RICHMOND	BUREAU	04/02/2009	04/01/2009
TITLE OF CASE HELEN ELIZABETH GARRETT, aka Beth Garrett, Elizabeth Garrett		REPORT MADE BY	TYPED BY
		IOA [REDACTED]	sbb
		CHARACTER OF CASE LEVEL II - PRESIDENTIAL APPOINTMENT WITH SENATE CONFIRMATION	

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References: Security EC to Baltimore dated 03/30/09.

- RUC -

APPROVED	SPECIAL AGENT IN CHARGE	DO NOT WRITE IN SPACES BELOW			
COPIES MADE: 2-Bureau (161B-HQ-1611255) - 4 Attn: PSS [REDACTED] SIGBIU, Room [REDACTED] 1- Richmond (161B-HQ-1611255)					
DISSEMINATION RECORD OF ATTACHED REPORT		Notations			
Agency					
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Date Fwd.					
How Fwd.					
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UNITED STATES DEPARTMENT OF JUSTICE  
Federal Bureau of Investigation

Copy to:

Report of:

IOA [REDACTED]

Office: Richmond

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b7C

Date:

04/02/2009

Case ID #:

161B-HQ-1611255

Title:

HELEN ELIZABETH GARRETT

Character:

LEVEL II - PRESIDENTIAL APPOINTMENT WITH SENATE  
CONFIRMATION

Synopsis:

Lead results.

- RUC -

DETAILS:

ARREST

On April 1, 2009, a computerized search of the records of the Central Criminal Records Exchange, Virginia State Police, Richmond, Virginia, was conducted by IOA [REDACTED] and no record was located identifiable with the candidate, Helen Elizabeth Garrett.

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MISCELLANEOUS

On April 1, 2009, [REDACTED] United States Attorney's Office, Richmond, Virginia, conducted a search of the records of their office and advised IOA [REDACTED] that no record was located identifiable with the candidate.

\*



## FEDERAL BUREAU OF INVESTIGATION

REPORTING OFFICE	OFFICE OF ORIGIN	DATE	INVESTIGATIVE PERIOD
RICHMOND	BUREAU	04/03/2009	04/02/2009
TITLE OF CASE		REPORT MADE BY	TYPED BY
HELEN ELIZABETH GARRETT AKA: BETH GARRETT AKA: ELIZABETH GARRETT		SST [REDACTED]	jua
		CHARACTER OF CASE	
		LEVEL II - PAS	

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Reference: Bureau EC to Richmond dated 03/30/2009.

- RUC -

Administrative:

Richmond indices negative regarding candidate.

APPROVED	SPECIAL AGENT IN CHARGE	DO NOT WRITE IN SPACES BELOW			
COPIES MADE: 2-Bureau (161B-HQ-1611255) - 5 Attn: PSS [REDACTED] SIGBIU, Room [REDACTED] 1-Richmond (161B-HQ-1611255)					
DISSEMINATION RECORD OF ATTACHED REPORT		Notations			
Agency					
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Date Fwd.					
How Fwd.					
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UNITED STATES DEPARTMENT OF JUSTICE  
Federal Bureau of Investigation

Copy to:

Report of:

SST [REDACTED]

Office: Richmond

b6  
b7C

Date:

04/03/2009

Case ID #:

161B-HQ-1611255

Title:

HELEN ELIZABETH GARRETT

Character:

LEVEL II - PRESIDENTIAL APPOINTMENT WITH SENATE  
CONFIRMATION

Synopsis:

Investigation set forth.

- RUC -

DETAILS:

DEPARTMENT OF MOTOR VEHICLES

On 04/02/2009, a review of the computerized files of the Department of Motor Vehicles, Richmond, Virginia, failed to reveal a record for the candidate.

## FEDERAL BUREAU OF INVESTIGATION

REPORTING OFFICE	OFFICE OF ORIGIN	DATE	INVESTIGATIVE PERIOD
LOS ANGELES	BUREAU	04/02/2009	03/31/2009 - 04/02/2009
TITLE OF CASE HELEN ELIZABETH GARRETT		REPORT MADE BY IOA [redacted] <i>gmc</i>	TYPED BY gmc
		CHARACTER OF CASE LEVEL II - PAS	
BUDED: 04/06/2009			

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**REFERENCE:** SIGBIU EC to Los Angeles, et al, dated 03/30/2009, setting forth the candidate's SF-86 and leads.

-C-

ADMINISTRATIVE:

All persons interviewed in this matter were furnished the appropriate provisions of the Privacy Act. Expressed promises of confidentiality have not been granted.

On April 02, 2009, Investigative Operations Analyst (IOA) [redacted] searched Los Angeles Automated Indices (ACS) and General Indices (GI) for the following individuals:

⊗

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Candidate: HELEN ELIZABETH GARRETT  
aka: BETH GARRETT  
aka: ELIZABETH GARRETT  
Date of Birth (DOB): June 30, 1963  
ACS: No Record  
GI: No Record

APPROVED <i>[Signature]</i>	SPECIAL AGENT IN CHARGE	DO NOT WRITE IN SPACES BELOW			
COPIES MADE: 2- Bureau (161B-HQ-1611255) -6 (Attn: Security, SIGBIU Room [redacted] PSS [redacted])					
1- LOS ANGELES (161B-HQ-1611255) -6 (Applicant Unit)					
DISSEMINATION RECORD OF ATTACHED REPORT		Notations			
Agency					
Request Recd.					
Date Fwd.					
How Fwd.					
By					

161B-HQ-1611255

Spouse:

DOB:

ACS: No Record

GI: No Record

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All Los Angeles Investigative Operations Analyst leads  
have been covered.

UNITED STATES DEPARTMENT OF JUSTICE  
Federal Bureau of Investigation

Copy to:

Report of: IOA [REDACTED] Office: Los Angeles  
Date: 04/02/2009  
Case ID #: 161B-HQ-1611255  
Title: HELEN ELIZABETH GARRETT  
aka: BETH GARRETT; ELIZABETH GARRETT

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Character: LEVEL II - PAS

Synopsis: Local Law Enforcement, Department of Motor Vehicle checks,  
and United States Attorney's Office check set forth:

- C -

DETAILS:

LAW ENFORCEMENT AGENCY CHECKS

On March 31, 2009, IOA [REDACTED] queried the Consolidated Criminal History Reporting System, which contains arrest and case disposition records of the Los Angeles Sheriff's Department (LASD) and Los Angeles Police Department (LAPD), which failed to disclose an arrest record for the candidate, HELEN ELIZABETH GARRETT, aka: BETH GARRETT, aka: ELIZABETH GARRETT, date of birth (DOB) June 30, 1963.

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On March 31, 2009, IOA [REDACTED] queried the records of the computerized Justice Data Interface Controller system, which contains records of LAPD, LASD and interfaces with local, state, national, (National Crime Information Center) and international files, regarding the candidate, HELEN ELIZABETH GARRETT, aka: BETH GARRETT, aka: ELIZABETH GARRETT, DOB June 30, 1963, which met with negative results.

161B-HQ-1611255

SANTA MONICA POLICE DEPARTMENT

April 02, 2009, IOA [ ] caused the records of the Santa Monica Police Department (SMPD), Santa Monica, California, to be review pertaining to the candidate HELEN ELIZABETH GARRETT, aka: BETH GARRETT, aka: ELIZABETH GARRETT, DOB June 30, 1963, which met with negative results.

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CALIFORNIA DEPARTMENT OF MOTOR VEHICLES

On March 31, 2009, IOA [ ] queried the records of the California Law Enforcement Telecommunications System (CLETS) regarding the candidate, HELEN ELIZABETH GARRETT, aka: BETH GARRETT, aka: ELIZABETH GARRETT, DOB June 30, 1963. The following information was obtained:

Name:	HELEN ELIZABETH GARRETT
DOB:	June 30, 1963
Sex:	Female
Hair:	Brown
Eyes:	Brown
Height	5'06"
Weight	132
Mail Address:	(As of June 20, 2008) 801 Ocean Ave. APT404 Santa Monica, CA, 90403
Drivers License No.	D4556462
License Issued:	July 18, 2003
License Expires:	June 30, 2012
License Class:	C Non - Commercial
Endorsements:	None
License Status:	Valid
Departmental Action:	None
Convictions:	None
Failures to Appear:	None
Accidents:	None
Convictions:	

U.S. ATTORNEY'S OFFICE CHECK

On April 01, 2009, A query of the civil and criminal records at the United States Attorney's Office, Los Angeles, California, was conducted by [ ] IOA [ ] was advised that dockets searched for candidate, HELEN ELIZABETH GARRETT, aka: BETH GARRETT, aka: ELIZABETH GARRETT, date of birth (DOB) June 30, 1963, met with negative results.

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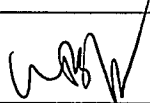
## FEDERAL BUREAU OF INVESTIGATION

REPORTING OFFICE BOSTON	OFFICE OF ORIGIN SECURITY	DATE 04/02/2009	INVESTIGATIVE PERIOD 04/01/2009 - 04/02/2009
TITLE OF CASE HELEN ELIZABETH GARRETT AKA BETH GARRETT; ELIZABETH GARRETT		REPORT MADE BY IOA [REDACTED]	TYPED BY hmf
		CHARACTER OF CASE LEVEL II - PAS	

b6  
b7cBUDED: 04/06/2009REFERENCE: Re FBIHQ EC to Boston 03/30/2009, serial 2

-PENDING (All BS investigation is complete)-

ADMINISTRATIVE: A review of Boston's general and computer indices conducted by Support Services Technician [REDACTED] failed to locate any identifiable information regarding the appointee.

APPROVED 	SPECIAL AGENT IN CHARGE	DO NOT WRITE IN SPACES BELOW			
COPIES MADE: 2 - FBIHQ (161B-HQ-1611255) -7 PSS [REDACTED] SIGBIU, Room [REDACTED] 1- BOSTON (161B-HQ-1611255)					
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Agency					
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Date Fwd.					
How Fwd.					
By					

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UNITED STATES DEPARTMENT OF JUSTICE  
Federal Bureau of Investigation

Copy to: SIGBIU

Report of: IOA [REDACTED] Office: BOSTON  
Date: 04/02/2009

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Case ID #: 161B-HQ-1611255

Title: HELEN ELIZABETH GARRETT

AKA BETH GARRETT; ELIZABETH GARRETT

Character: LEVEL II - PAS

Synopsis: Results of Massachusetts criminal and United States  
Attorney checks.

- P -



161B-HQ-1611255

MASSACHUSETTS CRIMINAL

On April 1, 2009, NCIC Operator [REDACTED] conducted a computerized search of the Massachusetts Criminal History Board, which included data from all city, county and town governments in the Commonwealth of Massachusetts. No arrest record was found identifiable to the candidate.

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161B-HQ-1611255

U.S. ATTORNEY'S OFFICE

On April 2, 2009, [REDACTED] Clerk, Docketing  
Section, United States Attorney's Office, District of  
Massachusetts, Boston, Massachusetts, advised there was no  
prosecutive action, either civil or criminal on the candidate.

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## FEDERAL BUREAU OF INVESTIGATION

REPORTING OFFICE <b>Washington Field Office</b>	OFFICE OF ORIGIN <b>WF3920</b>	DATE 04/06/2009	INVESTIGATIVE PERIOD 03/30/2009 - 03/31/2009
TITLE OF CASE  HELEN ELIZABETH GARRETT		REPORT MADE BY <div style="border: 1px solid black; width: 150px; height: 20px;"></div>	TYPED BY MRG
		CHARACTER OF CASE Level II-PA/PAS	

b6  
b7CREFERENCE: Bureau EC dated 03/30/2009.

- CLOSED -

ADMINISTRATIVE: BUDED: 04/06/2009

All individuals were furnished the appropriate provisions of the Privacy Act. Express promises of confidentiality, both limited and unlimited, have not been granted.

APPROVED	SPECIAL AGENT IN CHARGE	DO NOT WRITE IN SPACES BELOW			
COPIES MADE:					
2 - FBIHQ (161B-HQ-1611255) — 8					
SECURITY SIGRIU					
ROOM <div style="border: 1px solid black; width: 100px; height: 20px;"></div>					
ATTN: PSS <div style="border: 1px solid black; width: 150px; height: 20px;"></div>					
1 - WF3920 (161B-HQ-1611255)					
DISSEMINATION RECORD OF ATTACHED REPORT		Notations			
Agency					
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How Fwd.					
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b7C

UNITED STATES DEPARTMENT OF JUSTICE  
Federal Bureau of Investigation

Copy to:

Report of:

Office: WF3920

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Date: 04/06/2009

Case ID #: 161B-HQ-1611255

Title: HELEN ELIZABETH GARRETT

Character: Level II-PA/PAS

Synopsis: Employment was verified. Membership was verified.

- CLOSED -

DETAILS

WF investigation is complete.

EMPLOYMENT

Senator David L. Boren  
Russell Office Building  
Washington D.C. 20510  
October 1991 until June 1994

The following investigation was conducted by Special Agent (SA [redacted]) regarding the candidate HELEN ELIZABETH GARRETT:

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On 03/30/2009, [redacted]

[redacted] advised he has known the candidate [redacted] on both a professional and social basis. [redacted] described the candidate as an exceptional and wonderful person who is highly regarded by her peers.

On 03/30/2009, [redacted]

advised he has known the candidate on both a professional and social basis since [redacted] described the candidate as a smart and brilliant person who is energetic.

[redacted] is out of town until the second week of April.

Both persons interviewed advised they were aware of nothing negative or derogatory concerning the candidate's character, associates, reputation, and loyalty to the United States. Both persons advised they have never known the candidate to abuse alcohol or prescription drugs. Both persons advised they have never known the candidate to use, sell, purchase, manufacture, traffic, produce, transfer, ship or receive any illegal drug substance, including marijuana. Both persons advised they have never known the candidate to express bias or prejudice towards or against persons or groups. Both persons advised they were aware of no financial, physical, or emotional problems on the part of the candidate.

Both persons interviewed advised the candidate was suitable for access to classified materials and recommended the candidate for a position of trust and confidence with the United States government. Both persons advised they were not aware of any activity or conduct which could be used to influence, pressure, coerce or compromise the candidate in any manner, or could have an adverse impact on the candidate's character, judgement, stability, discretion, trustworthiness or responsibility.

MEMBERSHIP

Common Causes  
1133 19th Street NW  
9th Floor  
Washington D.C. 22036  
January 2005 to Present

The following investigation was conducted by Special Agent (SA [redacted]) regarding the candidate HELEN ELIZABETH GARRETT:

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On 03/31/2009 [redacted] advised she has known the candidate for [redacted] on a professional basis. [redacted] verified the candidate has been serving as Chair of the Finance Committee since 2006, Treasurer since 2008, and Member of the National Governing Board since 2005. The candidate also served as Vice Chair for the organization from 2006 until 2008. [redacted] advised the candidate does have decision making authority which is one out of twenty three votes and is not compensated for her membership with Common Causes.

[redacted] described the candidate as a forthright and knowledgeable person who is honest and transparent.

[redacted] advised she was aware of nothing negative or derogatory regarding the appointee's character, associates, reputation or loyalty to the United States. [redacted] advised she has never known the appointee to abuse alcohol or prescription drugs. [redacted] advised [redacted] has never known the appointee to sell, purchase, manufacture, possess, traffic in, produce, transfer, ship or receive any illegal drug substance, including marijuana. [redacted] advised she has never known the appointee to express bias or prejudice towards or against persons or groups. [redacted] advised she was not aware of any financial, physical or emotional problems on the part of the appointee.

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[redacted] advised she was not aware of any activity or conduct that could be used to influence, pressure, coerce or compromise the appointee in any way, or that could have an adverse impact on her character, judgement, stability, discretion, trustworthiness or responsibility. [redacted] advised that the appointee was suitable for access to classified and sensitive information.

## FEDERAL BUREAU OF INVESTIGATION

REPORTING OFFICE HOUSTON	OFFICE OF ORIGIN FBIHQ	DATE 04/03/2009	INVESTIGATIVE PERIOD 04/02/2009 - 04/02/2009
TITLE OF CASE HELEN ELIZABETH GARRETT AKA BETH GARRETT ELIZABETH GARRETT		REPORT MADE BY SA [REDACTED]	TYPED BY mtc
		CHARACTER OF CASE LEVEL II - PAS	

b6  
b7cBUDED: 04/06/2009REFERENCE: FBIHQ EC to Houston, dated 03/30/2009

- Pending (All Houston investigation complete) -

ADMINISTRATIVE: All persons interviewed were furnished the appropriate provisions of the Privacy Act. Express promise of confidentiality has not been granted.

Final report was collected by Squad A-1 Support Services Technician (SST) [REDACTED]

APPROVED <i>Andrew R. Bland, III</i>	SPECIAL AGENT [REDACTED]	DO NOT WRITE IN SPACES BELOW			
COPIES MADE: 2 - BUREAU (161B-HQ- Attn. PSS [REDACTED] SIGBIU, Room [REDACTED] 1 - HOUSTON (061B-HQ-1611255)					
DISSEMINATION RECORD OF ATTACHED REPORT		Notations			
Agency					
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Date Fwd.					
How Fwd.					
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UNITED STATES DEPARTMENT OF JUSTICE  
Federal Bureau of Investigation

Copy to:

Report of:

SA

Office: Houston

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Date:

04/03/2009

Case ID #:

161B-HQ-1611255

Title:

HELEN ELIZABETH GARRETT  
AKA BETH GARRETT; ELIZABETH GARRETT

Character:

BACKGROUND INVESTIGATION - PRESIDENTIAL  
APPOINTMENT REQUIRING SENATE CONFIRMATION

Synopsis:

Interview of reference conducted and recommended.

- P -

DETAILS: All Houston investigation complete.



161B-HQ-1611255  
DLH:dlh

REFERENCE

On April 2, 2009, Special Agent (SA) [REDACTED] conducted the following investigation at Houston, Texas.

[REDACTED]  
[REDACTED] home phone [REDACTED] was interviewed in [REDACTED] at his place of employment. [REDACTED] is employed as an attorney with [REDACTED] work number [REDACTED]

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[REDACTED] was advised of the identity of the interviewing Agent and that he was being contacted in connection with the background investigation of HELEN ELIZABETH GARRETT, a.k.a. ELIZABETH GARRETT, a.k.a. BETH GARRETT. [REDACTED] provided the following information:

[REDACTED] has known GARRETT for approximately [REDACTED] [REDACTED] met [REDACTED] at the University of Oklahoma, they were both involved in [REDACTED]

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[REDACTED] character is exemplary, she is absolutely trustworthy and reliable. GARRETT has the highest discretion among people. [REDACTED] stated GARRETT has not been associated with any persons, businesses or groups/organizations of a disreputable or disloyal nature.

[REDACTED] reputation in the community is spectacular, her professional reputation is excellent in the academic world and her personal reputation is the highest, she is well regarded.

[REDACTED] believes GARRETT is loyal to the U.S. and the U.S. Government and believes her to be competent to perform duties within the U.S. Government. GARRETT is a happy american and she has no sympathies to any foreign governments.

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[REDACTED] advised GARRETT is competent to perform well in her occupation or field of employment. GARRETT has never practiced law, she only has taught it, therefore GARRETT has not had any cases in court, trial experiences, or courtroom demeanor to be observed. GARRETT is a fair person in life and her temperament is positive. GARRETT's written skills are excellent, she writes extremely well, a great part of her job is writing articles. GARRETT's oral skills are excellent, she is an effective communicator, very articulate. GARRETT is one of the most articulate persons that [REDACTED] knows.

[REDACTED] is not aware that GARRETT has been delinquent or shown misconduct in her prior employment, has had no criminal,

dishonest, disgraceful conduct, or sexual perversion, and has provided no intentional false statements, deception or fraud in examination or appointment. [ ] does not believe GARRETT to habitually use intoxicating beverages to excess, or abuse alcohol or prescription drugs. [ ] does not believe GARRETT has used or sold illegal drugs, abused narcotics, or any other controlled substances.

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GARRETT is loyal to the U.S. and [ ] is not aware that GARRETT has refused to furnish testimony required by civil service rules.

GARRETT has not demonstrated any behavior, activities, or associations that show she is not reliable or trustworthy. She has not deliberately misrepresented, falsified, or omitted material facts. GARRETT does not have any illness or mental condition that may cause defect in her judgement or reliability.

[ ] states he is not aware of anything in GARRETT's background that could in any way, be used to influence, pressure, coerce, or compromise her, or that could have an adverse impact on her character, judgement, stability, discretion, trustworthiness, responsibility, or cause her to act contrary to the best interests of the National Security.

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GARRETT has not committed any acts of sabotage, espionage, treason, terrorism or sedition, or attempts, threats, or preparation, conspiring with, or aiding or abetting, another to commit or attempt to commit any act of sabotage, espionage, treason, terrorism or sedition.

GARRETT has not established a sympathetic association with a saboteur, spy, traitor, seditionist, anarchist, terrorist or revolutionist, nor with an espionage or other secret agent or representative of a foreign nation whose interests may be contrary to the interests of the U.S., nor with any person who advocates the use of force or violence to overthrow the Government of the U.S. or the alteration of the form of Government of the U.S. by unconstitutional means.

GARRETT does not advocate the use of force or violence to overthrow the Government of the U.S., nor the alteration of the form of Government of the U.S. by unconstitutional means.

[ ] is not aware of GARRETT having membership, with specific intent of furthering the aims of, or adherence to and active participation in, any foreign or domestic organization, association, movement, group, or combination of persons which

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161B-HQ-1611255

unlawfully advocates or practices the commission of acts of force or violence to prevent others from exercising their rights under the Constitution or laws of the U.S.

GARRETT has not disclosed security information, or other information prohibited by law to any person, nor violated or disregarded security regulations.

GARRETT has not performed or attempted to perform duties, or otherwise acted, so as to serve the interests of another Government in preference to the interests of the U.S.

GARRETT has not refused, on the grounds of constitutional privilege against self-incrimination, to testify before a congressional committee regarding charges of alleged disloyalty or other misconduct.

[redacted] believes GARRETT is financially responsible and her lifestyle and spending habits are within her means.

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GARRETT has not shown any bias or prejudice against any class of citizens or any religious, racial, or ethnic group. [redacted] recommends GARRETT without reservation and believes GARRETT to be suitable for employment with the U.S. Government and to have access to sensitive information.

[redacted] was advised of the provisions of the Privacy Act of 1974 and did not request confidentiality.

## FEDERAL BUREAU OF INVESTIGATION

REPORTING OFFICE ST. LOUIS	OFFICE OF ORIGIN BUREAU	DATE 04/03/2009	INVESTIGATIVE PERIOD 3/30/2009 - 4/3/2009
TITLE OF CASE HELEN ELIZABETH GARRETT AKA: BETH GARRETT, ELIZABETH GARRETT		REPORT MADE BY IOA [REDACTED]	TYPED BY MGL
		CHARACTER OF CASE LEVEL II - PAS	

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Reference Security EC to Baltimore Division dated 3/30/2009.

Administrative:

All persons interviewed were furnished the appropriate provisions of the Privacy Act. Express promises of confidentiality have not been granted.

Investigation completed at St. Louis.

APPROVED <i>[Signature]</i> SPECIAL AGENT IN CHARGE	DO NOT WRITE IN SPACES BELOW			
COPIES MADE: ②-Bureau (161B-HQ-1611255)-to (Attn: SIGBIU, Room [REDACTED]) PSS [REDACTED]  UPLOADED TO ACS/ECF: BY SL <i>[Signature]</i> 4609				
	10861			
DISSEMINATION RECORD OF ATTACHED REPORT				Notations
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How Fwd.				
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093MGL04.0TH

UNITED STATES DEPARTMENT OF JUSTICE  
Federal Bureau of Investigation

Copy to:

Report of:

IOA [REDACTED]

Office: ST. LOUIS

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b7C

Date:

04/03/2009

Case ID #:

161B-HQ-1611255

Title:

HELEN ELIZABETH GARRETT  
AKA: BETH GARRETT, ELIZABETH GARRETT

Character:

LEVEL II - PAS

Synopsis:

Review of candidate's civilian Official Personnel Folder (OPF) located at the Federal Records Center.

- P -

DETAILS: At St. Louis, Missouri

Employment

A review of an OPF on file at the Federal Records Center, Civilian Branch, 111 Winnebago Street, disclosed Helen Elizabeth Garrett, Social Security Account Number 444-48-0807, was employed on August 22, 1988, as a Law Clerk, with the Administrative Office of U.S. Courts (AOUSC), U.S. Court of Appeals, District of Columbia, at Washington, D.C. On July 28, 1989, Garrett transferred her Law Clerk federal employment to the Supreme Court of the United States, at Washington, D.C. Records indicate that Garrett continued her AOUSC employment through August 10, 1990, when her employment was terminated due to expiration of appointment.

No other federal civilian employments were shown in Garrett's OPF located at the Federal Records Center.

No performance rating reviews were shown in Garrett's OPF and there was no unfavorable information noted.

161B-HQ-1611255

No security clearance level documentation was found in Garrett's OPF.

Her date and place of birth were shown as June 30, 1963, at Oklahoma City, Oklahoma.

UNCLASSIFIED

**FEDERAL BUREAU OF INVESTIGATION**

**Precedence:** IMMEDIATE

**Date:** 04/06/2009

**To:** Security  
Baltimore

**Attn:** PSS [redacted]  
SIGBIU, Room [redacted]

**From:** Houston  
A1

**Contact:** [redacted]

**Approved By:** [redacted]

**Drafted By:** [redacted]

**Case ID #:** 161B-HQ-1611255 (Pending) -11

**Title:** HELEN ELIZABETH GARRETT  
aka BETH GARRETT; ELIZABETH GARRETT  
LEVEL II - PAS

**Synopsis:** To set additional lead.

**Reference:** 161B-HQ-1611255 Serial 2

**Administrative:** Since Baltimore Field Office was included at the onset of the background investigation, a copy of the investigative packet is not being provided at this time.

**Details:** Houston investigation revealed that developed reference is located in the Baltimore area. Please interview developed reference [redacted] cell phone number [redacted]

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UNCLASSIFIED

UNCLASSIFIED

To: Security From: Houston  
Re: 161B-HQ-1611255, 04/06/2009

LEAD(s) :

Set Lead 1: (Info)

SECURITY

AT WASHINGTON, DC

For information.

Set Lead 2: (Action)

BALTIMORE

AT BALTIMORE, MD

Please interview developed reference [REDACTED]

[REDACTED] Please direct results to PS [REDACTED]

SIGBIU, Room [REDACTED]

♦♦

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b7c

UNCLASSIFIED



## FEDERAL BUREAU OF INVESTIGATION

REPORTING OFFICE <b>Baltimore Field Office</b>	OFFICE OF ORIGIN <b>BA</b>	DATE 04/06/2009	INVESTIGATIVE PERIOD 03/30/2009 - 04/06/2009
TITLE OF CASE  HELEN ELIZABETH GARRETT		REPORT MADE BY <div style="border: 1px solid black; width: 150px; height: 20px;"></div>	TYPED BY MRG
		CHARACTER OF CASE Level II-PA/PAS	

b6  
b7CREFERENCE: FBIHQ EC to Baltimore, et al, dated 03/30/2009.

- CLOSED -

ADMINISTRATIVE: BUDED: 04/06/2009

Where appropriate, Privacy Act(e)(3) data was furnished to persons interviewed. Express promise of confidentiality, both limited and unlimited have been noted where granted.

APPROVED	SPECIAL AGENT IN CHARGE	DO NOT WRITE IN SPACES BELOW			
COPIES MADE:					
2 - FBIHQ (161B-HQ-1611255) - 12					
SECURITY, SIGBIU, ROOM <div style="border: 1px solid black; width: 60px; height: 20px;"></div>					
ATTN: PSS <div style="border: 1px solid black; width: 180px; height: 20px;"></div>					
1 - BA (161B-HQ-1611255)					
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Agency					
Request Recd.					
Date Fwd.					
How Fwd.					
By.					

b6  
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COVER PAGE

UNITED STATES DEPARTMENT OF JUSTICE  
Federal Bureau of Investigation

Copy to:

Report of:

[Redacted]

Office: BA

b6  
b7C

Date: 04/06/2009

Case ID #: 161B-HQ-1611255

Title: HELEN ELIZABETH GARRETT

Character: Level II-PA/PAS

Synopsis: Interview conducted.

- CLOSED -

DETAILS

Investigation at Baltimore is complete.

REFERENCE:

On March 31, 2009, Special Agent (SA [redacted]) contacted [redacted]  
[redacted] telephone number [redacted]. After being advised of the nature of the  
interview [redacted] provided the following information:

[redacted] has known the candidate for approximately [redacted] stating that he first met the candidate  
while working as a [redacted] described the candidate as hard working, intelligent, reliable and  
trustworthy [redacted] did not believe the candidate associated with people, groups or organizations that would  
be considered disreputable or disloyal in nature [redacted] stated the candidate is highly regarded in the  
community [redacted] believes the candidate is a loyal U.S. citizen. The candidate never displayed any bias or  
prejudice towards an individual based on race, religion, gender or ethnicity. b6  
b7C

[redacted] believes the candidate is a financially responsible individual who lives within her means.  
He did not believe the candidate to abuse alcohol or prescription medication [redacted] did not believe the  
candidate had any involvement in the use, possession or distribution of illegal drugs. He did not believe the candidate  
had ever been fired from prior government employment [redacted] knew of nothing in the candidate's  
background that might be used as the basis for attempted influence or coercion [redacted] recommended the  
candidate for a position with the U.S. Government.

[redacted] was able to provide an additional reference for the candidate [redacted] with  
telephone number [redacted]

On April 3, 2009, Special Agent (SA [redacted]) contacted [redacted]  
[redacted] telephone number [redacted]. After being advised of the nature of the  
interview [redacted] provided the following information:

[redacted] has known the candidate for approximately [redacted] stating that he first met the candidate  
while working as a [redacted] described the candidate as impeccable, intelligent, reliable and  
trustworthy [redacted] did not believe the candidate associated with people, groups or organizations that would be  
considered disreputable or disloyal in nature [redacted] stated the candidate is highly regarded in the community.  
[redacted] believes the candidate is a loyal U.S. citizen. The candidate never displayed any bias or prejudice  
towards an individual based on race, religion, gender or ethnicity. b6  
b7C

[redacted] believes the candidate is a financially responsible individual who lives within her means. He  
did not believe the candidate to abuse alcohol or prescription medication [redacted] did not believe the candidate  
had any involvement in the use, possession or distribution of illegal drugs. He did not believe the candidate had ever  
been fired from prior government employment [redacted] knew of nothing in the candidate's background that might  
be used as the basis for attempted influence or coercion [redacted] recommended the candidate for a position  
with the U.S. Government.

## FEDERAL BUREAU OF INVESTIGATION

REPORTING OFFICE OKLAHOMA CITY	OFFICE OF ORIGIN FBIHQ	DATE 04/06/2009	INVESTIGATIVE PERIOD 03/31/2009 - 04/01/2009
TITLE OF CASE HELEN ELIZABETH GARRETT, aka Beth Garrett, Elizabeth Garrett		REPORT MADE BY HRA [REDACTED]	TYPED BY lmsh
		CHARACTER OF CASE LEVEL II - PAS	

b6  
b7CBUDED: April 6, 2009REFERENCE: FBIHQ EC to Baltimore, dated March 30, 2009

- PENDING (Oklahoma City investigation is completed) -

ENCLOSURE: Enclosed for FBIHQ is a 1A containing a certified copy of a Certificate of Live Birth for the candidate.ADMINISTRATIVE: All persons interviewed were furnished the appropriate provisions of the Privacy Act. Express promises of confidentiality have not been granted.

Searches of the Oklahoma City automated and manual indices, met with negative results regarding the candidate and listed relatives.

APPROVED <i>James E. Fencil</i> SPECIAL AGENT IN CHARGE	DO NOT WRITE IN SPACES BELOW			
COPIES MADE: (2) - FBIHQ (161B-HQ-1611255) - 13 Attn: PSS [REDACTED] SIGBIU, Room [REDACTED] 1 - OKLAHOMA CITY (161B-HQ-1611255)  <i>E-mailed 4-6-09</i>				
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Agency				Notations
Request Recd.				
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How Fwd.				
By				

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UNITED STATES DEPARTMENT OF JUSTICE  
Federal Bureau of Investigation

Copy to:

Report of:

HRA [REDACTED]

Office: OKLAHOMA CITY

b6  
b7C

Date:

04/06/2009

Case ID #:

161B-HQ-1611255

Title:

HELEN ELIZABETH GARRETT,  
aka Beth Garrett,  
Elizabeth Garrett

Character:

LEVEL II -  
PRESIDENTIAL APPOINTMENT WITH SENATE CONFIRMATION

Synopsis:

The candidate's birth was verified through the Oklahoma State Department of Health, Office of Vital Statistics. Also, the candidate's employment with the Office of Senator David L. Boren was verified.

- P -

DETAILS: Oklahoma City investigation is completed.

161B-HQ-1611255  
RKB:rkb

BIRTH

The following investigation was conducted by  
Investigative Operations Analyst [REDACTED] at Oklahoma  
City, Oklahoma:

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On March 31, 2009, [REDACTED] Oklahoma State  
Department of Health, Office of Vital Statistics, 1000  
Northeast 10th, Oklahoma City, Oklahoma, provided a certified  
copy of a Certificate of Live Birth, State File Number 135-63-  
0238999, for Helen Elizabeth Garrett, Female, born June 30,  
1963, at Oklahoma County, Oklahoma City, Oklahoma. Father is  
listed as [REDACTED] and mother  
as [REDACTED]

161B-HQ-1611255  
ALF:alf

1

EMPLOYMENT

The following investigation was conducted by Special Agent [REDACTED] on April 1, 2009:

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DAVID L. BOREN, President, University of Oklahoma (OU), was interviewed at his office, 660 Parrington Oval, Room 110, Norman, Oklahoma 73019, telephone number [REDACTED]. BOREN provided the following information:

BOREN met the candidate in approximately 1983 or 1984. At that time, the candidate was a student at OU and BOREN was a United States Senator. The candidate worked as an intern in Senator BOREN's office during the summer of 1983 or 1984.

BOREN maintained periodic contact with the candidate until 1991. From 1991 through 1994, the candidate worked as a full-time member of Senator BOREN's staff. The candidate served as Senator BOREN's staff representative for the Senate Finance Committee and as Senator Boren's general counsel. In approximately 1993, the candidate became the director of Senator Boren's entire staff. The candidate worked as Senator BOREN's staff director through the end of Senator BOREN's final term in the Senate in 1994.

BOREN and the candidate developed a friendship over the years and have maintained contact since 1994. BOREN currently has contact with the candidate approximately once per month, usually by telephone or e-mail.

BOREN commented favorably regarding the candidate's character, associates, reputation, and loyalty to the United States government. BOREN described the candidate as "brilliant" and possibly the brightest person he has ever met. BOREN stated the candidate is "a person of absolute integrity" with an outstanding reputation. BOREN advised the candidate appears to be financially responsible and to live within her means.

BOREN has never known the candidate to abuse alcohol or prescription drugs, or to use, possess, purchase, sell, manufacture, traffic, produce, transfer, ship, receive or distribute illegal drugs. To BOREN's knowledge, the candidate has never exhibited any bias or prejudice against any group or individual. BOREN was unaware of any information in the candidate's background which could be used to influence, pressure, coerce, or compromise the candidate in any way, or that could have an adverse impact on the candidate's character, judgement, stability, discretion, trustworthiness, or responsibility. BOREN advised he would strongly recommend the candidate for a position of trust and responsibility with the United States government.

## FEDERAL BUREAU OF INVESTIGATION

REPORTING OFFICE	OFFICE OF ORIGIN	DATE	INVESTIGATIVE PERIOD
RICHMOND	BUREAU	04/08/2009	04/08/2009
TITLE OF CASE		REPORT MADE BY	TYPED BY
HELEN ELIZABETH GARRETT AKA: BETH GARRETT; ELIZABETH GARRETT LEVEL II - PAS		IOA C. [REDACTED]	cdr
		CHARACTER OF CASE	
		Candidate	

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References: Bureau EC to Richmond dated 03/30/2009.

- RUC -

Administrative:

Education and arrest checks completed.

APPROVED	SPECIAL AGENT IN CHARGE	DO NOT WRITE IN SPACES BELOW			
COPIES MADE:					
2-Bureau (161B-HQ-1611255) -14					
Attn: PSS [REDACTED]					
SIGBIU, Room [REDACTED]					
1-Richmond (161B-HQ-1611255)					
E-mailed 4-9-09					
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How Fwd.					
By					

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UNITED STATES DEPARTMENT OF JUSTICE  
Federal Bureau of Investigation

Copy to:

Report of:

IOA [REDACTED]

Office: Richmond

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Date:

04/08/2009

Case ID #:

161B-HQ-1611255

Title:

HELEN ELIZABETH GARRETT  
AKA: BETH GARRETT  
ELIZABETH GARRETT  
LEVEL II - PAS

Character:

LEVEL II - PAS

Synopsis:

Investigation set forth.

- RUC -

DETAILS:

ARREST:

On 04/08/2009, a computerized check of the Virginia Court Case Information System, Albemarle County and Charlottesville General District Courts, Charlottesville, Virginia, was conducted regarding the candidate, Helen Elizabeth Garrett, aka: Beth Garrett and Elizabeth Garrett, providing negative results.

EDUCATION:

UNIVERSITY OF VIRGINIA, CHARLOTTESVILLE, VIRGINIA: 9/85-  
5/88.

On 04/08/2009, Customer Service, University of Virginia (UVA), Charlottesville, Virginia, made available to IOA [REDACTED]

[REDACTED] a copy of the transcript of Elizabeth H. Garrett, Date of Birth 06/30/1963, Social Security Number 444-48-0807. A review of the transcript determined

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161B-HQ-1611255

Garrett entered UVA School of Law in the Fall Semester of 1985. On 05/22/1988, she received her Juris Doctor, majoring in Law. While in attendance she had the following grades based on the Guideline System (A+ to F), A+ being the highest: A(+)- 8; A- 10; A(-)- 8; B(+)- 4; B- 1; CR(credit)- 2;. There is no grade point average (GPA) or ranking of students in Graduate School. The Spring semester of 1988, Garrett was a member of the Raven Society. The transcript contained no additional information.

On 04/08/2009, [REDACTED] Financial Aid Office, UVA, advised IOA [REDACTED] a review of her records failed to indicate Garrett had ever been delinquent in repayment of any loans she might have received through UVA.

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On 04/08/2009, [REDACTED] Dean of Students Office, UVA, advised IOA [REDACTED] a review of her records failed to indicate Garrett had ever been the recipient of any disciplinary action while in attendance at UVA.

## FEDERAL BUREAU OF INVESTIGATION

REPORTING OFFICE CHICAGO	OFFICE OF ORIGIN FBIHQ	DATE 04/09/2009	INVESTIGATIVE PERIOD 03/31/2009 - 04/08/2009
TITLE OF CASE HELEN ELIZABETH GARRETT aka BETH GARRETT; ELIZABETH GARRETT		REPORT MADE BY SA [REDACTED]	TYPED BY mdf <i>mdf</i>
		CHARACTER OF CASE BI LEVELL II - PAS	

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b7CBUDED:

04/06/2009 (Past)

REFERENCES:

161B-HQ-1611255 Serial 2

- PENDING (All CG investigation completed) -

ADMINISTRATIVE:

(1) A check of Chicago indices, arrest, and U.S. Attorney Office was negative regarding the candidate.

Where appropriate, all persons interviewed were furnished the appropriate provisions of the Privacy Act. Express promises of confidentiality have not been granted.

BUDED was unavoidably missed in this BI due to the unavailability of the results from the candidate's U.S. Attorney

APPROVED <i>RAC/m</i> SPECIAL AGENT IN CHARGE	DO NOT WRITE IN SPACES BELOW			
COPIES MADE: 1 - FBIHQ (Attn: PSS [REDACTED] [REDACTED] SIGBIUm Room [REDACTED] (161B-HQ-1611255) 1- CHICAGO (161B-HQ-1611255)				
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By				

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161B-HQ-1611255

Office Checks. On April 6, 2009, SA [ ] and IOA [ ] both notified PSS [ ] of this fact via e-mail. On April 6, 2009, PSS [ ] requested that SA [ ] provide, via e-mail, a copy of the investigation completed to date. At this time, the completed investigation is being submitted to FBIHQ.

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UNITED STATES DEPARTMENT OF JUSTICE  
Federal Bureau of Investigation

Copy to:

Report of:

SA

Office: Chicago

b6  
b7C

Date:

04/09/2009

Case ID #:

161B-HQ-1611255

Title:

HELEN ELIZABETH GARRETT  
aka BETH GARRETT; ELIZABETH GARRETT

Character:

BACKGROUND INVESTIGATION - PRESIDENTIAL  
APPOINTMENT REQUIRING SENATE CONFIRMATION

Synopsis:

Employment verified and favorable. Listed and developed  
references recommend.

- P -

DETAILS:

Chicago investigation is complete.

161B-HQ-1611255

ARREST

On 04/01/2009, Record Clerk [REDACTED] at CHICAGO POLICE DEPARTMENT advised IOA [REDACTED] that a search of their arrest records regarding HELEN ELIZABETH GARRETT, aka BETH GARRETT, ELIZABETH GARRETT was negative.

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On 04/01/2009, ILLINOIS STATE POLICE, BUREAU OF IDENTIFICATION (IBI), Joliet, IL., and NCIC advised IOA [REDACTED] [REDACTED] Correspondence Unit, that a search of their arrest records regarding HELEN ELIZABETH GARRETT, aka BETH GARRETT, ELIZABETH GARRETT was negative.

ATTORNEY REGISTRATION AND DISCIPLINARY COMMISSION:

On 03/31/2009, [REDACTED] ATTORNEY REGISTRATION AND DISCIPLINARY COMMISSION, 130 East Randolph, Suite 1500, Chicago, Illinois, advised IOA [REDACTED] that a search of their records disclosed that the candidate HELEN ELIZABETH GARRETT, aka BETH GARRETT, ELIZABETH GARRETT is not registered within the State of Illinois.

UNITED STATES (U.S.) ATTORNEY'S OFFICE

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On 04/08/2009, Record Clerk [REDACTED] U.S. ATTORNEY'S OFFICE, 219 South Dearborn Street, Chicago, Illinois, advised IOA [REDACTED] that a search of their civil and criminal records including both pending and closed cases regarding the candidate HELEN ELIZABETH GARRETT, aka BETH GARRETT, ELIZABETH GARRETT was negative.

INDICES

A search of Chicago's ACS Indices regarding the candidate, the candidate's relatives and the candidate's references/social acquaintances was negative.

EMPLOYMENT

The University of Chicago Law School  
1111 East 60th Street  
Chicago, Illinois  
July 1995 to June 2003

On April 1, 2009, [redacted] (listed on HELEN ELIZABETH GARRETT'S SF-86 as the person who could verify her employment), date of birth [redacted] residence [redacted] Chicago, Illinois, [redacted] was interviewed at his place of employment, The University of Chicago Law School (UC), 1111 East 60th Street, Chicago, telephone number [redacted] provided the following information:

[redacted] advised that he has known HELEN ELIZABETH GARRETT, the candidate, for more than [redacted] When they first met, the candidate was [redacted] at the University of Virginia School of Law (UV). Later, they worked together [redacted] Though their relationship was mostly professional, occasionally [redacted] and the candidate interacted in social settings. For that reason, [redacted] has first hand knowledge of the candidate's character and qualifications.

[redacted] has had no reason to question the candidate's character, associates, reputation, or loyalty to the United States. [redacted] noted that the candidate was "lively and ambitious." However, [redacted] continued that the candidate was "unremarkable otherwise."

[redacted] advised that the candidate was very work oriented. In addition, the candidate was well respected and possessed a good reputation. The candidate was very competent. In fact, the prior dean asked GARRETT to serve as his deputy dean. While employed by UC, GARRETT was trusted with important institutional jobs.

[redacted] advised that he has never observed, or heard of, any instance where the candidate expressed or manifested any bias or prejudice against any individual or group for any reason, e.g., based upon sex, race, color, religion, national origin, or age.

[redacted] advised that the candidate appeared to live within her means. While working for UC, the candidate maintained a modest condominium in the South Loop neighborhood of Chicago.

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The candidate was close to her family and maintained "small town tastes."

[ ] advised that he was not aware of any information about the candidate that could be used to influence, pressure, coerce or compromise the candidate in any way, or that could have an adverse impact on the candidate's character, judgment, stability, discretion, trustworthiness of responsibility. However [ ] admitted that while he has known GARRETT for a long time, [ ] did not know the candidate that well. [ ] noted that the candidate could be "more temperamental than the average person." However, [ ] personally knows [ ] who held a high position of trust and was also temperamental. [ ] further clarified that it was not his taste to be temperamental. [ ] believes that the candidate would be suitable for access to classified information.

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[ ] was not aware of any instance where the candidate abused alcohol or prescription drugs. [ ] advised that he is not aware of the candidate ever having used, sold, distributed, manufactured, possessed, or purchased illegal drugs.

[ ] advised that he would recommend the candidate for any position of trust and responsibility with the United States Government.

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[ ] advised that both [ ] and [ ] The University of Chicago School of Law, and [ ] at The Harvard School of Law, knew the candidate and were in a position to provide comments concerning her.

[ ] was advised of the provisions of the Privacy Act of 1974, but he did not request confidentiality.

On March 31, 2009, [ ] date of birth [ ] residence [ ] was interviewed at her place of employment, The University of Chicago Law School (UC), 1111 East 60th Street, Chicago, telephone number [ ] provided the following information:

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[ ] advised that she has known HELEN ELIZABETH GARRETT, the candidate, for as long as GARRETT was the associate dean of UC. [ ] estimated that this was approximately [ ] [ ] had little interaction with the candidate while the candidate was a professor. However, [ ] "truly enjoyed" working for the candidate. [ ] maintained an entirely



professional relationship with the candidate. [ ] has first hand knowledge of the candidate's character and qualifications.

[ ] has had no reason to question the candidate's character, associates, reputation, or loyalty to the United States. [ ] noted that the candidate was trustworthy, extremely reliable and discreet. GARRETT was direct and very clear about what she wanted or needed. For that reason, [ ] found it very easy to work for the candidate.

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[ ] advised that she could not think of another individual with a better character than the candidate's. [ ] would trust GARRETT with anything. [ ] found that the candidate was very competent, confident, and secure.

The candidate possessed an excellent reputation. As a secretary, [ ] would hear about the character and reputation of other employees. [ ] "never once heard anything derogatory" regarding the candidate. However [ ] noted that the candidate's direct nature could offend people at times.

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[ ] advised that she has never observed, or heard of, any instance where the candidate expressed or manifested any bias or prejudice against any individual or group for any reason, e.g., based upon sex, race, color, religion, national origin, or age.

[ ] could tell that the candidate was "very frugal." [ ] knew that the candidate enjoyed traveling. However, [ ] advised that the candidate appeared to live within her means.

[ ] advised that she was not aware of any information about the candidate that could be used to influence, pressure, coerce or compromise the candidate in any way, or that could have an adverse impact on the candidate's character, judgment, stability, discretion, trustworthiness of responsibility. [ ] described the candidate as an individual whom she could not even imagine having an issue. [ ] could not trust anyone more than the candidate. [ ] believes that the candidate would be suitable for access to classified information.

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[ ] was not aware of any instance where the candidate abused alcohol or prescription drugs. [ ] advised that she is not aware of the candidate ever having used, sold, distributed, manufactured, possessed, or purchased illegal drugs.

[ ] advised that she would absolutely recommend the candidate for any position of trust and responsibility with the

United States Government. [ ] described the candidate as "the best."

[ ] could not identify other individuals that could provide comments concerning the candidate.

[ ] was advised of the provisions of the Privacy Act of 1974, but she did not request confidentiality.

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On April 1, 2009, [ ] date of birth [ ] who resides in Illinois [ ] Northwestern University School of Law, and [ ] Chicago, Illinois, was interviewed telephonically, telephone number [ ] [ ] provided the following information:

[ ] advised that he was in the middle of a trial and did not have much time to speak. However, [ ] wanted to provide the highest recommendation possible for the candidate, HELEN ELIZABETH GARRETT.

[ ] has known the candidate for approximately [ ] years. [ ] first met the candidate when they were colleagues at The University of Chicago Law School. [ ] maintained both a professional and personal relationship with the candidate. For that reason, [ ] has first hand knowledge of the candidate's character and qualifications.

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[ ] has had no reason to question the candidate's character, associates, reputation, or loyalty to the United States. [ ] noted that the candidate had an "excellent" character. In addition, the candidate possessed an "excellent" reputation.

[ ] advised that the candidate possessed "excellent" abilities and qualifications. In fact, [ ] GARRETT to serve as his deputy dean. At that time, GARRETT was [ ] GARRETT performed a superb job while assisting [ ] and running some of the operations of the law school.

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[ ] advised that he has absolutely never observed, or heard of, any instance where the candidate expressed or manifested any bias or prejudice against any individual or group for any reason, e.g., based upon sex, race, color, religion, national origin, or age. [ ] advised that the candidate appeared to live within her means.

[ ] advised that he was not aware of any information about the candidate that could be used to influence, pressure, coerce or compromise the candidate in any way, or that could have an adverse impact on the candidate's character, judgment, stability, discretion, trustworthiness of responsibility. [ ] believes that the candidate would be suitable for access to classified information.

[ ] was not aware of any instance where the candidate abused alcohol or prescription drugs. [ ] advised that he is not aware of the candidate ever having used, sold, distributed, manufactured, possessed, or purchased illegal drugs.

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[ ] advised that he would recommend the candidate for any position of trust and responsibility with the United States Government. [ ] described the candidate as "superb in every way."

[ ] advised that [ ] and numerous other individuals could provide comments concerning the candidate.

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[ ] was advised of the provisions of the Privacy Act of 1974, but he did not request confidentiality.

On April 1, 2009, [ ]  
[ ] The University of Chicago Law School (UC), 1111 East 60th Street, Chicago, Illinois, telephone number [ ] after being provided an executed copy of the candidate's SF-86-1 (Authorization for Release of Information), made available the candidate's personnel file for review and it reflected the following:

HELEN ELIZABETH GARRETT, the candidate, began her employment with UC on July 1, 1995. The candidate was initially hired as an Associate Professor. The candidate held this position until June 30, 1999. On July 1, 1999, the candidate was promoted to a tenured Professor. In addition, the candidate held the position of Academic Deputy Dean. The candidate remained employed with UC until July 1, 2003, when she resigned. GARRETT'S resignation letter indicated that she resigned to join the faculty of The University of Southern California Law School (USC).

The faculty wrote a memorandum in support of the candidate's promotion to Professor. In this memorandum, the faculty advised that it was "an easy tenure case." The candidate produced "excellent scholarship work." In addition, the candidate displayed a "technical mastery of the materials." The

candidate was also described as a "sensational teacher." The faculty made the recommendations for full tenure "with enthusiasm and without restraints."

In the dean's memorandum to promote the candidate to a full Professor, the dean described the candidate as "one of the two or three finest scholars in legislative process." In addition, the dean described the candidate as a "gifted teacher."

The file indicated that while the candidate was still employed by the UC, the candidate served as a Visiting Professor at USC, The University of Virginia Law School and Harvard Law School. All of these visits were done with the permission of her employer, UC.

The file contained no unfavorable information concerning the candidate. While the file did not directly indicate that the candidate was eligible for rehire, an email in the file indicated that [REDACTED] "wished" that the candidate would remain a permanent faculty member of UC. This email was sent while the candidate was in the process of arranging a visiting professorship at USC.

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The file also contains the following information:

(1) Employee ID: 444480807 (same as the candidate's reported social security account number)

(2) Residence: 333 West Hubbard Street, #712, Chicago, Illinois.

(3) Date of birth: June 30, 1963.

REFERENCES / ASSOCIATES

On March 31, 2009, [redacted] (listed reference on HELEN ELIZABETH GARRETT'S SF-86), date of birth [redacted]

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[redacted] residence [redacted]

Illinois, telephone number [redacted]

[redacted] The University of Chicago College of Law (UC), 1111 East 60th Street, Chicago, Illinois, telephone number [redacted]

[redacted] was interviewed at his place of employment. [redacted]

provided the following information:

[redacted] advised that he has known GARRETT since she started her employment at UC. Even now, [redacted] has remained in contact with GARRETT. If [redacted] visited the West coast, or if GARRETT was in Chicago, they make an effort to see each other. [redacted] was previously aware of the candidate's consideration for a position with the United States Government. The candidate often [redacted]. In addition, the candidate had sent an email to several individuals outlining her potential position. In addition to a professional relationship, [redacted] maintained a personal friendship with the candidate. For that reason, [redacted] has first hand knowledge of the candidate's character and qualifications.

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[redacted] has had no reason to question the candidate's character, associates, reputation, or loyalty to the United States. In fact, [redacted] described the candidate as a person of "great integrity." In addition to being a professor, the candidate was also an associate dean for the law school. As an associate dean, the candidate was privy to and received delicate private information. The candidate was discreet and never disclosed this information. [redacted] explained that the candidate "did the right thing."

In the past, the candidate clerked for United States Supreme Court Justice THOROUGHGOOD MARSHALL and worked for United States Senator DAVID BOREN. [redacted] noted that the candidate has always focused on public work. The candidate was always moving upward and eager to accept greater responsibility. [redacted] was "thrilled at the prospect" of the candidate working for the United States Government.

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GARRETT has a high standing in the community. Individuals often trusted the candidate with projects because they knew GARRETT could successfully accomplish the task. [redacted] advised that the candidate was a quick study and enjoyed the challenge of mastering new areas of the law. The

candidate was a prolific writer and possessed a "terrifying work ethic."

[ ] advised that he has never observed, or heard of, any instance where the candidate expressed or manifested any bias or prejudice against any individual or group for any reason, e.g., based upon sex, race, color, religion, national origin, or age. In fact, [ ] described the candidate as a "full throated liberal, in the best sense."

[ ] advised that the candidate appeared to live within her means. In fact, [ ] was under the impression that GARRETT was renting her current residence because she was not certain how long she would remain at her position at the University of Southern California Law School.

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[ ] advised that he was not aware of any information about the candidate that could be used to influence, pressure, coerce or compromise the candidate in any way, or that could have an adverse impact on the candidate's character, judgment, stability, discretion, trustworthiness of responsibility. [ ] believes that the candidate would be suitable for access to classified information.

[ ] was not aware of any instance where the candidate abused alcohol or prescription drugs. In the past, [ ] has hosted parties with the candidate. [ ] noted that the candidate would stop drinking after she drank two glasses of wine. [ ] advised that he is not aware of the candidate ever having used, sold, distributed, manufactured, possessed, or purchased illegal drugs.

[ ] advised that he would absolutely recommend the candidate for any position of trust and responsibility with the United States Government.

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[ ] advised that [ ] UC, telephone number [ ] knows the candidate and was in a position to provide comments concerning her.

[ ] was advised of the provisions of the Privacy Act of 1974, but he did not request confidentiality.

On March 31, 2009 [ ] (developed reference), date of birth [ ] residence [ ] Chicago, Illinois [ ] was interviewed at his place of employment, The University of Chicago Law School (UC), 1111 East 60th Street, Chicago, Illinois,

telephone number [ ] provided the following information:

[ ] has known HELEN ELIZABETH GARRETT, the candidate, since approximately [ ]

[ ] When GARRETT first joined the faculty, [ ] of UC. During that time, [ ] did not frequently interact with the candidate. However, later [ ] returned to his position as a law professor and had more direct interaction with the candidate. [ ] described their relationship as professional. [ ] has first hand knowledge of the candidate's character and qualifications.

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[ ] has had no reason to question the candidate's character, associates, reputation, or loyalty to the United States. [ ] advised that the candidate was trustworthy and reliable. While the candidate was known for being "feisty" or "difficult," the candidate was also known for being "decisive" and "effective." The candidate was well respected. [ ] advised that "in general, people think quite well of her."

[ ] advised that GARRETT'S abilities were first rate. GARRETT was very smart and possessed good judgment. GARRETT had a reputation for being "forceful" or "tough." However, GARRETT was also "level headed" and "terrific" at her job. GARRETT was a "very good academic" who also possessed a "considerable administrative experience."

[ ] advised that he has never observed, or heard of, any instance where the candidate expressed or manifested any bias or prejudice against any individual or group for any reason, e.g., based upon sex, race, color, religion, national origin, or age. [ ] advised that as far as he knew, the candidate appeared to live within her means.

[ ] advised that he was not aware of any information about the candidate that could be used to influence, pressure, coerce or compromise the candidate in any way, or that could have an adverse impact on the candidate's character, judgment, stability, discretion, trustworthiness of responsibility. [ ] believes that the candidate would be suitable for access to classified information.

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[ ] was not aware of any instance where GARRETT abused alcohol or prescription drugs. [ ] advised that he is not aware of the candidate ever having used, sold, distributed, manufactured, possessed, or purchased illegal drugs.

[ ] advised that he would recommend the candidate for any position of trust and responsibility with the United States Government.

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[ ] advised that the following individuals all know the candidate and were in a position to provide comments concerning her: [ ]

[ ] Law Professor, UC.

[ ] was advised of the provisions of the Privacy Act of 1974, but he did not request confidentiality.



## FEDERAL BUREAU OF INVESTIGATION

REPORTING OFFICE <b>Washington Field Office</b>	OFFICE OF ORIGIN <b>WFO</b>	DATE 04/09/2009	INVESTIGATIVE PERIOD 03/31/2009 - 04/09/2009
TITLE OF CASE  HELEN ELIZABETH GARRETT		REPORT MADE BY  [REDACTED]	TYPED BY  MRG
		CHARACTER OF CASE Level II-PA/PAS	

REFERENCE: Bureau EC to WFO, et al, dated 03/30/2009.

- CLOSED -

ADMINISTRATIVE: BUDED: 04/06/2009

Where appropriate, Privacy Act(e)(3) data was furnished to persons interviewed. Express promise of confidentiality, both limited and unlimited have been noted where granted.

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Electronic Indices conducted by [REDACTED] concerning HELEN ELIZABETH GARRETT (applicant) revealed negative results.

General Indices conducted by [REDACTED] concerning HELEN ELIZABETH GARRETT (applicant) CASE FILE NUMBER [REDACTED] NAME MENTIONED ONLY, NO OTHER IDENTIFIABLE INFORMATION (NOT ENOUGH INFO. IN FILE TO IDENT. OR NOT IDENT.); CASE FILE NUMBER 5-0-3H p.2, 5-03g p.3 and 12D-R-12000-19 UNABLE TO LOCATE.

This report is being submitted past the Bureau deadline due to an administrative delinquency on Squad A-2.

APPROVED	SPECIAL AGENT IN CHARGE	DO NOT WRITE IN SPACES BELOW			
COPIES MADE:					
2 - FBIHQ (161B-HQ-1611255) - 16					
SIGBIU					
ROOM [REDACTED]					
ATTN: PS [REDACTED]					
1 - WFO (161B-HQ-1611255)					
DISSEMINATION RECORD OF ATTACHED REPORT		Notations			
Agency					
Request Recd.					
Date Fwd.					
How Fwd.					
By.					

COVER PAGE

UNITED STATES DEPARTMENT OF JUSTICE  
Federal Bureau of Investigation

Copy to:

Report of:

[Redacted]

Office: WFO

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Date: 04/09/2009

Case ID #: 161B-HQ-1611255

Title: HELEN ELIZABETH GARRETT

Character: Level II-PA/PAS

Synopsis: D.C. BAR ASSOCIATION MEMBERSHIP/BAR COUNSEL, DMV DC, U.S. SENATE  
DISBURSING OFFICE/OPF, FEC, USAO DC/VA, WH/OPF, USSS, DOJ/PIS, OFFICE OF  
SENATE SECURITY/SY, US PK PD AND CTOC RECORDS WERE CHECKED  
CONCERNING GARRETT.

- CLOSED -

DETAILS

WFO INVESTIGATION IS COMPLETE.

ARREST CHECK

COMMAND AND TACTICAL OPERATIONS CENTER(CTOC)  
Metropolitan Police Department(MPD)/WANTS  
National Criminal Investigation Center(NCIC/  
Interstate Identification Index (III)  
Washington, D.C.

On March 30, 2009, Investigative Operations Analyst (IOA) [REDACTED] initiated the following investigation:

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On March 31, 2009 [REDACTED] CTOC Specialist of the Command and Tactical Operations Center (CTOC) conducted a computerized search of the records of MPD/WANTS and NCIC/III database to obtain a criminal arrest record concerning HELEN ELIZABETH GARRETT, date of birth: 06/30/1963, social security number: 444480807.

The search revealed no identifiable criminal arrest record concerning the above-named individual(s).

RECORD CHECK

UNITED STATES PARK POLICE  
1100 Ohio Drive, SW  
Washington, DC 20242

On March 31, 2009, Investigative Operations Analyst (IOA) [REDACTED] submitted a request for the records to be searched concerning HELEN ELIZABETH GARRETT, aka: BETH GARRETT; ELIZABETH GARRETT, date of birth: June 30, 1963, social security number: 444480807.

On April 1, 2009, [REDACTED] Police Data, advised that the search disclosed no records concerning the above-named individual.

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RECORD CHECK

BAR COUNSEL AND COMPLAINTS  
OFFICE OF GRIEVANCES  
409 E. Street, Northwest,  
Building B, Room 228,  
Washington, D.C.

On March 31, 2009, Investigative Operations Analyst (IOA) [REDACTED] received and initiated an inquiry at the Office of Bar Counsel/Complaints and Grievances, Washington, D.C., concerning HELEN ELIZABETH GARRETT, also known as Beth Garrett; Elizabeth Garrett, born June 30, 1963.

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A computerized search of the Bar Counsel and Complaints Office of Grievances database revealed the following:

ELIZABETH GARRETT is an Inactive member in good standing, and there are no grievances on file which have resulted in disciplinary action.

It is noted that the Bar Counsel will not release any information regarding complaints against attorneys which are currently being investigated, or which do not result in administrative action, suspension, or disbarment.

RECORD CHECK

U.S. SENATE  
Office of Senate Security  
Room S-407, The Capitol  
Washington, D.C.

On March 31, 2009, Investigative Operations Analyst (IOA) [REDACTED] received and initiated an inquiry at the above captioned agency concerning HELEN ELIZABETH GARRETT, also known as Beth Garrett; Elizabeth Garrett, Social Security Account Number 444-48-0807.

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[REDACTED], advised that the Security Office contained no records relative to GARRETT.

RECORD CHECK

DEPARTMENT OF JUSTICE  
PUBLIC INTEGRITY SECTION  
1400 New York Avenue, NW, Room 12100  
Washington, DC 20005

On March 31, 2009, Investigative Operations Analyst (IOA) [REDACTED] submitted a request for the records to be searched concerning HELEN ELIZABETH GARRETT, aka: BETH GARRETT; ELIZABETH GARRETT, date of birth: June 30, 1963, social security number: 444480807.

On April 1, 2009, [REDACTED] Case Management Administrator advised that the search disclosed no records concerning the above-named individual.

No additional information was obtained.

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RECORD CHECK

UNITED STATES SECRET SERVICE  
Investigative Support Division  
950 H Street, N.W.  
Washington, DC

On April 1, 2009, Investigative Operations Analyst (IOA) [REDACTED] submitted a request for the records to be searched concerning HELEN ELIZABETH GARRETT, aka: BETH GARRETT; ELIZABETH GARRETT, date of birth: June 30, 1963, social security number: 444480807.

On April 1, 200 [REDACTED] Investigative Support Division advised that the search disclosed no records concerning the above-named individual.

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161B-HQ-1611255

VJM:vjm

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WHITE HOUSE OFFICE CHECK

Office of the Executive Clerk  
Office of Administration  
Executive Office of the President  
Room 4  
Old Executive Office Building  
Washington, D. C.

The following investigation was conducted by Investigative Operations Analyst (IOA) [REDACTED]  
[REDACTED] on March 31, 2009 concerning HELEN ELIZABETH GARRETT, also known as ELIZABETH GARRETT.

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A search of the index cards and the computer database of the Executive Clerk's Office of the White House reflects no record concerning HELEN ELIZABETH GARRETT, also known as ELIZABETH GARRETT.

RECORD CHECK

UNITED STATES ATTORNEY'S OFFICE  
Eastern District of Virginia  
2100 Jamieson Avenue  
Alexandria, Virginia 22314

On April 2, 2009, Investigative Operations Analyst (IOA) [REDACTED] submitted a request for the records to be searched concerning HELEN ELIZABETH GARRETT, aka: BETH GARRETT; ELIZABETH GARRETT, date of birth: June 30, 1963, social security number: 444480807.

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On April 2, 2009 [REDACTED] Legal Assistant conducted a search of all pending/closed civil and criminal indexes. The search disclosed the following:

A search of the database, which cover the period from 1985 to the present and encompasses the Alexandria, Newport News, Norfolk and Richmond regional area in addition to the United States Magistrate Courts, disclosed no record concerning the above-named individual.

Also an additional search of their Index Card System which covers the period prior to 1985 revealed no record concerning the above-named individual.

RECORD CHECK

UNITED STATES DEPARTMENT OF JUSTICE  
Office of the United States Attorney  
District of Columbia  
Judiciary Center  
555 Fourth Street, NW  
Washington, DC

On March 31, 2009, Investigative Operations Analyst (IOA) [REDACTED] submitted a request for the records to be searched concerning HELEN ELIZABETH GARRETT, aka: BETH GARRETT; ELIZABETH GARRETT, date of birth: June 30, 1963, social security number: 444480807.

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On March 31, 2009, [REDACTED] LEGAL ASSISTANT, U.S. Attorney Office, Narcotics, Public Corruption, Economic Crime, Transnational and Major Crimes Unit, advised that no identifiable record was located concerning the above-named individual.

On April 1, 2009, [REDACTED] DOCKET CLERK, U.S. Attorney Office, Civil Division, advised that no identifiable record was located concerning the above-named individual.

It should be noted that the index system of the Civil Division, U.S. Attorney Office (USAO) contains only names of plaintiff, except in civil actions brought by the United States against a particular defendant. Suits against government employees who are represented by the United States Attorney would be filed by plaintiffs name and docket number.

## RECORD CHECK

FEDERAL ELECTION COMMISSION (FEC)  
999 E Street, Northwest,  
Washington, D.C.

On March 31, 2009, Investigative Operations Analyst [REDACTED] received a lead to conduct at the above captioned agency to obtain information regarding any allegations and/or derogatory information concerning HELEN ELIZABETH GARRETT, aka: BETH GARRETT; ELIZABETH GARRETT, date of birth: June 30, 1963, social security number: 444480807.

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On April 2, 2009, [REDACTED] Paralegal Specialist, advised that the FEC enforcement records contained one record in the name of [REDACTED]. The following data was obtained:

Matter Under Review (MUR) 4977 revealed that on February 24, 2000, a complaint was forwarded to the Federal Election Commission (FEC) by [REDACTED] set for several allegations against [REDACTED] (the Committee); 2 U.S.C & 441a(a)(1)(A); states that matching contribution is a gift of money made by an individual, by a written instrument, for the purpose of influencing the results of a primary election and 11 C.F.R & 110.4(b)(1)(iv); states that no person should make a contribution in the name of another person or knowingly permit his name to be used to effect such a contribution, and no person shall knowingly accept a contribution made by one person in the name of another. Another allegation made by the Complainant is that individuals made contributions in excess of the \$1,000 limit, and the Committee attempted to circumvent the contribution limit by transferring these excessive contributions into the [REDACTED]. Additionally, the complainant alleges that campaign staff [REDACTED] made 11 contributions from October 30, 1995 through November 21, 1995, in amounts ranging from \$2 to \$150. The Complainant stated these small contributions could represent cash contributions that were converted to checks by [REDACTED] rather than contributions by [REDACTED].

On January 28, 2002, the FEC recommended that MUR 4977 be closed and that no action be taken against, [REDACTED] and the Committee.

On May 2, 2001, the FEC decided to take no action against [REDACTED] and closed MUR 4977 on that same date.

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No additional pertinent information was obtained or provided.

## EMPLOYMENT

U.S. SENATE  
Disbursing Office  
Washington, D.C.

On March 31, 2009, Investigative Operations Analyst (IOA) [REDACTED] initiated a lead to conduct an inquiry at the above captioned agency for employment data concerning HELEN ELIZABETH GARRETT, also known as Beth Garrett; Elizabeth Garrett, Social Security Account Number 444-48-0807.

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[REDACTED] Senate Disbursing Office (SDO), provided the following employment data regarding ELIZABETH GARRETT:

DATE(s)	ACTION
---------	--------

June 1, 1983	Excepted appointment, Intern, Office of Senator Boren
--------------	---

August 5, 1983	Termination
----------------	-------------

November 18, 1991	Appointed: Tax Legislative Assistant/Legal Counsel, Office of Senator Boren
-------------------	---

January 1, 1994	Title change: Legal Director
-----------------	------------------------------

June 30, 1994	Termination
---------------	-------------

[REDACTED] provided no additional data.

RECORD CHECK

GOVERNMENT OF THE DISTRICT OF COLUMBIA  
Department of Motor Vehicles  
95 M Street, Southwest  
Washington, D.C.

On April 1, 2009, Investigative Operations Analyst (IOA [REDACTED]) submitted a request for the records to be searched to obtain a full history driving record concerning HELEN ELIZABETH GARRETT, aka: BETH GARRETT; ELIZABETH GARRETT, date of birth: June 30, 1963, social security number: 444480807.

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On April 1, 2009 [REDACTED] Program Support Assistant advised that the above-named individual has no motor vehicle operator's permit and/or driving record in the data communications system computer files.

RECORD CHECK

BAR MEMBERSHIP  
DISTRICT OF COLUMBIA BAR  
1250 H Street, Northwest,  
Washington, D.C.

On March 31, 2009, Investigative Operations Analyst (IOA) [REDACTED] received and initiated an inquiry at the District of Columbia Bar (D.C. BAR), Washington, D.C., concerning HELEN ELIZABETH GARRETT, also known Beth Garrett; Elizabeth Garrett, born June 30, 1963.

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A computerized search of the D.C. Bar membership database revealed the following:

On July 7, 1989, ELIZABETH GARRETT was admitted to the D.C. Bar and is an Inactive member.

No derogatory information, nor any additional pertinent information was provided.

## FEDERAL BUREAU OF INVESTIGATION

REPORTING OFFICE LOS ANGELES	OFFICE OF ORIGIN FBIHQ	DATE 04/08/2009	INVESTIGATIVE PERIOD 04/02/2009 - 04/08/2009
TITLE OF CASE HELEN ELIZABETH GARRETT		REPORT MADE BY SA [REDACTED]	TYPED BY rjb
		CHARACTER OF CASE LEVEL II - PAS	

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04/06/2009

REFERENCES

FBIHQ E.C. to Los Angeles, 03/30/2009

- Pending: - (ALL LAFO investigation completed) -

ADMINISTRATIVE

All persons interviewed were furnished the appropriate provisions of the Privacy Act. Express promises of confidentiality were not granted.

APPROVED	SPECIAL AGENT IN CHARGE	DO NOT WRITE IN SPACES BELOW			
COPIES MADE: 2 - FBIHQ (161B-HQ-1611255) - <i>17</i> (Attn: PSS [REDACTED]) SIGBIU, room [REDACTED] 1- LOS ANGELES (161B-HQ-1611255)					
<i>E-mailed 4-8-09</i>					
DISSEMINATION RECORD OF ATTACHED REPORT		Notations			
Agency	WH				
Request Recd.					
Date Fwd.	4-10-09				
How Fwd.	COURIER				
By	<i>may</i>				

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UNITED STATES DEPARTMENT OF JUSTICE  
Federal Bureau of Investigation

Copy to:

Report of:  
Date:

SA [REDACTED]  
04/08/2009

Office: Los Angeles

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Case ID #:

161B-HQ-1611255

Title:

HELEN ELIZABETH GARRETT

Character:

BACKGROUND INVESTIGATION - PRESIDENTIAL  
APPOINTMENT REQUIRING SENATE CONFIRMATION

Synopsis:

Candidate interview was conducted. Former [REDACTED]  
[REDACTED] interviewed. Candidate's employment was  
verified. Candidate's association with the University  
of Southern California; USC Care; and the Lord Foundation  
was verified. Neighborhood verifications were conducted.

- P -

DETAILS:

Los Angeles investigation is complete.

- 1 -

## FEDERAL BUREAU OF INVESTIGATION

Date of transcription 04/06/2009

HELEN ELIZABETH GARRETT, Date of birth: June 30, 1963, Place of birth: Oklahoma City, Oklahoma, Home address: 801 Ocean Avenue #404, Santa Monica, California 90403, Home telephone number: 310-576-0029, employed as Vice President of Academic Planning and Budget, University of Southern California, Los Angeles, California, work telephone number: 213-740-2101, was contacted at her place of employment. After being advised of the identity of the interviewing agents and the purpose of the interview, GARRETT stated the following:

HELEN ELIZABETH GARRETT (hereafter "GARRETT") is being considered for a Presidential Appointment and the purpose of the interview was to ensure that complete, accurate, and current information was obtained from GARRETT to assist the Federal Bureau of Investigation (FBI) in conducting a thorough background investigation of GARRETT for the position with the Federal Government for which GARRETT is being considered. GARRETT was advised that the scope of the questions asked during this interview would cover the candidates entire life since age 18, except for counseling. GARRETT was advised that the scope of the counseling questions would be the same as the scope of the background investigation the FBI will conduct, and that being the last 15 years.

GARRETT was advised that concealed matters in a person's life could be the basis for coercion, attempted pressure or influence; that candor and forthrightness during the application process are significant factors in consideration for employment with the U.S. government; and that a lack of candor may disqualify her from employment. GARRETT was also advised that there is a continuing and affirmative obligation to immediately notify the U.S. government in writing of any attempt by any person to influence, coerce or exert pressure on her, and that failure to notify the government of any attempted pressure will presumptively be considered a negative factor in a review for continued employment. GARRETT understood this obligation, and advised that she will comply with it.

GARRETT was shown a copy of the SF-86 dated March 25, 2009, which GARRETT had completed and signed. Each of GARRETT's answers to the questions on the SF-86 were reviewed with GARRETT to

Investigation on 04/06/2009 at Los Angeles, California

File # 161B-HQ-1611255

Date dictated \_\_\_\_\_

by SA

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161B-HQ-1611255

Continuation of FD-302 of HELEN ELIZABETH GARRETT, On 04/06/2009, Page 2

ensure the accuracy and completeness. GARRETT advised that the written responses to each of the questions on the SF-86 were accurate and complete to the best of her knowledge and recollection.

GARRETT resides with her husband at 801 Ocean Avenue #404, Santa Monica, California 90403, and does not have any interest in real property, vacation homes, and rental property. GARRETT's husband, [REDACTED] are co-owners of a [REDACTED] receives 100% of the rental income. The two inherited the property from their [REDACTED]

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GARRETT has [REDACTED] who reside with their mother in [REDACTED] to see his [REDACTED] every year, off and on from [REDACTED] [REDACTED] rented an apartment in [REDACTED] located at [REDACTED] He currently rents an apartment at [REDACTED] a month [REDACTED] resides at the apartment when she is in [REDACTED] The two maintain the apartment year around, so [REDACTED] will have stability when [REDACTED] is visiting. GARRETT last visited her [REDACTED] in January 2009. GARRETT advised she will only be traveling to [REDACTED] on one or two occasions a year, in the future.

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GARRETT advised she resided at 1449 Corcoran Street N.W. 3rd, Washington, D.C., in 1991, at 4715 W. Braddock Road 11, Alexandria, VA 22311 in 1980, and at 2401 Arlington Blvd. 50, Charlottesville, VA 22903 from 1985 to 1988, when she attended the University of Virginia Law School. GARRETT did not include the above addresses on her SF-86, because the dates were beyond the scope requested on the form.

GARRETT currently serves as a member for the following organizations:

- (1) Honorary member for the American Law Institute, since October 2008,
- (2) Honorary member and Chair of the Finance Committee and National Governing Board for the Common Cause organization, from 2005 to 2009.
- (3) Member of the Pacific Council on International Policy, since 2008.

161B-HQ-1611255

Continuation of FD-302 of HELEN ELIZABETH GARRETT, On 04/06/2009, Page 3

- (4) Volunteer for the Barack Obama Presidential Campaign, Tax Policy Committee, from 2007 to 2008, Education Policy Committee in 2008.
- (5) Honorary Fellow, American Bar Foundation, elected approximately 2001.
- (6) Advisory Board, Los Angeles Urban Debate League, from 2009 to present.
- (7) Member, Board of Visitors of the College of Arts and Sciences, University of Oklahoma, from 2002 to 2007.
- (8) Vice Chair, Committee on Legislative Process and Lobbying, American Bar Association Section on Administrative Law and the Regulatory Process, from 2000 to 2005.
- (9) Governing Board, The Franke Institute from the Humanities, University of Chicago, from 1999 to 2001.
- (10) Member, Twentieth Century Fund's Citizens for Independent Courts, from 1998 to 2000.
- (11) Member, American Civil Liberties Union, Charlottesville, Virginia, approximately from 1994 to 1995.

GARRETT stated she was also a member of Board of Directors for U.S.C. Care, from 2007 to 2008, Secretary for the Lord Foundation, from 2006 to 2007, and Secretary for the Initiative and Referendum Institute at U.S.C., from 2004 to present. However, these organizations are considered a part of her employment at U.S.C.

GARRETT never got compensated from any of the above memberships. GARRETT contributes 1% of her base salary to the Good Neighbors Campaign at the U.S.C. Neighborhood Outreach Program and contributed an average of \$1,000 a year to the Common Cause organization.

GARRETT has never had any personal or business financial responsibility issues to include repossessions, delinquent student loans or child support or alimony, collections, or bankruptcies.

She is current on all federal, state and local tax obligations.

She has never had any payments of back taxes, tax liens or judgments, repossessions, delinquent student loans, delinquent child support and/or alimony payments, liens placed against her, or wage garnishments. This includes and is not limited to Medicare, Social Security, employee withholding and unemployment taxes. She was never the subject of a legal judgement concerning a debt.

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Continuation of FD-302 of HELEN ELIZABETH GARRETT, On 04/06/2009, Page 4

GARRETT did not have any credit accounts that were 120 days or more past due. She does not have any unpaid tax obligation. A maid service called "Southern California Maid Service," Gardena, California, telephone number 1-800-273-7033, services her apartment, approximately twice a month, at \$75.00 a visit. GARRETT pays a flat rate, and is not responsible for taxes or social security.

GARRETT was arrested by the Oklahoma Highway Patrol, several years ago, on March 8, 1984. Officer [REDACTED] was conducting a routine driver's license check point when GARRETT refused to display her driver's license. GARRETT disagreed with [REDACTED] and stated she did not have to show her driver's license. GARRETT was arrested and charged with the following offenses:

- (1) Failure to comply with lawful order of a Police Officer,
- (2) Failure to have driver's license in immediate possession and
- (3) Resisting Arrest.

GARRETT was later released to her passenger [REDACTED]. [REDACTED] GARRETT was ordered to write a paper on her view of the 4th Amendment Constitutional Right. On June 13, 1984 Associate District Judge Robert L Foster, Lincoln Court, Chandler, Oklahoma, dismissed all charges against GARRETT.

GARRETT has not been involved in any other criminal matters as a suspect or subject, nor has she been arrested, charged, and/or convicted of any other crime.

GARRETT has not had business/investment circumstances that could or have involved conflict of interest allegations, either with prior employments, or with pending position for which the candidate is being considered.

GARRETT has not been involved in any civil suits.

GARRETT has never been involved in a divorce.

GARRETT possesses a professional license with the Texas Bar Association, license number 07686950, issued in 1988, Austin, Texas, and the Washington D.C. Bar Association, license number 419232, issued in 1989.

GARRETT has never acted as or registered as a lobbyist.

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Continuation of FD-302 of HELEN ELIZABETH GARRETT, On 04/06/2009, Page 5

GARRETT has never been the subject of any professional complaints, non-judicial disciplinary actions nor has she been disciplined or cited to a breach of ethics or unprofessional conduct.

GARRETT was considered an expert advisor for the case of [REDACTED] in 1997. GARRETT was retained by Attorney [REDACTED] Arthur Benson & Associates, Kansas City, MO 64106, and [REDACTED] provided expert advise on Term Limits on a Civil Litigation.

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GARRETT was considered an expert advisor for the case of [REDACTED] v. Network Solutions, in 1998. GARRETT provided expert advise on the Process of Appropriation Budget, for a class action lawsuit.

GARRETT was considered an expert advisor for the case of [REDACTED] v. Wirtz Corporation, in 2001. GARRETT was retained by the Attorney General in Cook County, Illinois, and provided expert advise on the Uniformity Clause of the Illinois State Constitution (tax on alcohol in Illinois).

GARRETT was considered an expert advisor for the case of Citizens for a Clean Government v. City of San Diego, in 2008. GARRETT was retained by the City of San Diego and provided expert advise on the Constitutionality on the Contribution Limits on Local Recall Elections.

GARRETT has never been denied employment, dismissed, suspended, left under unfavorable circumstance or through mutual agreement with employer, or been denied a security clearance/had same revoked or suspended.

GARRETT's husband [REDACTED] has not voted in [REDACTED] only uses his [REDACTED]

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GARRETT occasionally visits [REDACTED] cousin, [REDACTED] and his sister [REDACTED] when she is in [REDACTED] GARRETT does not communicate with them other than when she is in [REDACTED]

GARRETT has never been in the military, U.S. or foreign.

GARRETT has never received any formal education from a foreign country.

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Continuation of FD-302 of HELEN ELIZABETH GARRETT, On 04/06/2009, Page 6

GARRETT has never been employed by or acted as an agent for a foreign government or entity, or been in contact with any type of representatives of foreign countries or organizations, involving politics, military, interest groups, police agencies, or intelligence agencies.

GARRETT met with representatives of the Israeli Antiquities Authority in Tel Aviv and Jerusalem, in March 2009. GARRETT was representing her current employer, U.S.C. and discussed digitizing the dead sea scrolls (allowing scholars to view the dead sea scrolls on the computer, in place of traveling to Israel).

GARRETT met with Professor [REDACTED] at Israel Antiquities Authority, Department of History at The Hebrew University of Jerusalem, Jerusalem, Israel 91905, telephone number [REDACTED] cellular number is [REDACTED] located at the Rockefeller Museum Bldg, Jerusalem, Israel 91004, telephone number [REDACTED]

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GARRETT does not currently or formerly own any foreign property, businesses, bank accounts, investments or other assets in foreign countries in the future, either by inheritance or other means. GARRETT has never had financial interests in, or ties and preferences to foreign countries. GARRETT stated her husband, [REDACTED] currently has a bank account at [REDACTED] His average deposits are [REDACTED] a year. This money covers the cost of his apartment and [REDACTED] expenses, while visiting his [REDACTED] GARRETT's name is not on the account.

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GARRETT has traveled to [REDACTED] on several occasions to visit [REDACTED] GARRETT stays at [REDACTED] apartment while in [REDACTED] GARRETT advised she normally writes articles and books while [REDACTED]

GARRETT has traveled to Italy, Mexico, Spain, United Kingdom, St. Lucia, Netherlands, Austria, Czech Republic, Romania, Egypt, Russia and France on vacation and stayed in nearby hotels.

GARRETT traveled to United Kingdom in 2006 to give a presentation on tax reform to an accounting firm called Price Water House.

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Continuation of FD-302 of HELEN ELIZABETH GARRETT, On 04/06/2009, Page 7

GARRETT traveled to Canada and presented a paper at the Center for Constitutional Law, Banf Conference Center, in 2004. GARRETT stayed at a nearby hotel for approximately ten days.

GARRETT traveled to Hungary on three occasions (1999, 2001 and 2003) as a visiting professor at the Central European University. GARRETT taught classes on administrative law, statutory interpretation and statutory drafting. GARRETT stayed at a nearby hotel in Budapest. GARRETT was compensated by Central European University.

GARRETT traveled to China and Korea in May 2006, with the board of trustees at U.S.C. They visited with other leaders at the local Universities. GARRETT stayed at a nearby hotel.

During GARRETT's foreign travel, she never observed anything unusual. GARRETT does not have any nonofficial business contact, except with [REDACTED]

[REDACTED] as previously mentioned. GARRETT is good friends and communicates with two foreign nationals, [REDACTED]

[REDACTED] and his wife [REDACTED] for the

The [REDACTED] reside at [REDACTED]

[REDACTED] telephone number [REDACTED]

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GARRETT has never abused alcohol or prescription drugs. GARRETT has never been involved in the illegal purchase, manufacture, trafficking, production, transfer, shipping, receiving, or sale of any controlled substances; illegally used a controlled substance while employed as a law enforcement officer, prosecutor, courtroom official; illegally used a controlled substance while possessing a security clearance; illegally used a controlled substance while in a position affecting the public safety.

GARRETT smoked marijuana while attending the University of Oklahoma, between 1981 and 1985. GARRETT smoked marijuana with her [REDACTED]

[REDACTED] last known telephone number [REDACTED] GARRETT and

[REDACTED] smoked marijuana on approximately four to five occasions. GARRETT smoked the marijuana [REDACTED] and never smoked it alone. GARRETT advised she smoked marijuana on one other occasion, when she was in law school at the University of Virginia, between 1985 and 1988. GARRETT could not recall who she smoked the marijuana with, but said, she has not smoked it since.

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Continuation of FD-302 of HELEN ELIZABETH GARRETT, On 04/06/2009, Page 8

GARRETT has never received counseling or treatment for alcohol or drug abuse. GARRETT has never had any counseling psychiatrists, psychologists or other qualified mental health counselors or physicians for a mental health-related problem.

GARRETT was not aware of any business or investment circumstances that could or have involved conflict(s) of interest allegations, either with prior employments, or with pending position for which the candidate is being considered.

GARRETT stated she is not familiar with any of the following companies, in which her name was associated with through

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GARRETT was never affiliated with, participated in, or have ever been a member of any organization with policies restricting membership on the basis of age, sex, race, color, religion or national origin.

GARRETT has never been involved or associated with any organization which advocates the use of force to overthrow the U.S. Government.

GARRETT has ever had any involvement in the commission of sabotage or espionage.

GARRETT has never been a member of any radical, terrorist, or militant group.

GARRETT advised that she was unaware of any activity or conduct in her background that could be used to influence, pressure, coerce or compromise her, or could have an adverse impact on her character, reputation, judgment, stability, discretion, trustworthiness, responsibility, or loyalty to the United States and/or which she believes would affect her continued Federal employment and/or access to classified information.

GARRETT was not aware of anything in her life that could cause embarrassment to herself or to the President of the United States if publicly known.

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Continuation of FD-302 of HELEN ELIZABETH GARRETT, On 04/06/2009, Page 9

GARRETT advised that there was nothing in her background that could have an adverse impact on his character, judgement, stability, discretion, trustworthiness, or responsibility if appointed to a position with the U.S. Government.

GARRETT has never run for political office, served on a political committee nor has she been identified in any public way with a particular political organization, candidate or issue.

- 1 -

## FEDERAL BUREAU OF INVESTIGATION

Date of transcription 04/08/2009

HELEN ELIZABETH GARRETT, 801 Ocean Avenue #404, Santa Monica, California 90403, telephone number 310-576-0029, employed as Vice President of Academic Planning and Budget, University of Southern California, Los Angeles, California, work telephone number 213-740-2101, was contacted at her place of employment. After being advised of the identity of the interviewing agent and the purpose of the interview, GARRETT stated the following:

GARRETT stated she was not familiar with the address of 440 W Adams Street, 2206, Chicago, IL. She stated the address might be affiliated with a huge apartment complex she stayed at in Chicago, IL, called "Plaza 440." GARRETT resided at 440 Wabash, in Chicago, and stated the above address may have been located on the other side of the complex.

GARRETT stated she is not familiar with any of the following companies, in which her name was associated with through

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GARRETT stated [REDACTED] may have requested her to be a part of their board, several years ago. However, she was not familiar with this name.

GARRETT stated she has never had any affiliation to the [REDACTED] or otherwise affiliated with this organization.

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Investigation on 04/06/2009 at Los Angeles, California

File # 161B-HQ-1611255

Date dictated \_\_\_\_\_

by SA [REDACTED]

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- 1 -

## FEDERAL BUREAU OF INVESTIGATION

Date of transcription 04/08/2009

[redacted]  
work telephone number [redacted] home telephone number [redacted]  
[redacted] was contacted at her place of employment. After being  
advised of the identity of the interviewing agent and the purpose  
of the interview [redacted] stated the following:

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[redacted] ELIZABETH GARRETT [redacted]  
Norman, Oklahoma, when the two of them attended Oklahoma  
University. GARRETT was [redacted]  
[redacted]  
[redacted] GARRETT [redacted]  
[redacted]  
[redacted]  
[redacted] stated that she could [redacted]  
[redacted] stated that at [redacted]  
[redacted] stated  
that it is [redacted]  
[redacted]  
[redacted] GARRETT [redacted]  
[redacted] GARRETT [redacted]  
[redacted] She does not believe that GARRETT [redacted]  
[redacted] To the best of [redacted]  
knowledge, GARRETT [redacted]

Investigation on 04/08/2009 at \_\_\_\_\_ (telephonically)File # 161B-HQ-1611255 Date dictated 04/08/2008

by SA [redacted]

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EMPLOYMENT VERIFICATION

On April 2, 2009, Special Agent [REDACTED]  
conducted the following investigation:

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University of Southern California  
Bovard Administration Building Suite 102,  
Los Angeles, California 90089  
(213)740-2101  
2006 - present

HELEN ELIZABETH GARRETT's personnel file was reviewed.  
[REDACTED] provided SA  
[REDACTED] the personnel file for GARRETT with the name on the folder  
as GARRETT, ELIZABETH. The file showed that GARRETT was  
appointed Vice Provost for Academic Affairs on June 1, 2005 and  
was then appointed to Vice President for Academic Planning and  
Budget on June 1, 2006 to the present. GARRETT also served as  
acting Provost July and September of 2006, July and August of  
2007 and June and July of 2008. GARRETT received performance  
based compensations for fiscal year 2005-06 in the amount of  
25,000 U.S. dollars and 35,000 U.S. dollars for fiscal year 2006-  
07. GARRETT's beginning salary in 2005 was an academic base of  
291,200 U.S. dollars with an administrative stipend of 20,000  
U.S. dollars. Her current salary base is 361,000 U.S. dollars.  
GARRETT was also given a monthly car allowance in fiscal year  
2006-07 in the amount of 1,265 U.S. dollars a month, 2007-2008 in  
the amount of 1,308 U.S. dollars a month and 2008-09 1,372 U.S.  
dollars a month. The Provost's office did not maintain any other  
personnel files for GARRETT.

[REDACTED]  
at the University of Southern California (USC), work telephone  
number [REDACTED] was contacted at his place of employment.  
After being advised of the identity of the interviewing agent and  
the purpose of the interview, [REDACTED] stated the following:

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GARRETT reports to [REDACTED]  
[REDACTED] USC. [REDACTED] has known her since approximately  
[REDACTED] first meeting her when he was the [REDACTED]  
[REDACTED] served with GARRETT in faculty wide events and he  
recruited her for his transition team when he received the  
position of [REDACTED] GARRETT was then appointed  
by [REDACTED] to be the Vice Provost of Academic Affairs. In  
approximately June of 2006 GARRETT was appointed by the President  
of USC to Vice President of Academic Planning and Budget, the

position she currently holds. Their relationship is primarily professional, however they do get together with their spouses for dinner once every six months. He has been to her residence, two different apartments on Ocean Boulevard in Santa Monica, California. He has also had breakfast once with GARRETT and her mother and father.

[redacted] became GARRETT's [redacted] when she became the Vice Provost of Academic Affairs. She was then appointed to the position of Vice President of Academic Planning and Budget, where she still [redacted]. As Vice President she is responsible for setting up academic priorities for the University, working with the various Deans and oversight of budgets for the different schools of the University. She also has oversight of the academic compliance with student athletes and oversight of the medical school's budget. [redacted] pointed out that she also works very closely with the Dean of Admissions.

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[redacted] also confirmed that GARRETT is on the Board of Directors for USC Care, a Medical Services nonprofit organization, associated with the University of Southern California, and has been since approximately 2006 or 2007. The board is comprised of independent directors as well as USC faculty. This is a collateral duty for GARRETT as a member of the USC faculty, she does not get paid extra for being on the board.

[redacted] also confirmed that GARRETT is the secretary for the Lord Foundation, a nonprofit organization that supports the schools of engineering and business at the University of Southern California, and has been since approximately 2006 or 2007. [redacted]

[redacted] of close to [redacted] That money is given to the schools of business and engineering at approximately [redacted] U.S. dollars a year to fund different aspects of the respective schools. GARRETT serves as the secretary, responsible for keeping detailed notes of the board meetings. This is a collateral duty for GARRETT as a member of the USC faculty; she does not get paid extra for being on the board.

[redacted] described GARRETT's leadership as someone who leads by example. She is very fair with people and very direct, she is not afraid to "tell it the way it is". She has a likeable personality and has a good rapport with the people she works with. She requires very little supervision yet she is not afraid to ask for guidance. [redacted] stated that she does not need her "hand held". She always briefs her supervisor on what is happening so there are never any surprises.

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[ ] described GARRETT's contributions to the University as a "very long list". Specifically she has instituted a lot of academic initiatives at USC and she has contributed to the under graduate and graduate programs retention and graduation. She took the lead on the restructuring of the academic budget, as well as worked closely with the Provost to excel the medical school program.

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GARRETT is able to work under pressure and can handle stress. She can consistently meet deadlines. She is mature and emotionally stable.

GARRETT's oral and written communication skills are excellent. [ ] would not hesitate to have GARRETT give a speech or write something on his behalf.

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GARRETT works well with others. She has never been the subject of any disciplinary actions or complaints. She is honest, trustworthy, discreet, dependable, and reliable. [ ] also described her as someone with high integrity and very high principles.

[ ] has never been given any reason to question GARRETT's character, or her reputation, personal or professional. He has never been given any reason to question her associates, or groups to which she belongs. He has never been given any reason to question her loyalty to the United States.

[ ] has no reason to believe that GARRETT has abused alcohol or prescription drugs or that she has illegally used any controlled substances. He has no reason to believe that she has ever illegally used a controlled substance while in a position directly and immediately affecting the public safety. He has no reason to believe that she has been involved in the illegal purchase, manufacture, trafficking, production, transfer, shipping, receiving, or sale of any narcotic, depressant, stimulant, hallucinogen, or cannabis for her own profit or that of another.

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[ ] has no reason to believe that GARRETT harbors any biases or prejudices, either for or against, any group or individual, based on age, sex, race, religion, color, national origin, or disability.

GARRETT is financially responsible and lives within her means.

[ ] was not aware of any activity or conduct on GARRETT's part that might be used to coerce or influence her in any way, or that might be used as the basis of blackmail or compromise. He is not aware of anything which might impact negatively on her character, reputation, judgment, discretion, trustworthiness, responsibility or loyalty. [ ] was not aware of anything in GARRETT's life which, if publicly known, would cause embarrassment for her or the President of the United States.

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[ ] does not know anything that would negatively affect GARRETT's suitability for a position with the U.S. government. He recommends her with out reservation for a position of trust and confidence with the United States Government.

[ ] Student Affairs at the University of Southern California, work telephone number [ ] was contacted at his place of employment. After being advised of the identity of the interviewing agent and the purpose of the interview, [ ] stated the following:

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[ ] has known GARRETT for approximately [ ] [ ] first meeting her when she was appointed Vice President for Academic Planning and Budget. He only has a professional relationship with her, but still considers her a friend. He has not been to GARRETT's residence.

[ ] stated that GARRETT has allowed the Provost to concentrate on "bigger" University issues by doing her job well. She is in charge of the academic budget and has been in charge of hiring several Deans as well as other "really good" people. He also believes that she has been involved in the acquisition of hospitals for the University. He advised that GARRETT has great character and considers others views and ideas. She is a good judge of character and she is fair. She is a person with integrity.

[ ] described GARRETT's leadership style as straight forward, insightful, inspirational and hard driving. She is thoughtful, decisive and a really hard worker. She is easy to work with, and is inspiring to those who work with her.

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GARRETT is able to work under pressure and can handle stress. She can consistently meet deadlines. She is mature and emotionally stable.



GARRETT's oral and written communication skills are superb. [ ] stated that GARRETT is a great public speaker with superb written communication skills. He stated that GARRETT is extremely accurate.

GARRETT works well with others. She has never been the subject of any disciplinary actions or complaints. She is honest, trustworthy, discreet, dependable, and reliable. [ ] felt that if he should seek GARRETT's assistance with a problem, she would be supportive and would keep the matter confidential if appropriate.

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[ ] has never been given any reason to question GARRETT's character, or her reputation, personal or professional. He has never been given any reason to question her associates, or groups to which she belongs. He has never been given any reason to question her loyalty to the United States.

[ ] has no reason to believe that GARRETT has abused alcohol or prescription drugs or that she has illegally used any controlled substances. He has no reason to believe that she has ever illegally used a controlled substance while in a position directly and immediately affecting the public safety. He has no reason to believe that she has been involved in the illegal purchase, manufacture, trafficking, production, transfer, shipping, receiving, or sale of any narcotic, depressant, stimulant, hallucinogen, or cannabis for her own profit or that of another.

[ ] has no reason to believe that GARRETT harbors any biases or prejudices, either for or against, any group or individual, based on age, sex, race, religion, color, national origin, or disability.

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GARRETT is financially responsible and lives within her means.

[ ] was not aware of any activity or conduct on GARRETT's part that might be used to coerce or influence her in any way, or that might be used as the basis of blackmail or compromise. He is not aware of anything which might impact negatively on her character, reputation, judgment, discretion, trustworthiness, responsibility or loyalty. [ ] was not aware of anything in GARRETT's life which, if publicly known, would cause embarrassment for her or the President of the United States.

[ ] does not know anything that would negatively affect GARRETT's suitability for a position with the U.S. government. He highly recommends her for a position of trust and confidence with the United States Government.

[ ] at the University of Southern California, work telephone number [ ] was contacted at her place of employment. After being advised of the identity of the interviewing agent and the purpose of the interview, [ ] stated the following:

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[ ] has known GARRETT for approximately [ ] years, first meeting her when she [ ] She only has a professional relationship with her and does not see her socially. They have contact about once a week because they are on the [ ] together. She has not been to GARRETT's residence.

[ ] stated that GARRETT has made the budget process at the University more rigorous. She described GARRETT as someone who is able to find solutions to problems.

[ ] described GARRETT's leadership abilities as very meticulous. She is very smart and "tireless".

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GARRETT is able to work under pressure and can handle stress. She can consistently meet deadlines. She is mature and emotionally stable. She is able to handle a lot at one time.

[ ] described GARRETT's oral communication skills as very direct, clear headed and she is not swayed by emotion when speaking. [ ] was not able to comment on GARRETT's written communication skills. [ ] stated that GARRETT is highly regarded academically and she will do good for the country.

GARRETT works well with others. She has never been the subject of any disciplinary actions or complaints. She is honest, trustworthy, discreet, dependable, and reliable.

[ ] stated that GARRETT is a disciplined, efficient and very committed hard worker. [ ] felt that if she should seek GARRETT's assistance with a problem, she would be supportive and would keep the matter confidential if appropriate.

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[ ] has never been given any reason to question GARRETT's character, or her reputation, personal or professional. She has never been given any reason to question her associates, or groups to which she belongs. She has never been given any reason to question her loyalty to the United States.

[ ] has no reason to believe that GARRETT has abused alcohol or prescription drugs or that she has illegally used any controlled substances. She has no reason to believe that she has ever illegally used a controlled substance while in a position directly and immediately affecting the public safety. She has no reason to believe that she has been involved in the illegal purchase, manufacture, trafficking, production, transfer, shipping, receiving, or sale of any narcotic, depressant, stimulant, hallucinogen, or cannabis for her own profit or that of another.

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[ ] has no reason to believe that GARRETT harbors any biases or prejudices, either for or against, any group or individual, based on age, sex, race, religion, color, national origin, or disability.

GARRETT is financially responsible and lives within her means.

[ ] was not aware of any activity or conduct on GARRETT's part that might be used to coerce or influence her in any way, or that might be used as the basis of blackmail or compromise. She is not aware of anything which might impact negatively on her character, reputation, judgment, discretion, trustworthiness, responsibility or loyalty. [ ] was not aware of anything in GARRETT's life which, if publicly known, would cause embarrassment for her or the President of the United States.

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[ ] does not know anything that would negatively affect GARRETT's suitability for a position with the U.S. government. She highly recommends her for a position of trust and confidence with the United States Government.

On April 3, 2009, Special Agent [ ] conducted the following investigation:

University of Southern California  
Gould School of Law,  
Los Angeles, California 90089  
(213) 740-6473  
07/2003 - present

HELEN ELIZABETH GARRETT's **personnel file** was reviewed. [ ] provided SA [ ] the personnel file for GARRETT with the name on the folder as GARRETT, ELIZABETH. The file showed that GARRETT began her employment with the

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University of Southern California's Gould School of Law on July, 1, 2003, as a full professor. GARRETT received performance evaluations in 2005 and 2006, both were 6 on a scale of 1 to 6 with 6 being the highest and 1 being the lowest. The Dean uses the evaluations, which are submitted by other faculty, to award merit pay increases. GARRETT's beginning salary in 2003 was an academic base of 200,000 U.S. dollars with a research supplement of 28,000 U.S. dollars. GARRETT was awarded the Sydney and Audrey Irmas Chair in Law and Professor of Law on April 18, 2005. This was awarded by the Dean after consultation with Law School faculty. A review of GARRETT's resume yielded the following employments not listed on her SF-86: Visiting professor Interdisciplinary Center Law School, Herzliya, Israel in March of 2001; Law Clerk for Judge Stephen Williams, U.S. Court of Appeals District of Columbia Circuit 1988-89; Law Clerk for Justice Thurgood Marshall, U.S. Supreme Court 1989-90; Legal Advisor for Judge Howard M. Holtzman, Iran - U.S. Claims Tribunal, The Hague, Netherlands. The Gould School of Law did not maintain any other personnel files for GARRETT.

[REDACTED]  
[REDACTED] Gould School of Law at the University of Southern California (USC), work telephone number [REDACTED] was contacted at his place of employment. After being advised of the identity of the interviewing agent and the purpose of the interview, [REDACTED] stated the following:

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[REDACTED] has known her since approximately [REDACTED] first meeting her professionally when he was a professor at Vanderbilt and she was a professor at the University of Chicago. Their relationship is primarily professional, however he considers her a friend. They have gotten together with their spouses for dinner. He has been to her residence on Ocean Boulevard in Santa Monica, California.

[REDACTED] became GARRETT's supervisor [REDACTED] when he became the [REDACTED] GARRETT was a full professor of law. She currently has no official duties or responsibilities at the Law School due to her appointment to the Provost's office. She does maintain a scholarly agenda and is active in the intellectual life of the Law School. She has no real obligation to attend Law School events, but still shows up to most events, often going above and beyond the call.

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[REDACTED] described GARRETT's leadership abilities as first rate. She has an amazing ability to identify what needs to be done and mobilizes herself and others to get the job done.

She needs very little to no supervision. She was elected by her peers in her first year with the Law School to Chair the Committee of Governance which consults with the Dean. She is conscientious, passionate and very cognizant of the lines of reporting authority.

[redacted] described GARRETT's contributions to the University as "tons". She has demanded nothing but the best. She has brought a tremendous amount of energy to the school and everyone is impressed with her vision and leadership. [redacted] stated that it is outstanding for our country that she has agreed to serve.

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GARRETT is able to work under pressure and can handle stress. She can consistently meet deadlines. She is mature and emotionally stable.

GARRETT's oral and written communication skills are beautiful. She is articulate, clear, concise and easy to follow. [redacted] would not hesitate to have GARRETT give a speech or write something on his behalf.

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GARRETT works well with others. She has never been the subject of any disciplinary actions or complaints. She is honest, trustworthy, discreet, dependable, and reliable.

[redacted] would trust her with the most sensitive of information.

[redacted] has never been given any reason to question GARRETT's character, or her reputation, personal or professional. He has never been given any reason to question her associates, or groups to which she belongs. He has never been given any reason to question her loyalty to the United States.

[redacted] has no reason to believe that GARRETT has abused alcohol or prescription drugs or that she has illegally used any controlled substances. He has no reason to believe that she has ever illegally used a controlled substance while in a position directly and immediately affecting the public safety. He has no reason to believe that she has been involved in the illegal purchase, manufacture, trafficking, production, transfer, shipping, receiving, or sale of any narcotic, depressant, stimulant, hallucinogen, or cannabis for her own profit or that of another.

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[redacted] has no reason to believe that GARRETT harbors any biases or prejudices, either for or against, any group or individual, based on age, sex, race, religion, color, national origin, or disability.

GARRETT is financially responsible and lives within her means.

[redacted] was not aware of any activity or conduct on GARRETT's part that might be used to coerce or influence her in any way, or that might be used as the basis of blackmail or compromise. He is not aware of anything which might impact negatively on her character, reputation, judgment, discretion, trustworthiness, responsibility or loyalty. [redacted] was not aware of anything in GARRETT's life which, if publicly known, would cause embarrassment for her or the President of the United States.

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[redacted] does not know anything that would negatively affect GARRETT's suitability for a position with the U.S. government. He unquestionably recommends her for a position of trust and confidence with the United States Government.

[redacted] Gould School of Law [redacted] University of Southern California, work telephone number [redacted] [redacted] was contacted at his place of employment. After being advised of the identity of the interviewing agent and the purpose of the interview, [redacted] stated the following:

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[redacted] has known GARRETT for approximately [redacted] years, first meeting her when she was a visiting professor at USC [redacted] he was also on the committee responsible hiring GARRETT. He has a professional and personal relationship with her. They have contact about once a week sometimes more frequently because it is currently budget season. He has been to her residence several times for dinner at her apartment on Ocean Boulevard in Santa Monica. The events were a combination of business and personal.

[redacted] stated that GARRETT is a terrific scholar who has published a lot of articles and has chaired the faculty hiring committee at the Law School. She has also streamlined the process for academic cross listing of courses.

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[redacted] described GARRETT's leadership abilities as an extraordinarily gifted leader. She is organized, hardworking, very efficient and clear in her expectations.

GARRETT is able to work under pressure and can handle stress. She can consistently meet deadlines. She is mature and emotionally stable. She is "politically acute".

[ ] described GARRETT's oral communication skills as excellent. She is an excellent speaker and a wonderful writer. She is very clear, organized and to the point. [ ] stated that GARRETT is a terrific person and she will be a wonderful government servant. She will be missed at USC.

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GARRETT works well with others. She has never been the subject of any disciplinary actions or complaints. She is honest, trustworthy, discreet, dependable, and reliable. [ ] stated that GARRETT is a honorable and decent person. [ ] felt that if he should seek GARRETT's assistance with a problem, she would be supportive and would keep the matter confidential if appropriate.

[ ] has never been given any reason to question GARRETT's character, or her reputation, personal or professional. He has never been given any reason to question her associates, or groups to which she belongs. He has never been given any reason to question her loyalty to the United States.

[ ] has no reason to believe that GARRETT has abused alcohol or prescription drugs or that she has illegally used any controlled substances. He has no reason to believe that she has ever illegally used a controlled substance while in a position directly and immediately affecting the public safety. He has no reason to believe that she has been involved in the illegal purchase, manufacture, trafficking, production, transfer, shipping, receiving, or sale of any narcotic, depressant, stimulant, hallucinogen, or cannabis for her own profit or that of another.

[ ] has no reason to believe that GARRETT harbors any biases or prejudices, either for or against, any group or individual, based on age, sex, race, religion, color, national origin, or disability.

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GARRETT is financially responsible and lives within her means.

[ ] was not aware of any activity or conduct on GARRETT's part that might be used to coerce or influence her in any way, or that might be used as the basis of blackmail or compromise. He is not aware of anything which might impact negatively on her character, reputation, judgment, discretion, trustworthiness, responsibility or loyalty. [ ] was not aware of anything in GARRETT's life which, if publicly known, would cause embarrassment for her or the President of the United States.

[redacted] does not know anything that would negatively affect GARRETT's suitability for a position with the U.S. government. He highly recommends her for a position of trust and confidence with the United States Government.

[redacted] Gould Law School, [redacted] professor at USC and the [redacted] address [redacted] work telephone number [redacted] was contacted at his place of employment. After being advised of the identity of the interviewing agent and the purpose of the interview, [redacted] stated the following:

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[redacted] has known GARRETT since the early [redacted] first meeting her just prior to her getting into teaching law. He visited her at the University of Chicago in 1996 and recruited her for USC. Their relationship is professional, but he considers her a friend. He has been to her residence a couple of times at her apartment on Ocean Boulevard in Santa Monica.

[redacted] described GARRETT's leadership abilities as very good in recruiting and fund-raising, two of the most important aspects of academia. She was instrumental in recruiting many good people for the Law School.

GARRETT is able to work under pressure and can handle stress. She can consistently meet deadlines. She is mature and emotionally stable.

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[redacted] described GARRETT's oral communication skills as superb. She is very clear, organized and quick at producing articles.

GARRETT works well with others, she co-authors quite a bit. She has never been the subject of any disciplinary actions or complaints. She is honest, trustworthy, discreet, dependable, and reliable. [redacted] felt that if he should seek GARRETT's assistance with a problem, she would be supportive and would keep the matter confidential if appropriate.

[redacted] has never been given any reason to question GARRETT's character, or her reputation, personal or professional. He has never been given any reason to question her associates, or groups to which she belongs. He has never been given any reason to question her loyalty to the United States.



[ ] has no reason to believe that GARRETT has abused alcohol or prescription drugs or that she has illegally used any controlled substances. He has no reason to believe that she has ever illegally used a controlled substance while in a position directly and immediately affecting the public safety. He has no reason to believe that she has been involved in the illegal purchase, manufacture, trafficking, production, transfer, shipping, receiving, or sale of any narcotic, depressant, stimulant, hallucinogen, or cannabis for her own profit or that of another.

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[ ] has no reason to believe that GARRETT harbors any biases or prejudices, either for or against, any group or individual, based on age, sex, race, religion, color, national origin, or disability.

GARRETT is financially responsible and lives within her means.

[ ] was not aware of any activity or conduct on GARRETT's part that might be used to coerce or influence her in any way, or that might be used as the basis of blackmail or compromise. He is not aware of anything which might impact negatively on her character, reputation, judgment, discretion, trustworthiness, responsibility or loyalty. [ ] was not aware of anything in GARRETT's life which, if publicly known, would cause embarrassment for her or the President of the United States.

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[ ] does not know anything that would negatively affect GARRETT's suitability for a position with the U.S. government. He highly recommends her for a position of trust and confidence with the United States Government.

DEVELOPED REFERENCE INTERVIEWS

On April 2, 2009, Special Agent [REDACTED] conducted the following investigation:

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[REDACTED] work telephone [REDACTED]  
[REDACTED] University of Southern California (USC), Los Angeles, California, was contacted. After being advised of the identity of the interviewing agent and the purpose of the interview, [REDACTED] stated the following:

[REDACTED] has known HELEN ELIZABETH GARRETT since [REDACTED] GARRETT started as Vice Provost. Their relationship concerns only matters relating to work and they do not see each other socially. They have daily contact due to work. [REDACTED] has never been to GARRETT's residence.

[REDACTED] described GARRETT as an extraordinary, very bright person, who catches on really quick. She needs little to no supervision. She has brought major reform to the way the USC gets new curriculum started and she was instrumental in getting the China Institute at USC started. She is an extraordinary public speaker and has written books.

[REDACTED] has never been given any reason to question GARRETT's character and described her as high energy, take charge and get the job done. She is a person of very high integrity. She has found GARRETT to be honest, trustworthy, discreet, dependable, and reliable.

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[REDACTED] has never been given any reason to question GARRETT's reputation, personal or professional. She has never been given any reason to question her associates, or groups to which she belongs. She has never been given any reason to question her loyalty to the United States.

[REDACTED] has no reason to believe that GARRETT has abused alcohol or prescription drugs or that she has illegally used any controlled substances. She has no reason to believe that she has ever illegally used a controlled substance while in a position directly and immediately affecting the public safety. She has no reason to believe that she has been involved in the illegal purchase, manufacture, trafficking, production, transfer, shipping, receiving, or sale of any narcotic, depressant,

stimulant, hallucinogen, or cannabis for her own profit or that of another.

[redacted] has no reason to believe that GARRETT harbors any biases or prejudices, either for or against, any group or individual, based on age, sex, race, religion, color, national origin, or disability.

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GARRETT is financially responsible and lives within her means.

[redacted] was not aware of any activity or conduct on GARRETT's part that might be used to coerce or influence her in any way, or that might be used as the basis of blackmail or compromise. She is not aware of anything which might impact negatively on her character, reputation, judgment, discretion, trustworthiness, responsibility or loyalty. [redacted] was not aware of anything in GARRETT's life which, if publicly known, would cause embarrassment for her or the President of the United States.

[redacted] does not know anything that would negatively affect GARRETT's suitability for a position with the U.S. government. She highly recommends her for a position of trust and confidence with the United States Government.

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On April 6, 2009, Special Agent [redacted] conducted the following investigation:

[redacted] work telephone [redacted]  
[redacted] University of Southern California (USC), Los Angeles, California, was contacted. After being advised of the identity of the interviewing agent and the purpose of the interview, [redacted] stated the following:

[redacted] has known HELEN ELIZABETH GARRETT for approximately [redacted] years. Their relationship concerns only matters relating to work and they do not see each other socially. They have daily contact due to work. [redacted] has never been to GARRETT's residence.

[redacted] described GARRETT as a person with impeccable character, a very good person who is straight forward and has very high integrity.

[ ] has never been given any reason to question GARRETT's character and described her as "top of the line". He has found GARRETT to be honest, trustworthy, discreet, dependable, and reliable.

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[ ] has never been given any reason to question GARRETT's reputation, personal or professional. He has never been given any reason to question her associates, or groups to which she belongs. He has never been given any reason to question her loyalty to the United States.

[ ] has no reason to believe that GARRETT has abused alcohol or prescription drugs or that she has illegally used any controlled substances. He has no reason to believe that she has ever illegally used a controlled substance while in a position directly and immediately affecting the public safety. He has no reason to believe that she has been involved in the illegal purchase, manufacture, trafficking, production, transfer, shipping, receiving, or sale of any narcotic, depressant, stimulant, hallucinogen, or cannabis for her own profit or that of another.

[ ] has no reason to believe that GARRETT harbors any biases or prejudices, either for or against, any group or individual, based on age, sex, race, religion, color, national origin, or disability.

GARRETT is financially responsible and lives within her means.

[ ] was not aware of any activity or conduct on GARRETT's part that might be used to coerce or influence her in any way, or that might be used as the basis of blackmail or compromise. He is not aware of anything which might impact negatively on her character, reputation, judgment, discretion, trustworthiness, responsibility or loyalty. [ ] was not aware of anything in GARRETT's life which, if publicly known, would cause embarrassment for her or the President of the United States.

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[ ] does not know anything that would negatively affect GARRETT's suitability for a position with the U.S. government. He highly recommends her for a position of trust and confidence with the United States Government.

NEIGHBORHOODS

The following investigation was conducted by Special Agent (SA) [REDACTED]

801 Ocean Ave., Apt. 404, 402  
Santa Monica, CA 90403  
06/2003 to Present

On April 2, 2009, [REDACTED]  
[REDACTED] Santa Monica, California, telephone number [REDACTED]  
[REDACTED] confirmed that HELEN ELIZABETH GARRETT currently resides at 801 Ocean Avenue, Apartment 404. The [REDACTED] stated that GARRETT moved from apartment 402 to apartment 404.

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The [REDACTED] advised that they do not have much contact with GARRETT other than casual encounters around their complex, but sees him [REDACTED] quite often. The [REDACTED] stated that they have never been inside of the GARRETT's residence but they have never seen anything suspicious or out of the ordinary about GARRETT or at her residence. The [REDACTED] stated that GARRETT lives at the residence with her husband, [REDACTED]

The [REDACTED] stated that GARRETT seems dedicated to her work because she leaves early and gets home late. They believe GARRETT is a law professor at the University of Southern California. The [REDACTED] advised that there was nothing about GARRETT that could disqualify her from obtaining a position of trust and confidence with the United States Government, or from being given access to classified or sensitive material.

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The [REDACTED] advised that they had no reason to question GARRETT's character, reputation, associates or groups GARRETT belongs to or her loyalty to the United States Government.

On April 2, 2009, [REDACTED]  
[REDACTED] Santa Monica, California, telephone number [REDACTED]  
[REDACTED] confirmed that HELEN ELIZABETH GARRETT currently resides at 801 Ocean Avenue, Apartment 404, but stated that she didn't know GARRETT very well other than seeing her outside occasionally and saying hello.

[redacted] advised that she has resided at [redacted] approximately [redacted] has never visited GARRETT's residence and never noticed anything suspicious or out of the ordinary about GARRETT or GARRETT's residence.

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[redacted] stated that she was unaware of anything about GARRETT that would disqualify her from obtaining a position of trust and confidence with the United States Government, or from being given access to classified or sensitive information.

On April 2, 2009, [redacted] Santa Monica, California, telephone number [redacted] confirmed that HELEN ELIZABETH GARRETT currently resides at 801 Ocean Avenue, Apartment 404 and moved there from apartment 402. [redacted] stated that she helped GARRETT and her husband [redacted] to move from 402 to 404 when her lease at 402 ended in May of 2008.

[redacted] advised that she does not have much contact with GARRETT other than casual encounters around the complex. [redacted] stated that she has never been inside of the GARRETT's residence but has never seen anything suspicious or out of the ordinary about GARRETT or his residence.

[redacted] stated that GARRETT is extremely professional and polite, she seems like an "outstanding woman". [redacted] advised that there was nothing about GARRETT that could disqualify her from obtaining a position of trust and confidence with the United States Government, or from being given access to classified or sensitive material.

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[redacted] advised that she had no reason to question GARRETT's character, reputation, associates or groups GARRETT belongs to or her loyalty to the United States Government.

[redacted] stated that she has no reason to believe GARRETT abused alcohol or prescription drugs, or ever illegally used any controlled substance. [redacted] stated that she had no reason to believe GARRETT has been involved with the illegal purchase, manufacture, trafficking, production, transfer, shipping, receiving or sale of any narcotic, depressant, stimulant, hallucinogen or cannabis for her own profit or that of another.

[redacted] stated that she has never had a reason to believe GARRETT harbors any biases or prejudices, either for or against, any group or individual. [redacted] stated that she was unaware of any conduct or activity by GARRETT that may be used to coerce or influence her in any way, or that might be used as the basis for

blackmail or compromise. [ ] stated that she was unaware of anything in GARRETT's background that would impact negatively on her character, reputation, judgement, discretion, trustworthiness, responsibility or loyalty.

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[ ] stated that she had no reason to believe GARRETT was not financially responsible. [ ] stated that GARRETT was quiet and discrete and that she had confidence in her ability to handle classified material. [ ] recommended GARRETT for a position of trust and confidence within the United States Government.

ON April 6, 2009, [ ]

[ ] California, telephone number [ ] confirmed that HELEN ELIZABETH GARRETT currently resides at 801 Ocean Avenue, apartment 404, Santa Monica, California. He also confirmed that prior to residing at apartment 404 GARRETT resided at apartment 402 of 801 Ocean Avenue.

[ ] has known GARRETT since she began her employment at the University of Southern California approximately [ ] ago. [ ] a retired USC professor, advised that he has been to GARRETT's residence on several occasions, he never noticed anything suspicious or out of the ordinary about GARRETT or GARRETT's residence.

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[ ] stated that he was unaware of anything about GARRETT that would disqualify her from obtaining a position of trust and confidence with the United States Government, or from being given access to classified or sensitive information.

[ ] had no reason to question GARRETT's character, associates, groups GARRETT belongs to, reputation or loyalty to the United States Government. He further described her as a marvelous person who is intelligent, forthright, skillful and hardworking.

[ ] stated that he had no reason to believe GARRETT abused alcohol or prescription drugs, or ever illegally used any controlled substance. [ ] stated that he had no reason to believe GARRETT has been involved with the illegal purchase, manufacture, trafficking, production, transfer, shipping, receiving or sale of any narcotic, depressant, stimulant, hallucinogen or cannabis for her own profit or that of another.

[ ] stated that he has never had a reason to believe GARRETT harbors any biases or prejudices, either for or against, any group or individual. [ ] stated that he was unaware of any

conduct or activity by GARRETT that may be used to coerce or influence her in any way, or that might be used as the basis for blackmail or compromise. [ ] stated that he was unaware of anything in GARRETT's background that would impact negatively on her character, reputation, judgement, discretion, trustworthiness, responsibility or loyalty.

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[ ] stated that he had no reason to believe GARRETT was not financially responsible. [ ] stated that GARRETT was reliable and trustworthy and that he had confidence in her ability to handle classified material. [ ] recommended GARRETT for a position of trust and confidence with the United States Government.

1125 Ashland Avenue  
Santa Monica, California 90405  
08/2002 - 12/2002

On April 2, 2009, [ ] Santa Monica, California, telephone number [ ] stated that she remembered someone by the name of ELIZABETH GARRETT residing at 1125 Ashland Avenue, but could not recall the dates. [ ] stated that the property is a rental and there is a lot of turnover with different people moving in and out quite frequently. [ ] has resided at [ ] since 1988.

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[ ] has never visited GARRETT's residence and never noticed anything suspicious or out of the ordinary about GARRETT or GARRETT's residence.

[ ] stated that she was unaware of anything about GARRETT that would disqualify her from obtaining a position of trust and confidence with the United States Government, or from being given access to classified or sensitive information.

On April 2, 2009, writer spoke with the resident at 1127 Ashland Avenue. The resident did not provide his name or any additional biographical information and stated that he had only been renting the property for the past seven months.

On April 2, 2009, writer spoke with the resident at 1126 Ashland Avenue. The resident did not provide her name or any additional biographical information and stated that she was in her residence in 2002 but did not remember the person or persons who rented 1125 in 2002. She stated that the house has a lot of turnover with people moving in and out quite frequently.



On April 2, 2009, [redacted] Santa Monica, California, telephone number [redacted] was contacted at her residence. After being advised of the identity of the interviewing agent and the nature of the interview, [redacted] stated the following.

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[redacted] the property located at [redacted] and was living there in 2002. She does not recall who lived at 1125 in 2002. She stated that 1125 is a rental property with a lot of turnover.

On April 6, 2009, [redacted] at the University of Southern California, telephone number [redacted] [redacted] stated that she sublet her house she was renting located at 1125 Ashland Avenue, Santa Monica, California, to ELIZABETH GARRETT. She did so while she was visiting at Princeton University from August 2002 to December 2002.

[redacted] has known GARRETT since she began subletting her house to GARRETT in 2002. [redacted] advised that she has been to GARRETT's residence in Santa Monica, California a couple of times for dinner parties. She never noticed anything suspicious or out of the ordinary about GARRETT or GARRETT's residence.

[redacted] stated that she was unaware of anything about GARRETT that would disqualify her from obtaining a position of trust and confidence with the United States Government, or from being given access to classified or sensitive information. She further stated that GARRETT is an honorable, ethical, highly professional person. She has great confidence for any service GARRETT may provide to the U.S. government.

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GARRETT is financially responsible, and lives within her means. When subletting, GARRETT always paid her rent in a timely fashion.

[redacted] had no reason to question GARRETT's character, associates, groups GARRETT belongs to, reputation or loyalty to the United States Government. She stated that GARRETT is loyal to the country, constitution and political system.

[redacted] stated that she had no reason to believe GARRETT abused alcohol or prescription drugs, or ever illegally used any controlled substance. [redacted] stated that she had no reason to believe GARRETT has been involved with the illegal purchase, manufacture, trafficking, production, transfer, shipping, receiving or sale of any narcotic, depressant,

stimulant, hallucinogen or cannabis for her own profit or that of another.

[ ] stated that she has never had a reason to believe GARRETT harbors any biases or prejudices, either for or against, any group or individual. [ ] stated that she was unaware of any conduct or activity by GARRETT that may be used to coerce or influence her in any way, or that might be used as the basis for blackmail or compromise. [ ] stated that she was unaware of anything in GARRETT's background that would impact negatively on her character, reputation, judgement, discretion, trustworthiness, responsibility or loyalty.

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[ ] stated that she had no reason to believe GARRETT was not financially responsible. [ ] stated that GARRETT was reliable and trustworthy and that she had confidence in her ability to handle classified material. [ ] highly recommended GARRETT for a position of trust and confidence with the United States Government.

## FEDERAL BUREAU OF INVESTIGATION

REPORTING OFFICE	OFFICE OF ORIGIN	DATE	INVESTIGATIVE PERIOD
RICHMOND	BUREAU	04/13/2009	04/08/2009
TITLE OF CASE		REPORT MADE BY	TYPED BY
HELEN ELIZABETH GARRETT AKA: BETH GARRETT AKA: ELIZABETH GARRETT		SA <span style="border: 1px solid black; display: inline-block; width: 150px; height: 1.2em; vertical-align: middle;"></span>	jua
		CHARACTER OF CASE	
		LEVEL II - PAS	

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Reference: Bureau EC to Richmond dated 03/30/2009.

- RUC -

Administrative:

APPROVED	SPECIAL AGENT IN CHARGE	DO NOT WRITE IN SPACES BELOW			
COPIES MADE:					
2-Bureau (161B-HQ-1611255) - 18					
Attn: PSS <span style="border: 1px solid black; display: inline-block; width: 100px; height: 1.2em; vertical-align: middle;"></span>					
SIGBIU, Room <span style="border: 1px solid black; display: inline-block; width: 60px; height: 1.2em; vertical-align: middle;"></span>					
1-Richmond (161B-HQ-1611255)					
DISSEMINATION RECORD OF ATTACHED REPORT		Notations			
Agency					
Request Recd.					
Date Fwd.					
How Fwd.					
By					

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UNITED STATES DEPARTMENT OF JUSTICE  
Federal Bureau of Investigation

Copy to:

Report of:  
Date:

SA [REDACTED]  
04/13/2009

Office: Richmond

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Case ID #:

161B-HQ-1611255

Title:

HELEN ELIZABETH GARRETT

Character:

LEVEL II - PRESIDENTIAL APPOINTMENT WITH SENATE  
CONFIRMATION

Synopsis:

Investigation set forth.

- RUC -

DETAILS:

EMPLOYMENT

On 04/08/2009 [REDACTED] of the Dean's Office, University of Virginia (UVA) School of Law, telephone [REDACTED] was interviewed by Special Agent [REDACTED] of the Federal Bureau of Investigation concerning her knowledge of Helen Elizabeth Garrett, hereinafter referred to as the Candidate. After being advised of the identity of the interviewer and the nature of the interview [REDACTED] provided the following information:

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[REDACTED] has worked for UVA for [REDACTED] and interacts with students and staff in her work responsibilities. [REDACTED] indicated she remembered the Candidate from when the Candidate was a student in 1988. [REDACTED] recalled the Candidate was a very good student. [REDACTED] further characterized the Candidate as an exceptional person, honest and timely. [REDACTED] was unaware of any associations the Candidate might be a member of. [REDACTED] assumed the Candidate's professional reputation was excellent but indicated she is not really in a position to know [REDACTED] was not aware of anything which would reflect poorly on the Candidate's loyalty to the United States.

[REDACTED] has never witnessed anything to indicate the Candidate has misused prescription drugs or alcohol. Further [REDACTED] has never witnessed anything to indicate the Candidate has ever used illegal drugs or is biased against any groups of

people. [ ] was unaware of the Candidate's financial situation and/or whether the Candidate has difficulty in handling finances.

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[ ] indicated she would recommend the Candidate for a position of trust in the government of the United States.

[ ] recommended a prior Dean of the UVA School of Law, [ ] be interviewed. [ ] provided the following contact information:

Columbia Law School  
435 West 116th Street  
New York, New York 10027  
tel: [ ]

Branch also indicated Professor [ ] could be contacted on 04/13/2009 at [ ] would likely know the Candidate according to [ ]

On 04/08/2009 [ ] Human Resources at the University of Virginia (UVA) School of Law was contacted by Special Agent [ ] of the Federal Bureau of Investigation regarding her knowledge of Helen Elizabeth Garrett, hereinafter referred to as the Candidate. After being advised of the identity of the interviewer and the nature of the interview [ ] provided the following information:

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[ ] produced the Candidate's personnel file. The file was mainly comprised of resumes and documents authored by the Candidate for the review of UVA in considering her for any positions. The Candidate was hired from July of 1994 through June of 1995 for the position of Visiting Professor, a full time position. In addition, the Candidate returned in 2001 to UVA as a Visiting Professor for an unspecified amount of time. This information was derived from the content of e-mails saved to this file [ ] had no personal knowledge of the Candidate but suggested [ ] Dean's Office as a possible source of knowledge.



U.S. Department of Justice

Federal Bureau of Investigation

Washington, D. C. 20535-0001

APR 10 2009

BY COURIER

Mr. [REDACTED]  
Counsel to the President  
The White House  
Washington, D.C.

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Dear Mr. [REDACTED]

Based on a request received from your office dated March 24, 2009, a Level II background investigation has been conducted concerning Ms. Helen Elizabeth Garrett.

Enclosed is a summary memorandum containing the results of this investigation, along with a copy of an interview providing details of information contained in the summary memorandum.

Also enclosed is a copy of your March 24, 2009, request, initialed and dated by a representative of my Unit.

This completes our investigation. Should you have any questions, they may be directed to me at telephone number [REDACTED]

Sincerely yours,

b6  
b7C

[REDACTED]  
Special Inquiry and General  
Background Investigations Unit  
Security Division

Enclosures (3)

MRG:mrg  
PCD: 04/08/2009  
161B-HQ-1611255 - 19  
CLOSED

*mrg 4/10/09*

Mr. [REDACTED]  
RE: Helen Elizabeth Garrett

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NOTE: This case was opened on 03/30/2009. Ms. Garrett is currently employed by the University of Southern California, Los Angeles, California, as Vice President for Academic Planning and Budget. She is being investigated for a Presidential appointment as Assistant Secretary, Tax Policy, Department of Treasury, which requires Senate confirmation. Some FBI manual field office indices were not searched as they are being automated and are unavailable. Therefore, there may be FBI files identifiable with Ms. Garrett and/or her close relatives that were not reviewed during the background investigation. Investigation disclosed that Ms. Garrett's husband, [REDACTED] [REDACTED] of the United States [REDACTED] Ms. Garrett was arrested in 1984 by the Oklahoma Highway Patrol for refusing to display her drivers license upon request. Ms. Garrett advised she smoked marijuana on several occasions between 1981 - 1988 while in college.

Sent to the White House was a copy of the following interview:

[REDACTED] from Los Angeles Field Office report dated 04/08/2009

Two interviews of Ms. Garrett are incorporated in the summary memorandum

*FBI delay (01)*